Bettencourt Anthony J Form 4 November 16, 2017

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person * Bettencourt Anthony J

> (Last) (First) (Middle)

C/O IMPERVA, INC., 3400 **BRIDGE PARKWAY**

(Zin)

(Street)

2. Issuer Name and Ticker or Trading Symbol

IMPERVA INC [IMPV]

3. Date of Earliest Transaction (Month/Day/Year)

11/15/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

OMB APPROVAL

OMB 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per response... 0.5

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

_X__ Director 10% Owner Officer (give title Other (specify

below)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

REDWOOD SHORES, CA 94065

(State)

| (City) | (State) | (Zip) Tabl | e I - Non-E | Derivative S | Secur | ities Acqu | iired, Disposed of | f, or Beneficial | y Owned |
|--------------------------------------|---|---|---|---|--------|-------------|--|--|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securit on(A) or Dis (Instr. 3, 4) | sposed | of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common Stock | 11/15/2017 | | M | 16,563 | A | \$ 0 | 16,563 | D | |
| Common Stock | 11/15/2017 | | F(1) | 8,643 | D | \$ 40.65 | 7,920 | D | |
| Common Stock | 11/15/2017 | | M | 2,237 | A | \$ 0 | 10,157 | D | |
| Common Stock | 11/15/2017 | | F(1) | 1,168 | D | \$ 40.65 | 8,989 | D | |
| Common Stock | 11/15/2017 | | M | 1,694 | A | \$ 0 | 10,683 | D | |

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Common Stock 11/15/2017 $F_{\underline{1}}$ 884 D $^{\$}$ 9,799 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|--------------------------------------|---|--|---|------|--|-----------------|---|------------------------------------|
| | | | | Code V | (A) (| (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Share |
| Restricted Stock Units | (2) | 11/15/2017 | | M | 16 | ,563 | (3) | 08/19/2024(4) | Common Stock | 16,56 |
| Restricted Stock Units | <u>(2)</u> | 11/15/2017 | | M | 2, | 237 | <u>(5)</u> | 01/31/2026(4) | Common Stock | 2,237 |
| Restricted Stock Units | <u>(2)</u> | 11/15/2017 | | M | 1, | 694 | <u>(6)</u> | 01/31/2026(4) | Common Stock | 1,694 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|---|---------------|-----------|---------|-------|--|--|
| Toporous o whor runner, rauness | Director | 10% Owner | Officer | Other | | |
| Bettencourt Anthony J C/O IMPERVA, INC. 3400 BRIDGE PARKWAY REDWOOD SHORES, CA 94065 | X | | | | | |

Signatures

/s/ Shulamite White,
Attorney-in-Fact

**Signature of Reporting Person

Date

Reporting Owners 2

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Exempt transaction pursuant to Rule 16b-3(e). The shares were automatically withheld by the Issuer in accordance with the agreement governing the RSUs to satisfy tax withholding obligations of the Reporting Person resulting from the vesting and settlement of the RSUs. The shares were withheld and cancelled by the Issuer and no shares were sold by the Reporting Person.
- (2) Each restricted stock unit represents a contingent right to receive one share of common stock of the issuer upon vesting.
- (3) The restricted stock units vest as follows: 25% of the underlying shares vest one year following the vesting commencement date of November 15, 2014, with the remaining 75% vesting in equal quarterly installments over the next three years.
- (4) The Issuer has up to 30 days following vesting to settle. The actual expiration date will be immediately following settlement.
- (5) The restricted stock units vest as follows: 25% of the underlying shares vest one year following the vesting commencement date of February 15, 2016, with the remaining 75% vesting in equal quarterly installments over the next three years.
- (6) The restricted stock units vest as follows: 12.5% of the underlying shares vest on February 15, 2017, with the remainder vesting in equal 12.5% installments over the next seven quarters.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.