DOWNES ADRIAN J Form 4

April 03, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations

may continue. See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

(Last)

(City)

1. Name and Address of Reporting Person * **DOWNES ADRIAN J**

(First)

(Middle)

Symbol

GREENBRIER COMPANIES INC [GBX]

2. Issuer Name and Ticker or Trading

(Month/Day/Year) 03/30/2019

3. Date of Earliest Transaction

below)

Director X_ Officer (give title

Issuer

Other (specify SVP & CFO

10% Owner

OMB APPROVAL

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

C/O THE GREENBRIER COMPANIES, INC.,, ONE CENTERPOINTE DRIVE, SUITE 200

(Street)

(State)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

(Check all applicable)

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

LAKE OSWEGO, OR 97035

(Zip)

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) any (Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially Owned Following Reported

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Ownership Form: Direct (D) or Indirect (I)

(Instr. 4)

Ι

7. Nature of **Indirect Beneficial** Ownership (Instr. 4)

Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price

(A)

By Reliance Trust Company as Trustee for

Common

stock -03/30/2019 **GBX**

M 2,083 \$0 39,518 A

Nonqualified Deferred Compensation

Plan for **Employees**

3,796 D

1

Common stock -

GBX

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owne | d | | | | | | | | |
|--|---|--|--|--|--|--|--|--|--|
| (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | |

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. Number ion Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exer Expiration D (Month/Day) | ate | 7. Title and A Underlying S (Instr. 3 and | Securities | 8. Pr Deri Secu (Inst |
|---|---|---|---------------------------------------|--|---|--------------------|---|--|--------------------------------|
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Restricted Stock Units | (1) | 03/30/2019 | M | 2,083 | (2) | (2) | Common Stock | 2,083 | |

Reporting Owners

Relationships Reporting Owner Name / Address Director 10% Owner Officer Other

DOWNES ADRIAN J C/O THE GREENBRIER COMPANIES, INC., ONE CENTERPOINTE DRIVE, SUITE 200 LAKE OSWEGO, OR 97035

SVP & CFO

Signatures

s/ Adrian J. Downes By Feng C. Grove Attorney-in-fact

04/03/2019

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of GBX common stock.

Reporting Owners 2

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Pursuant to the terms of the Restricted Stock Unit Award Agreement dated March 30, 2016, 2,083 restricted stock units vested on March 30, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.