

TTM TECHNOLOGIES INC
Form 4
August 01, 2016

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
ALDER KENTON K

2. Issuer Name and Ticker or Trading Symbol
TTM TECHNOLOGIES INC
[TTMI]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner
 Officer (give title below) Other (specify below)

1665 SCENIC AVENUE, SUITE 250

07/19/2016

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

COSTA MESA, CA 92626

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V Amount or (D) Price			
Common Stock	07/19/2006		G	V 89,562 (1)	D \$ 0 35,267	D	
Common Stock	07/28/2016		M	4,323 (2) A 5.78	39,590	D	
Common Stock	07/28/2016		S	4,323 (3) D 9.5	35,267	D	
Common Stock	07/29/2016		M	12,500 (2) A 7.85	47,767	D	
Common Stock	07/29/2016		S	12,500 (3) D 10	35,267	D	

Common Stock	07/19/2016		G	V	<u>89,562</u> (1)	A	\$ 0	294,116	I	By Kenton K. Alder Family Living Trust
Common Stock	07/29/2016		S		<u>20,000</u> (3)	D	\$ 10	274,116	I	By Kenton K. Alder Family Living Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 5.78	07/28/2016		M	4,323	<u>(4)</u> 02/12/2019	Common Stock 4,323
Employee Stock Option (Right to Buy)	\$ 7.85	07/29/2016		M	12,500	<u>(5)</u> 05/07/2019	Common Stock 12,500

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
				X

ALDER KENTON K
1665 SCENIC AVENUE
SUITE 250
COSTA MESA, CA 92626

Signatures

/s/ Daniel J. Weber,
Attorney-in-Fact

08/01/2016

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the transfer of shares to the Kenton K. Alder Family Living Trust.
- (2) The options were exercised pursuant to a 10b5-1 Plan.
- (3) The shares were sold pursuant to a 10b5-1 Sales Plan.
- (4) One-third of the options vested and became exercisable on the first, second, and third anniversaries of the date of grant, beginning on February 12, 2010.
- (5) One-third of the options vested and became exercisable on the first, second and third anniversaries of the date of grant, beginning on May 7, 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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