#### Edgar Filing: WINSTON ROY - Form 3

WINSTON ROY Form 3 September 07, 2018

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Pacira Pharmaceuticals, Inc. [PCRX]  **WINSTON ROY** (Month/Day/Year) 08/29/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O PACIRA (Check all applicable) PHARMACEUTICALS, INC., 5 SYLVAN WAY, 10% Owner Director **SUITE 300** \_X\_\_ Officer Other (give title below) (specify below) (Street) 6. Individual or Joint/Group Chief Clinical Officer Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person PARSIPPANY, ÂNJÂ 07054 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (Instr. 5) Â Common Stock 16,500 (1) D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security
2. Date Exercisable and (Instr. 4)

Expiration Date (Month/Day/Year)

Securities Underlying Conversion Ownership Derivative Security

The security of Exercise Form of Conversion Ownership (Instr. 5)

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Date Exercisable		Expiration Date	(Instr. 4)		Price of	Derivative	
	Date Exercisable		Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	(2)	06/13/2028	Common Stock	37,500	\$ 38.35	D	Â
Stock Option (Right to Buy)	(2)	05/02/2028	Common Stock	20,000	\$ 34.375	D	Â
Stock Option (Right to Buy)	(2)	01/03/2028	Common Stock	10,000	\$ 46.35	D	Â
Stock Option (Right to Buy)	(2)	06/14/2027	Common Stock	6,000	\$ 44.2	D	Â
Stock Option (Right to Buy)	(2)	05/02/2027	Common Stock	25,000	\$ 48.15	D	Â
Stock Option (Right to Buy)	(2)	02/02/2027	Common Stock	10,000	\$ 41.9	D	Â

## **Reporting Owners**

Reporting Owner Name / Address			Relationships		
1	Director	10% Owner	Officer	Other	
WINSTON ROY					
C/O PACIRA PHARMACEUTICALS, INC.	â	Â	Chief Clinical Officer	â	
5 SYLVAN WAY, SUITE 300	A	А	A Chief Chinical Officer	A	
PARSIPPANY, NJ 07054					

### **Signatures**

/s/ Kristen Williams,
Attorney-in-Fact
09/07/2018

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Includes 8,500 unvested restricted stock units. The unvested restricted stock units vest as follows: (i) 2,250 restricted stock units that vest in three equal annual installments on June 3, 2019, June 3, 2020 and June 3, 2021, and (ii) 6,250 restricted stock units that vest in four
- (1) equal annual installments on June 3, 2019, June 3, 2020, June 3, 2021 and June 3, 2022, in each case provided that the reporting person remains in continuous service with the issuer as of each vesting date. Each restricted stock unit represents the contingent right to receive one share of the issuer's common stock.
- The stock option vests and becomes exercisable as to 25% of the option shares on the first anniversary of the grant date, and vests as to (2) the remaining shares in successive equal quarterly installments over the subsequent three years, provided that the reporting person remains in continuous service with the issuer as of each vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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