## Edgar Filing: GERSHEN RICHARD S - Form 5

GERSHEN RICHARD S Form 5 Dece FC

| Form 5<br>December 14, 2009   |   |   |                                     |   |  |  |   |  |  |
|---|---|---|-------------------------------------|---|--|--|---|--|--|
| FORM 5  |   |   |                                     |   |  | OMB A  | APPROVAL  |  |  |
|   | ) STATES SECU   | JRITIES AN  | ND EXCHA                            | ANGE (  | COMMISSIO  | N OMB<br>Number:   | 3235-0362   |  |  |
| Check this box if<br>no longer subject  | ashington, I  | D.C. 20549  | Expires:                            | January 31,<br>2005   |  |  |   |  |  |
| to Section 16.<br>Form 4 or Form AN<br>5 obligations<br>may continue.<br>See Instruction  | IENT OF C<br>ERSHIP OF  |   | Estimated<br>burden ho<br>response. | urs per   |  |  |   |  |  |
| Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>Reported<br>Form 4 30(h) of the Investment Company Act of 1940<br>Transactions<br>Reported |   |   |                                     |   |  |  |   |  |  |
| 1. Name and Address of Reportin<br>GERSHEN RICHARD S  | er Name <b>and</b> Ti<br>l<br>RGREEN MU<br>ME FUND            | ULTI-SECT   | -                                   | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable) |  |  |   |  |  |
| (Last) (First)  | (Month  | <ol> <li>Statement for Issuer's Fiscal Year Ended<br/>(Month/Day/Year)</li> <li>10/31/2009</li> </ol> |                                     |   | Director 10% Owner<br>X Officer (give title Other (specify<br>below) below)                                  |  |   |  |  |
| 401 S. TRYON ST     Former Director, EIMC   |   |   |                                     |   |  |  | MC  |  |  |
| (Street)  | mendment, Date Original 6. Individua<br>Month/Day/Year)       |   |                                     |   | or Joint/Group Reporting   |  |   |  |  |
|   |   |   |                                     |   | (check applicable line)  |  |   |  |  |
| CHARLOTTE, NC 282   | 88  |   |                                     |   | _X_ Form Filed by<br>Form Filed by<br>Person   | y One Reporting<br>More than One I                                   |   |  |  |
| (City) (State)  | (Zip) Ta  | ble I - Non-De  | erivative Secu                      | rities Acq  | uired, Disposed  | of, or Beneficia   | ally Owned  |  |  |
| 1.Title of<br>Security2. Transaction Data<br>(Month/Day/Year)<br>(Instr. 3)   | e 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | Code Disposed of (D)<br>(Instr. 8) (Instr. 3, 4 and 5)<br>(A)<br>or                                   |                                     | ) or<br>(D)<br>nd 5)<br>A)<br>or  | 5. Amount of<br>Securities<br>Beneficially<br>Owned at end<br>of Issuer's<br>Fiscal Year<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |
| Reminder: Report on a separate lin securities beneficially owned direct   |   | contained   | in this form                        | are not   | ollection of info<br>required to res   | pond unless  | SEC 2270<br>(9-02)  |  |  |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

the form displays a currently valid OMB control number.

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.          | 5.        | 6. Date Exercisable and | 7. Title and | 8. Price of | 9. |
|-------------|-------------|---------------------|--------------------|-------------|-----------|-------------------------|--------------|-------------|----|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transaction | Number    | Expiration Date         | Amount of    | Derivative  | of |
| Security    | or Exercise |                     | any                | Code        | of        | (Month/Day/Year)        | Underlying   | Security    | D  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)  | Derivativ | e                       | Securities   | (Instr. 5)  | Se |

| Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |     |                     |                    | (Instr. | 3 and 4)                               |
|---|-----|---------------------|--------------------|---------|--|
| (A) (   | (D) | Date<br>Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |

## **Reporting Owners**

| Reporting Owner Name / Addr                               | ess        | Relationships |                       |       |  |  |  |  |
|---|------------|---------------|-----------------------|-------|--|--|--|--|
|   |            | 10% Owner     | Officer               | Other |  |  |  |  |
| GERSHEN RICHARD S<br>401 S. TRYON ST<br>CHARLOTTE, NC 282 | Â<br>88    | Â             | Former Director, EIMC | Â     |  |  |  |  |
| Signatures  |            |               |                       |       |  |  |  |  |
| Richard S.<br>Gershen                                     | 12/14/2009 |               |                       |       |  |  |  |  |
| **Cionatura of  | Data       |               |                       |       |  |  |  |  |

Signature of Reporting Person Date

## **Explanation of Responses:**

If the form is filed by more than one reporting person, see Instruction 4(b)(v). \*

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

В

0 E Is Fi (I