Edgar Filing: Lundberg Eric F - Form 4

| Lundberg Eric F Form 4 April 10, 2019UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Solution of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 30(h) of the Investment Company Act of 1940 | | | | | | | | PPROVAL 3235-0287 January 31, 2005 Iverage rs per 0.5 | | |
|---|--|---------------------|---------------------------|---------|---|---|---|---|--|--|
| (Print or Type Responses) | | | | | | | | | | |
| 1. Name and Address of Repor Lundberg Eric F | 2. Issuer Name an Symbol THESTREET, 2 | | `rading | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | |
| (Last) (First) | (Middle) | 3. Date of Earliest | (an applicable) | | | | | | | |
| | | | onth/Day/Year) 02/2019 | | | | _X_ Director10% Owner _X_ Officer (give titleOther (specify below) CEO and CFO | | | |
| (Street) 4. If Ame Filed(Mon | | | Date Original ear) | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | | |
| NEW YORK, NY 10005 | | | | | | Form filed by M Person | lore than One Re | porting | | |
| (City) (State) | (Zip) | Table I - Non | -Derivative S | ecuriti | ies Acqu | iired, Disposed of | , or Beneficial | ly Owned | | |
| | ty (Month/Day/Year) Execution Date, if | | | | uired of (D) Price | 5. Amount of 6. Securities Ownership Beneficially Form: Direct Owned (D) or Following Indirect (I) Reported (Instr. 4) Transaction(s) (Instr. 3 and 4) | | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| Common Stock, par value \$.01 per share 04/02/2019 | | М | 650,000 | A | \$ 1.36 | 827,799 | D | | | |
| Common Stock, par value \$.01 per share 04/02/2019 | | F | 372,995 | D | \$ 2.37 (1) | 454,804 | D | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

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required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transact Code (Instr. 8) | tion S) A I (| iorDerivative Securities | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--------------------------------------|----------------------------|-----------------------------|---------|--|--------------------|---|----------------------------------|
| | | | | Code V | √ (| (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Option (Right to Buy) | \$ 1.36 | 04/02/2019 | | М | | | 650,000 | (2) | 04/01/2023 | Common Stock, par value \$.01 per share | 650,000 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|--|---------------|------------|-------------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| Lundberg Eric F C/O THESTREET, INC. 14 WALL STREET NEW YORK, NY 10005 | Х | | CEO and CFO | | | | | |
| Signatures | | | | | | | | |
| lal Ionad Wantanama an Attama | w in Foot | for Eric E | | | | | | |

/s/ Jared Verteramo, as Attorney-in-Fact for Eric F. Lundberg

**Signature of Reporting Person

04/10/2019 Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares withheld by the Issuer in payment of the aggregate option exercise price incurred upon the above-reported exercise of the option. The amount of shares withheld is based on the closing price on April 2, 2019.

1/3 of the shares underlying the option vested on April 1, 2017, with the remainder vesting in approximately equal monthly installments over the succeeding 24 months, subject to acceleration or forfeiture under various circumstances. On February 14, 2019, upon the sale of

(2) Over the succeeding 24 months, subject to acceleration of forefulle under various circumstances. On reordary 14, 2019, upon the safe of the Issuer's institutional business units to Euromoney Institutional Investor PLC, the option accelerated and became fully vested and exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.