Edgar Filing: Heinberg Marshall - Form 4

Heinberg Ma	rshall											
Form 4												
July 26, 2017												
FORM	$ 4 _{\text{UNITE}}$	П СТАТЕС	SECUE	ITIFS A	ND FY	∩н∧	NCF (OMMISSION		PROVAL		
	UNITE	DSIAILS		shington,			NGE C	01011011551013	OMB Number:	3235-0287		
Check thi	V V CL	,iiiigtoii,	D .C. 20		lan	January 31,						
if no longer STATEMENT OF CHAN				GES IN BENEFICIAL OWNERSHIP				NERSHIP OF	Expires: 200			
subject to				SECUR	SECURITIES					Estimated average burden hours per		
Form 4 or									response 0.5			
Form 5 obligatior								e Act of 1934,				
may conti				•	•	· ·		1935 or Section	n			
See Instru	ction	30(n)	of the In	vestment	Compan	y Ac	ι οι 194	Ð				
1(b).												
(Print or Type R	esponses)											
TT - 'm h - m - M - m h - 11				r Name and Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer				
			Symbol ECOLC	OGY & ENVIRONMENT								
INC [E								(Check all applicable)				
(Last)	(First)	(Middle)	3. Date of	f Earliest Tr	ansaction			_X_ Director	10%	Owner		
			(Month/D	onth/Day/Year)				Officer (give title Other (specify below)				
368 PLEASANT VIEW DRIVE 07/25/2				017				below)	below)			
			4. If Ame	endment, Date Original				6. Individual or Joint/Group Filing(Check				
			nth/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person					
LANCASTE	D NV 14096							_X_ Form filed by C Form filed by M				
LANCASIE	ER, NY 14086							Person				
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned		
1.Title of	2. Transaction Date 2A. Deemed			3. 4. Securities Acquired				5. Amount of	6. Ownership			
Security (Month/Day/Year) Execution Date, if (Instr. 3) any (Month/Day/Year)			Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)				Securities Beneficially	Form: Direct (D) or	Beneficial			
							Owned	Ownership				
								Following Reported	(Instr. 4)	(Instr. 4)		
						(A)		Transaction(s)				
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)				
Class A						(-)	\$					
Common Stock	07/25/2017			А	2,554	А	12.53 (1)	2,554	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Relationships						
		Director	10% Owner	Officer	Other			
Heinberg Marshall 368 PLEASANT VIEW I LANCASTER, NY 1408		Х						
Signatures								
Marshall Heinberg	07/25	5/2017						
<u>**</u> Signature of Reporting Person	Da	ate						

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Award of Class A Common Stock pursuant to 2016 Ecology and Environment Inc. Stock Award Plan

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.