### DIERCKSEN JOHN W

Form 5

February 08, 2019

Reported

1. Name and Address of Reporting Person \*

### FORM 5

#### **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations OWNERSHIP OF SECURITIES response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions

5. Relationship of Reporting Person(s) to

2. Issuer Name and Ticker or Trading

| DIERCKSEN JOHN W Sym  |  |   | Symbol   | Symbol POPULAR INC [BPOP]   |  |           |        | Issuer (Check all applicable)   |                     |   |  |  |
|---|--|---|--|---|--|-----------|--------|---|---------------------|---|--|--|
|   | (Last)                                     | (First)                                 | (Month/  | 3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2018 |  |           |        | _X Director<br>Officer (give to   | 6 Owner er (specify |   |  |  |
|   | POBOX3                                     | 62708                                   |  |   |  |           | be     | elow)   | below)              |   |  |  |
|   |  | (Street)                                |  | nendment, Date<br>onth/Day/Year)  | Original   |           | 6.     | Individual or Joi   | nt/Group Repo       |   |  |  |
|   | SAN JUAN                                   | , PR 00936-2                            | 708  |   |  |           | _      | X_ Form Filed by O<br>Form Filed by M<br>erson  |                     |   |  |  |
|   | (City)                                     | (State)                                 | (Zip) Tal  | ble I - Non-De  | rivative Secu  | ırities   | Acquii | red, Disposed of,   | or Beneficial       | ly Owned  |  |  |
|   | 1.Title of<br>Security<br>(Instr. 3)       | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)  | 3.<br>Transaction<br>Code<br>(Instr. 8)                                 | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) |           | of (D) | O) Securities Owne<br>Beneficially Form:<br>Owned at end Direct<br>of Issuer's or Ind |                     | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |  |  |
|   |  |   |  |   | Amount   | or<br>(D) | Price  | Fiscal Year (Instr. 3 and 4)  | (I)<br>(Instr. 4)   |   |  |  |
|   | Common<br>Stock Par<br>Value<br>\$0.01 per | 12/31/2018                              | Â  | J <u>(1)</u>  | 180.373  | A         | \$ 0   | 21,637.913  | D                   | Â   |  |  |
|   | share                                      |   |  |   |  |           |        |   |                     |   |  |  |
| Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. |  |   | Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. |   |  |           |        |   | SEC 2270<br>(9-02)  |   |  |  |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.          | 5.         | 6. Date Exerc | cisable and | 7. Titl | le and         | 8. Price of |
|-------------|-------------|---------------------|--------------------|-------------|------------|---------------|-------------|---------|----------------|-------------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transaction | Number     | Expiration D  | ate         | Amou    | ınt of         | Derivative  |
| Security    | or Exercise |                     | any                | Code        | of         | (Month/Day/   | Year)       | Under   | rlying         | Security    |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)  | Derivative | e             |             | Secur   | ities          | (Instr. 5)  |
|             | Derivative  |                     |                    |             | Securities |               |             | (Instr. | 3 and 4)       |             |
|             | Security    |                     |                    |             | Acquired   |               |             |         |                |             |
|             |             |                     |                    |             | (A) or     |               |             |         |                |             |
|             |             |                     |                    |             | Disposed   |               |             |         |                |             |
|             |             |                     |                    |             | of (D)     |               |             |         |                |             |
|             |             |                     |                    |             | (Instr. 3, |               |             |         |                |             |
|             |             |                     |                    |             | 4, and 5)  |               |             |         |                |             |
|             |             |                     |                    |             |            |               |             |         | Amount         |             |
|             |             |                     |                    |             |            |               |             |         |                |             |
|             |             |                     |                    |             |            | Date          | Expiration  | T:41-   | or<br>Namelana |             |
|             |             |                     |                    |             |            | Exercisable   | Date        | Title   | Number         |             |
|             |             |                     |                    |             | (A) (D)    |               |             |         | of             |             |
|             |             |                     |                    |             | (A) (D)    |               |             |         | Shares         |             |

of D

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# **Reporting Owners**

| Reporting Owner Name / Address                                | Relationships |           |         |       |  |  |  |
|---|---------------|-----------|---------|-------|--|--|--|
| •   | Director      | 10% Owner | Officer | Other |  |  |  |
| DIERCKSEN JOHN W<br>P O BOX 362708<br>SAN JUAN, PR 00936-2708 | ÂX            | Â         | Â       | Â     |  |  |  |

## **Signatures**

Marie Reyes-Rodriguez,
Attorney-in-fact

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares acquired pursuant to reinvestment of dividends paid by the Corporation and which are exempt under Section 16 of the Securities Exchange Act.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2