Sullivan L Joseph Form 4 April 17, 2018

# FORM 4

Form 4 or

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \* Sullivan L Joseph

(First)

C/O LOGITECH, INC., 7700

Symbol

(Middle)

LOGITECH INTERNATIONAL SA [LOGI]

2. Issuer Name and Ticker or Trading

3. Date of Earliest Transaction (Month/Day/Year)

04/15/2018

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

OMB

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

Director 10% Owner \_X\_\_ Officer (give title Other (specify

below) Sr. VP, Worldwide Operations

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

NEWARK, CA 94560

GATEWAY BLVD.

(City)	(State) (Z	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired or(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Registered Shares (1)	04/15/2018		A	4,050	A	\$0	83,078	D	
Registered Shares (2)	04/15/2018		A	20,145	A	\$ 0	103,223	D	
Registered Shares (3)	04/15/2018		A	4,476	A	\$ 0	107,699	D	
Registered Shares (4)	04/15/2018		A	3,740	A	\$ 0	111,439	D	
Registered Shares	04/15/2018		F(5)	17,336	D	\$ 37.04	94,103	D	

#### Edgar Filing: Sullivan L Joseph - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	isable and	7. Title	and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transac	ctionNumber	Expiration Da	ate	Amour	nt of	Derivative
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underl	ying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8	<ol><li>Derivative</li></ol>	e		Securit	ties	(Instr. 5)
	Derivative				Securities			(Instr.	3 and 4)	
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									Amount	
						Date Exercisable	Expiration Date	Title	or	
									Number	
									of	
				Code	V (A) (D)				Shares	

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Sullivan L Joseph C/O LOGITECH, INC. 7700 GATEWAY BLVD. NEWARK, CA 94560

Sr. VP, Worldwide Operations

## **Signatures**

/s/ Jon Shanberge as attorney in fact for L. Joseph Sullivan

04/17/2018

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Each restricted stock unit (RSU) represents the right to receive, following vesting, one Logitech share. The RSUs vest and convert into shares in four equal annual installments. The first installment vests on April 15, 2019, and the next three vest on April 15, 2020, April 15, 2021 and April 15, 2022.
- These shares were acquired pursuant to the vesting of performance share units (PSUs). The number of PSUs that vested was determined (2) based on Logitech's total shareholder return (TSR) relative to the other companies in the NASDAQ 100 over a three-year period from April 1, 2015 through March 31, 2018.
- (3) These shares were acquired pursuant to the vesting of performance share units (PSUs) granted on April 15, 2015. The number of PSUs that vested on April 15, 2018 was based on (i) the achievement of a performance-based vesting condition based on Logitech's Non-GAAP

Reporting Owners 2

#### Edgar Filing: Sullivan L Joseph - Form 4

Operating Margin over the four-consecutive-fiscal-quarter period ended March 31, 2016, as determined by the Compensation Committee of Logitech, and (ii) the satisfaction of the third of the time-based vesting conditions that occur in three equal annual installments.

- These shares were acquired pursuant to the vesting of performance share units (PSUs) granted on April 15, 2016. The number of PSUs that vested on April 15, 2018 was based on (i) the achievement of a performance-based vesting condition based on Logitech's Non-GAAP Operating Margin over the four-consecutive-fiscal-quarter period ended March 31, 2017, as determined by the Compensation Committee of Logitech, and (ii) the satisfaction of the second of the time-based vesting conditions that occur in three equal annual installments.
- In an exempt disposition to the Issuer under rule 16b-3(e), the recipient remitted shares to the Issuer in connection with the satisfaction of (5) tax withholding obligations arising out of the vesting of shares with respect to performance share units and previously reported restricted stock units

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.