Bristow Claire H Form 4 December 28, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

Issuer

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue.

See Instruction

1. Name and Address of Reporting Person \*

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

FIRST CITIZENS BANCSHARES

Symbol

1(b).

(Print or Type Responses)

Bristow Claire H

			FIRST CITIZENS BANCSHARES INC /DE/ [FCNCA]					RES	(Check all applicable)			
(Last) 4300 SIX	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 12/17/2018					DirectorX 10% Owner Officer (give title Other (specify below)				
RALEIG	4. If Amendment, Date Original Filed(Month/Day/Year)						6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(State)	(Zip)	Tab	le I - N	on-I	Derivative S	Secur	ities A	equired, Dispose	d of, or Benef	icially Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if	3. Transa Code (Instr.		4. Securiti n(A) or Dis (D) (Instr. 3, 4)  Amount 29,000	sposed	l of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Class A Common									10,858	I	As beneficiary of Trust	
Class A Common	12/17/2018			G	V	14,500	A	\$ 0	14,500	I	By spouse as Trustee for Claire Holding Bristow 2018 Irrevocable	

**Family Trust** 

Class A Common	12/17/2018	G	V	14,500	A	\$ 0	14,500	I	By spouse as trustee for Peter M. Bristow 2nd Amended and Restate Trust Agreement
Class A Common							6,737 (2)	I	By Spouse
Class A Common							1,775 <u>(2)</u>	I	By Son, Peter M. Bristow, Jr.
Class A Common							10,850 (2)	I	By spouse as custodian for Peter Bristow, Jr.
Class A Common							12,533 (2)	I	By spouse as custodian for Charlotte Bristow
Class A Common							12,377 (2)	I	By spouse as custodian for Ella Bristow
Class A Common							6,106 <u>(2)</u>	I	PMB Investments, LLC
Class A Common							2,154 (2)	I	CRB Investments, LLC
Class A Common							2,045 (2)	I	EHB Investments, LLC
Class A Common							19,041 (2)	Ι	By spouse as trustee for PMB Trust 2011
Class A Common							19,041 <u>(2)</u>	I	By spouse as trustee for CRB Trust 2011
Class A Common							19,041 (2)	I	By spouse as trustee for EHB Trust 2011
							82,866	D	

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Class B

Common			
Class B Common	1,250	I	As beneficiary of Trust
Class B Common	538 (2)	I	By spouse
Class B Common	2,080 (2)	I	By spouse as custodian for Peter Bristow, Jr.
Class B Common	8,350 (2)	I	PMB Investments, LLC
Class B Common	2,081 (2)	I	By spouse as custodian for Charlotte Bristow
Class B Common	8,850 (2)	I	CRB Investments, LLC
Class B Common	2,081 (2)	I	By spouse as custodian for Ella Bristow
Class B Common	8,710 <u>(2)</u>	I	EHB Investments, LLC
Class B Common	82 (2)	I	By Son. Peter M. Bristow, Jr.
Class B Common	82 (2)	I	By daughter, Charlotte Bristow
Class B Common	82 (2)	I	By daughter, Ella Bristow
Reminder: Report on a separate line for each class of securities beneficially owned dire	ectly or indirectly.		

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Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

 $\label{thm:convertible} \textbf{Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned} \\ \textit{(e.g., puts, calls, warrants, options, convertible securities)}$ 

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5.  onNumber of Derivative Securities Acquired (A) or Disposed of (D)		ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(Instr. 3, 4, and 5)  (A) (D)	Date Exercisable	Expiration Date	or Title Nu of	umber		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
<b>FB</b>	Director	10% Owner	Officer	Other				
Bristow Claire H								
4300 SIX FORKS ROAD		X						
RALEIGH, NC 27609								

# **Signatures**

Claire H. Bristow; By: William R. Lathan, Jr., Attorney-in-Fact

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects distribution from Ella Ann L. Holding 2016 grantor retained annuity trust.
- The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the (2) reporting person is the beneficial owner of such securities for purposes of Section 16 of the Securities Exchange Act of 1934 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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