### Edgar Filing: Intra-Cellular Therapies, Inc. - Form 4

Intra-Cellular Therapies, Inc. Form 4 January 05, 2017

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January 05, 2											
FORM	<b>4</b> UNITE	CD STATE			AND EX , D.C. 20		NGE	COMMISSION		9PROVAL 3235-0287	
Check this if no long subject to Section 10 Form 4 or	F CHANGES IN BENEFICIAL OWNER SECURITIES					NERSHIP OF	Expires: Estimated a burden hou response	irs per			
Form 5 obligation may conti <i>See</i> Instru 1(b).	nue. Section	17(a) of the		ility Hol	ding Con	npany	Act o	ge Act of 1934, if 1935 or Sectio 40			
(Print or Type R	esponses)										
1. Name and Address of Reporting Person <u></u> Hineline Lawrence J.			2. Issuer Name <b>and</b> Ticker or Trading Symbol Intra-Cellular Therapies, Inc. [ITCI]					5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Check				ck all applicable	e)			
	-CELLULAR 5, INC., 430 I ET		(Month/Da 01/03/20	-				Director X Officer (giv below) VP		6 Owner er (specify	
	(Street)		4. If Amer Filed(Mon		ate Origina <sup>r)</sup>	l		6. Individual or J Applicable Line) _X_ Form filed by	•		
NEW YORK	K, NY 10016							Form filed by Person	More than One Re	eporting	
(City)	(State)	(Zip)	Table	e I - Non-I	Derivative	Securi	ties Ac	quired, Disposed o	of, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction (Month/Day/Y	ear) Execut any	emed ion Date, if /Day/Year)	Code (Instr. 8)	<ol> <li>4. SecurionAcquire Dispose (Instr. 3)</li> <li>7 Amount</li> </ol>	d (A) o d of (D , 4 and (A) or	)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	01/04/2017			М	3,107	А	<u>(1)</u>	65,307	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Numbe orDerivative Securities Acquired Disposed (Instr. 3, 4	e (A) or of (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha
Stock Option (right to buy)	\$ 15.73	01/03/2017		A	63,717		(2)	01/03/2027	Common Stock	63,7
Restricted Stock Units	<u>(3)</u>	01/03/2017		А	15,893		(4)	(4)	Common Stock	15,89
Restricted Stock Units	<u>(3)</u>	01/04/2017		М		3,107	(5)	(5)	Common Stock	3,10

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## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships						
	Director	10% Owner	Officer	Other			
Hineline Lawrence J. C/O INTRA-CELLULAR THERAPIES, INC. 430 EAST 29TH STREET NEW YORK, NY 10016			VP of Finance CFO				

## Signatures

/s/ Lawrence J. 01/05/2017 Hineline

\*\*Signature of Reporting Person

# **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

Date

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock units convert into common stock on a one-for-one basis.
- (2) On January 3, 2017, the reporting person was granted options to purchase 63,717 shares of common stock, vesting in three equal annual installments beginning on the first anniversary of the grant date.
- (3) Each restricted stock unit represents a contingent right to receive one share of common stock.
- (4) On January 3, 2017, the reporting person was granted 15,893 restricted stock units, vesting in three equal annual installments beginning on the first anniversary of the grant date.
- (5) On January 4, 2016, the reporting person was granted 9,323 restricted stock units, vesting in three equal annual installments beginning on the first anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

#### **Reporting Owners**

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