

ASTRONICS CORP  
Form 3  
January 04, 2017

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|   |         |                                      |  |  |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * |         | 2. Date of Event Requiring Statement | 3. Issuer Name <b>and</b> Ticker or Trading Symbol |  |
| Â Mulato James                            |         | (Month/Day/Year)                     | ASTRONICS CORP [ATRO]                              |  |
| (Last)                                    | (First) | 01/01/2017                           |  |  |
| 130 COMMERCE WAY                          |         |                                      | 4. Relationship of Reporting Person(s) to Issuer   | 5. If Amendment, Date Original Filed(Month/Day/Year)                   |
| (Street)                                  |         |                                      | (Check all applicable)                             |  |
| EAST AURORA,Â NYÂ 14052                   |         |                                      | <input type="checkbox"/> Director                  | 6. Individual or Joint/Group Filing(Check Applicable Line)             |
| (City)                                    | (State) | (Zip)                                | <input type="checkbox"/> 10% Owner                 | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
|   |         |                                      | <input type="checkbox"/> Officer                   | <input type="checkbox"/> Form filed by More than One Reporting Person  |
|   |         |                                      | <input checked="" type="checkbox"/> Other          |  |
|   |         |                                      | (give title below)                                 |  |
|   |         |                                      | Pres Astronics Test Systems                        |  |

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| \$.01 PV Common Stock           | 1,582   | D  | Â   |
| \$.01 PV Class B Stock          | 441   | D  | Â   |
| \$.01 PV Common Stock           | 100   | I  | spouse <u>(1)</u>                                     |
| \$.01 PV Class B Stock          | 15  | I  | spouse <u>(1)</u>                                     |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date | 3. Title and Amount of Securities Underlying | 4. Conversion | 5. Ownership | 6. Nature of Indirect Beneficial Ownership |
|--|---|--|---------------|--------------|--|
|--|---|--|---------------|--------------|--|

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|        | (Month/Day/Year) |                 | Derivative Security (Instr. 4) |                            | or Exercise Price of Derivative Security | Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | (Instr. 5) |
|--------|------------------|-----------------|--------------------------------|----------------------------|--|--|------------|
|        | Date Exercisable | Expiration Date | Title                          | Amount or Number of Shares |  |  |            |
| Option | 03/31/2015       | 03/31/2024      | \$.01 PV Com Stk               | 2,400                      | \$ 39.96                                 | D  | Â          |
| Option | 03/31/2015       | 03/31/2024      | \$.01 PV Cl B Stk              | 1,409                      | \$ 39.96                                 | D  | Â          |
| Option | 12/11/2015       | 12/11/2024      | \$.01 PV Com Stk               | 3,300                      | \$ 35.46                                 | D  | Â          |
| Option | 12/11/2015       | 12/11/2024      | \$.01 PV Cl B Stk              | 1,064                      | \$ 35.46                                 | D  | Â          |
| Option | 12/03/2016       | 12/03/2025      | \$.01 PV Com Stk               | 4,300                      | \$ 31.88                                 | D  | Â          |
| Option | 12/03/2016       | 12/03/2025      | \$.01 PV Cl B Stk              | 645                        | \$ 31.88                                 | D  | Â          |
| Option | 12/14/2017       | 12/14/2026      | \$.01 PV Com Stk               | 6,560                      | \$ 36.52                                 | D  | Â          |

## Reporting Owners

| Reporting Owner Name / Address                            | Relationships |           |         |                             |
|---|---------------|-----------|---------|-----------------------------|
|   | Director      | 10% Owner | Officer | Other                       |
| Mulato James<br>130 COMMERCE WAY<br>EAST AURORA, NY 14052 | Â             | Â         | Â       | Pres Astronics Test Systems |

## Signatures

/s/Julie Davis, as Power of Attorney for James Mulato 01/04/2017

\_\_Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares owned by his wife.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.