

van Elsas Andrea
Form 3
September 06, 2017

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *			2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol	
Â van Elsas Andrea			(Month/Day/Year)	ADURO BIOTECH, INC. [ADRO]	
(Last)	(First)	(Middle)	08/31/2017	4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
KLOOSTERSTRAAT 9				(Check all applicable)	
(Street)				<input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) Chief Scientific Officer	6. Individual or Joint/Group Filing(Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person
OSS,Â P7Â 5349 AB					
(City)	(State)	(Zip)			

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	90,528 ⁽¹⁾	D	Â
Common Stock	37,300 ⁽²⁾	D	Â
Common Stock	51,700 ⁽³⁾	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security	4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership (Instr. 5)
---	---	--	---------------------------	----------------------	--

Edgar Filing: van Elsas Andrea - Form 3

	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy)	Â <u>(4)</u>	11/09/2025	Common Stock	100,000	\$ 29.88	D	Â
Employee Stock Option (right to buy)	Â <u>(5)</u>	06/09/2026	Common Stock	28,100	\$ 11.99	D	Â
Employee Stock Option (right to buy)	Â <u>(6)</u>	12/11/2026	Common Stock	27,800	\$ 11.15	D	Â
Employee Stock Option (right to buy)	Â <u>(7)</u>	06/11/2027	Common Stock	27,975	\$ 10.75	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
van Elsas Andrea KLOOSTERSTRAAT 9 OSS,Â P7Â 5349 AB	Â	Â	Â Chief Scientific Officer	Â

Signatures

/s/ Jennifer Lew,
Attorney-in-Fact

09/06/2017

 Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- Represents shares acquired in connection with the Issuer's acquisition of BioNovion Holding B.V. The shares were granted to the
- (1) Reporting Person to be held in escrow, subject to certain vesting and forfeiture provisions. The shares vest in equal quarterly installments over a four year period beginning October 30, 2015.
 - (2) Represents grant of restricted stock units payable in common stock that vest in four equal annual installments from the vesting commencement date of September 12, 2016.
 - (3) Represents grant of restricted stock units payable in common stock that vest in four equal annual installments from the vesting commencement date of February 23, 2017.
- The shares subject to the Option vest over a four-year period as follows: 25% of the shares vest on the one year anniversary of the vesting
- (4) commencement date of October 30, 2015 and thereafter the remaining shares vest in a series of equal monthly installments at a rate of one thirty-sixth (1/36th) per month.
 - (5) The shares subject to the Option vest in a series of equal monthly installments at a rate of one forty-eighth (1/48th) per month upon the Reporting Person's completion of each month of service measured from June 10, 2016.
 - (6) The shares subject to the Option vest in a series of equal monthly installments at a rate of one forty-eighth (1/48th) per month upon the Reporting Person's completion of each month of service measured from December 12, 2016.
 - (7) The shares subject to the Option vest in a series of equal monthly installments at a rate of one forty-eighth (1/48th) per month upon the Reporting Person's completion of each month of service measured from June 12, 2017.

Edgar Filing: van Elsas Andrea - Form 3

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.