Edgar Filing: DE LUNA MARGARET - Form 4

DE LUNA N Form 4	MARGARET									
February 19,	, 2019									
FORM	Л	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								
Check th if no long subject to Section 1 Form 4 o Form 5 obligatio may cont <i>See</i> Instru 1(b).	ger 5 16. 5 5 5 5 5 5 5 5 5 5 5 5 5	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section						Number: January 31, Expires: January 31, 2005 Estimated average burden hours per response 0.5		
(Print or Type I	Responses)									
DE LUNA MARGARET Symbol			ssuer Name an ool ESTREET, II		rading	Issuer				
(Last)	(First) (M	(liddle) 3. Da	3. Date of Earliest Transaction (Check				heck all applicabl	e)		
	ET, INC., 14 WAI 5TH FLOOR		nth/Day/Year) 4/2019			Director X Officer (below) Pres		6 Owner er (specify om		
			Amendment, D (Month/Day/Yea	-			6. Individual or Joint/Group Filing(Check Applicable Line)			
NEW YOR	K, NY 10005						by One Reporting Po by More than One R			
(City)	(State)	(Zip)	Fable I - Non-l	Derivative So	ecurities	Acquired, Dispose	d of, or Beneficia	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date any (Month/Day/Ye	n Date, if Transaction(A) or Disposed of Code (Instr. 3, 4 and 5) Day/Year) (Instr. 8)				6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
0			Code V	Amount	(A) or (D) F	Transaction(s (Instr. 3 and 4				
Common Stock, par value \$.01 per share	02/14/2019		М	110,000 (1)		$\frac{50}{2}$ 172,500	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	orDerivative Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	(2)	02/14/2019		М		110,000 (1)	(3)	<u>(3)</u>	Common Stock, par value \$.01 per share	110,000

Reporting Owners

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
DE LUNA MARGARET THESTREET, INC., 14 WALL STREET 15TH FLOOR NEW YORK, NY 10005			President, TheStreet.com				
Signatures							
/s/ Jared Verteramo, as Attorney-in-Fact Fo	or Margar	et De					
Luna			02/19/2019				

**Signature of Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v). *
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represent restricted stock units ("RSUs") granted on May 18, 2018 which vested in full upon the sale of the Issuer's institutional business (1)units to Euromoney Institutional Investor PLC.
- Each RSU represents a contingent right to receive one share of the issuer's Common Stock. (2)
- One-third of the RSUs (1/3) vested on January 1, 2019, with one thirty-sixth (1/36) of the RSUs vesting quarterly thereafter, subject to (3) continued employment through each vesting date, and are subject to acceleration or forfeiture under various circumstances.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Date