

PLEXUS CORP
Form 4
November 09, 2016

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
FOATE DEAN A

(Last) (First) (Middle)

ONE PLEXUS WAY

(Street)

NEENAH, WI 54956

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
PLEXUS CORP [PLXS]

3. Date of Earliest Transaction (Month/Day/Year)
11/07/2016

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

Chairman of the Board

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| Common Stock, \$.01 par value | | | | | 8,140 | D ⁽¹⁾ | |
| Common Stock, \$.01 par value | 11/07/2016 | | M | 10,336 A | \$ 25.965 241,692 | D | |
| Common Stock, \$.01 par value | 11/07/2016 | | S | 10,336 D | \$ 46.7965 231,356 | D | |

Edgar Filing: PLEXUS CORP - Form 4

| | | | | | | | |
|--------------------------------|------------|---|--------|---|-----------------------------|---------|---|
| Common Stock, \$0.01 par value | 11/08/2016 | M | 7,995 | A | \$ 25.965 | 239,351 | D |
| Common Stock, \$0.01 par value | 11/08/2016 | S | 7,995 | D | \$ <u>46.7617</u> (3) | 231,356 | D |
| Common Stock, \$0.01 par value | 11/09/2016 | M | 20,500 | A | \$ 27.143 | 251,856 | D |
| Common Stock, \$0.01 par value | 11/09/2016 | M | 8,500 | A | \$ 27.86 | 260,356 | D |
| Common Stock, \$0.01 par value | 11/09/2016 | S | 29,000 | D | \$ <u>47.4293</u> (4) | 231,356 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Options to Buy | \$ 25.965 | 11/07/2016 | | M | 10,336 | <u>(5)</u> 10/29/2022 | Common Stock | 10,336 |
| Options to Buy | \$ 25.965 | 11/08/2016 | | M | 7,995 | <u>(5)</u> 10/29/2022 | Common Stock | 7,995 |
| Options to Buy | \$ 27.143 | 11/09/2016 | | M | 20,500 | <u>(5)</u> 01/24/2021 | Common Stock | 20,500 |

| | | | | | | | | |
|----------------|----------|------------|---|-------|-----|------------|--------------|-------|
| Options to Buy | \$ 27.86 | 11/09/2016 | M | 8,500 | (5) | 07/23/2022 | Common Stock | 8,500 |
|----------------|----------|------------|---|-------|-----|------------|--------------|-------|

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-----------------------|
| | Director | 10% Owner | Officer | Other |
| FOATE DEAN A ONE PLEXUS WAY NEENAH, WI 54956 | | X | | Chairman of the Board |

Signatures

| | |
|---|------------|
| Dean A. Foate, by Kate A. Gitter, Attorney-in-Fact | 11/09/2016 |
| **Signature of Reporting Person | Date |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares of Plexus Corp. common stock held in the Plexus Corp. Employee Stock Purchase Plan as of the last report from the Plan's Trustee.
This transaction was executed in multiple trades at prices ranging from \$46.628 to \$46.90 per share. The reported price reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (2) This transaction was executed in multiple trades at prices ranging from \$46.6464 to \$46.90 per share. The reported price reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (3) This transaction was executed in multiple trades at prices ranging from \$47.08 to \$48.00 per share. The reported price reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (4) Options granted under the Plexus Corp. 2008 Long-Term Incentive Plan, or a predecessor plan, which qualifies under Rule 16b-3; now fully vested.
- (5)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.