

ALIGN TECHNOLOGY INC  
Form 4  
October 31, 2006

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**GEORGE ROGER E**

(Last) (First) (Middle)

**C/O ALIGN TECHNOLOGY  
INC, 881 MARTIN AVENUE**

(Street)

**SANTA CLARA, CA 95050**

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
**ALIGN TECHNOLOGY INC  
[ALGN]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**10/31/2006**

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
**VP, Leg. Affairs & Gen. Couns.**

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	Price	
Common Stock	10/31/2006	10/31/2006	M		51,586	A \$ 6.15	54,353 <sup>(1)</sup> D
Common Stock	10/31/2006	10/31/2006	M		76,665	A \$ 7.35	131,018 D
Common Stock	10/31/2006	10/31/2006	M		102,000	A \$ 2.2	233,018 D
Common Stock	10/31/2006	10/31/2006	S		233,018	D \$ 13.44	0 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount Number of Shares
Right to buy (Common Stock)	\$ 6.15	10/31/2006	10/31/2006	M	51,586	04/23/2004 04/23/2013	Common Stock	51,586	
Right to buy (Common Stock)	\$ 7.35	10/31/2006	10/31/2006	M	76,665	02/22/2005 02/22/2015	Common Stock	76,665	
Right to Buy (Common Stock)	\$ 2.2	10/31/2006	10/31/2006	M	102,000	07/10/2003 07/16/2012	Common Stock	102,000	

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
GEORGE ROGER E C/O ALIGN TECHNOLOGY INC 881 MARTIN AVENUE SANTA CLARA, CA 95050			VP, Leg. Affairs & Gen. Couns.	

## Signatures

Roger E. George                      10/31/2006

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

## Edgar Filing: ALIGN TECHNOLOGY INC - Form 4

(1) Includes 241 shares acquired under the ALGN Employee Stock Purchase Plan in July 2006.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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