SOLIGENIX, INC. Form 4 September 27, 2013

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

3235-0287 Number:

January 31, Expires: 2005

0.5

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if no longer subject to Section 16. Form 4 or

Check this box

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

Security

(Instr. 3)

(Print or Type Responses)

1. Name and Address of Reporting Person \* HORGAN KEVIN

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Middle)

SOLIGENIX, INC. [SNGX] 3. Date of Earliest Transaction

Director 10% Owner

(Check all applicable)

(First) 29 EMMONS DRIVE, STE. C-10

(Month/Day/Year) 09/25/2013

X\_ Officer (give title Other (specify

below)

Chief Medical Officer & Sr. VP

(Street) 4. If Amendment, Date Original

Execution Date, if

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting

(T)

(Instr. 4)

Person

Securities

Beneficially

PRINCETON, NJ 08540

(City) (State) (Zip) 1. Title of 2. Transaction Date 2A. Deemed

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 5. Amount of

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial

Ownership

(Instr. 4)

Code Disposed of (D) (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8)

Following Reported Transaction(s)

Owned

(Instr. 3 and 4)

Code V Amount (D) Price

(A)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed 4. 5. Number of 6. Date Exercisable and 7. Title and Amount of Derivative Conversion (Month/Day/Year) Execution Date, if TransactionDerivative **Expiration Date Underlying Securities** Security or Exercise Code Securities (Month/Day/Year) (Instr. 3 and 4) any

### Edgar Filing: SOLIGENIX, INC. - Form 4

(Instr. 3) Price of (Month/Day/Year) (Instr. 8) Acquired (A) Derivative or Disposed of Security (D) (Instr. 3, 4, and 5) Code V (A) (D) Date Expiration Title Amount Exercisable Date or Number of Shares Stock Option Common 09/25/2013 12/04/2022 \$ 0.68 09/25/2013 A 85,000 85,000 (Right to Stock Buy) (1)

VP

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

HORGAN KEVIN
29 EMMONS DRIVE, STE. C-10
PRINCETON, NJ 08540

Relationships

Chief
Medical
Officer & Sr.

## **Signatures**

/s/ Kevin
Horgan

\*\*Signature of Date

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The Issuer granted the stock option on December 5, 2012 (the "Grant Date"), subject to stockholder approval of an amendment to increase in the number of shares available for issuance the Company's 2005 Equity Incentive Plan (the "2005 Plan") under which the stock option was granted. The Issuer's stockholders approved the amendment to the 2005 Plan on September 25, 2013. The stock option vests immediately as to 21,250 shares and vests as at a rate of 5,313 shares on each three (3) month anniversary of the Grant Date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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