Edgar Filing: U.S. SILICA HOLDINGS, INC. - Form 4

| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL Main 2020-0267 Main 2020 Section 16 Form 4 or Section 16 Form 4 or Section 17(a) of the PUBLIC Utility Holding Company Act of 1935 or Section 30(b) of the Investment Company Act of 1935 or Section 30(b) of the Investment Company Act of 1940 (b). OMB APPROVAL Main 2020 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1935, Section 17(a) of the Public Utility Holding Company Act of 1940 (b). Section 17(a) of the Public Utility Holding Company Act of 1940 (b). Section 17(a) of the Public Utility Holding Company Act of 1940 (b). Section 17(a) of the Public Utility Holding Company Act of 1940 (b). Section 17(a) of the Public Utility Holding Company Act of 1940 (b). Section 17(a) of the Public Utility Holding Company Act of 1940 (b). Section 17(a) of the Public Utility Holding Company Act of 1940 (b). Section 17(a) of the Public Utility Holding Company Act of 1940 (b). Section 17(a) of the Public Utility Holding Company Act of 1940 (b). Section 17(a) of the Public Utility Holding Company Act of 1940 (b). Section 17(a) of the Public Utility Holding Company Act of 1940 (b). Section 17(a) of the Public Utility Holding Company Act of 1940 (b). Section 17(a) of the Public Utility Holding Company Act of 1940 (b). Section 17(a) of the Public Utility Holding Company Act of 1940 (b). Section 17(a) of Reporting Person(s) (b). Section 17(a) Offerer (give fine | U.S. SILICA Form 4 April 04, 20 | A HOLDINGS, IN | NC. | | | | | | | | | |
|--|---|---|------------------|----------------|---|---|--|--|--|---|--|--|
| if no longer subjects STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. Explores: 2005 Similated areage burden hours per response 2005 Form 5 or Form 5 or Form 5 or Form 5 or Section 17(a) of the Public Utility Holding Company Act of 1935, or Section may continue. 5: Relationship of Reporting Person* 0.5 I. Name and Address of Reporting Person* 2: Issuer Name and Ticker or Trading Symbol 5: Relationship of Reporting Person* 0.5 VINKLER MICHAEL L Symbol U.S. SILICA HOLDINGS, INC. (SLCA) Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% ower work of the balance of the securities are person of the securities are person of the securities balance of the balance of the securities are person of the securities are person of the security below) 0.10 ere or Work of the securities are person of the securities area area area these form and the securities area area area a | FORM | N OMB Number: | 3235-0287 | | | | | | | | | |
| 1. Name and Address of Reporting Person." 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer WINKLER MICHAEL L S. SILICA HOLDINGS, INC. [SLCA] 0. S. SILICA HOLDINGS, INC. (JCAR) 0. Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 0. Diffeer (give tile) 0. Diffeer (give tile) C/O U.S. SILICA HOLDINGS, INC., 8490 PROGRESS DRIVE (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) C(Ty) (State) (Zip) Table 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of Security 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of Securities Form: Direct Indirect Beneficially Owned (A) or Securities (Month/Day/Year) 6. Ownership 7. Nature of Form: Direct Indirect Beneficially Owned (I) 1. Title of Security 2. Transaction Date 2A. Deemed 3. 4. Securities Socurities Socurities Form: Direct Indirect Beneficially Owned (I) 6. Ownership 7. Nature of Form: Direct Indirect Beneficially Owned (I) (Instr. 3) (Month/Day/Year) (Instr. 3, 4 and 5) Owned (I) Ownership Form: Direct Indirect Beneficially Owned (I) Ownership Form Ownership Form Ownership Form Owned Group (I) Ownership Form Ownership Form Ownership Form Ownershi | subject t Section Form 4 Form 5 obligatio may cor <i>See</i> Inst | if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction See Instruction See Instruction See Instruction Section 17(a) of the Public Utility Holding Company Act of 1940 Section 17(a) of the Investment Company Act of 1940 Section 17(a) of the Investment Company Act of 1940 | | | | | | | | | | |
| WINKLER MICHAEL L Symbol U.S. SILICA HOLDINGS, INC. [SLCA] Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Check all applicable) C/O U.S. SILICA HOLDINGS, INC., 8490 PROGRESS DRIVE 03/31/2016 Director (Month/Day/Year) 10% Owner (Month/Day/Year) (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) | (Print or Type | Responses) | | | | | | | | | | |
| C/O U.S. SILICA HOLDINGS, INC., 8490 PROGRESS DRIVE (Month/Day/Year) 03/31/2016 | | Symbol U.S. SILICA HOLDINGS, INC. | | | | Issuer | | | | | | |
| Filed(Month/Day/Year Applicable Line) _X_Form filed by One Reporting Person _Bremon City (State) (Zip) Tale I - Non-Vertitive Securities Securities Securities Colspan="4">Securities Disposed of (D) Security (Instr. 3) 2. Transaction Date Security (Instr. 3) 2.A. Deemed (Month/Day/Year) 3. Execution Date, if any (Month/Day/Year) 3. (Instr. 8) 4. Securities (Instr. 8) 5. Amount of Securities Disposed of (D) 6. Ownership Securities (D) or Indirect Beneficially Owned (Instr. 4) 7. Nature of Indirect Beneficially Owned (Instr. 4) 6. Ownership (D) or Indirect Beneficially Owned (Instr. 4) 7. Nature of Poiltowing (Instr. 4) Reminder: Reported ransaction as separate Information contained in this form are not information | C/O U.S. S | GS, | (Month/Day/Year) | | | Officer (give title Other (specify below) | | | | | | |
| (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) 2.A. Deemed Execution Date, if any (Month/Day/Year) 3. 4. Securities Transaction-Acquired (A) or Code 5. Amount of Beneficially (Instr. 3, 4 and 5) 6. Ownership Form: Direct (D) or Indirect 7. Nature of Indirect (Instr. 3) (Month/Day/Year) Execution Date, if any (Month/Day/Year) 3. 4. Securities Transaction-Acquired (A) or Code Securities Disposed of (D) (Instr. 8) 6. Ownership Form: Direct (D) or Indirect 7. Nature of Indirect (Month/Day/Year) Code V Month (D) or Owned (I) Owned (Instr. 4) 6. Ownership Form: Direct 7. Nature of Indirect (Instr. 3) (Month/Day/Year) Code V A and 5) Owned (I) Ownership (Instr. 4) 0. (State) Code V Amount (D) Price (Instr. 4) (Instr. 4) Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of required to respond unless the form displays a currently valid OMB control number. SEC 1474 (9-02) | FREDERIG | | | | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | | | | | |
| 1.Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year) 3. 4. Securities TransactionAcquired (A) or Code 5. Amount of Beneficially Owned 6. Ownership Form: Direct (D) or Indirect 7. Nature of Indirect (Instr. 3) (Month/Day/Year) (Month/Day/Year) 3. 4. Securities TransactionAcquired (A) or Code 5. Amount of Beneficially Owned 6. Ownership Form: Direct (D) or Indirect 7. Nature of Indirect (Month/Day/Year) (Month/Day/Year) (Instr. 3) (Instr. 3, 4 and 5) Owned 6. Ownership Following (Instr. 4) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned (Instr. 4) (A) or (Instr. 3 and 4) (Instr. 3 and 4) (Instr. 3 and 4) (Instr. 4) Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. SEC 1474 (9-02) | | | (Zip) | Tab | la I Non I | Dorivotivo | Socurities A | | of or Bonoficio | lly Ownod | | |
| Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number. | 1.Title of Security | | Execution any | ed Date, if | 3. Transactio Code (Instr. 8) | 4. Securit nAcquired Disposed (Instr. 3, 4 | ies (A) or of (D) 4 and 5) (A) or | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) | 7. Nature of Indirect Beneficial Ownership | | |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | Reminder: Re | | le II - Deriv | rative Sec | urities Acq | Perso inforn requii displa numb | ns who res nation cont red to resp nys a current er. posed of, or | spond to the colle ained in this forn ond unless the fo ntly valid OMB co Beneficially Owned | n are not rm ontrol | | | |

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. Number of | 6. Date Exercisable and | 7. Title and Amount of | 8.1 |
|-------------|------------|---------------------|--------------------|-----------|--------------|-------------------------|------------------------|-----|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transacti | orDerivative | Expiration Date | Underlying Securities | De |

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| Security (Instr. 3) | or Exercise Price of Derivative Security | | any (Month/Day/Year) | Code (Instr. 8) | Securities Acquired (A or Disposed (D) (Instr. 3, 4, and 5) | d of | (Month/Day/ | 'Year) | (Instr. 3 and | 4) | Sec (In |
|------------------------------|---|------------|-------------------------|--------------------|--|------|---------------------|--------------------|-----------------|-------------------------------------|------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Restricted Stock Units | <u>(1)</u> | 03/31/2016 | | А | 39,326 | | (2) | (2) | Common Stock | 39,326 | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|-----------------------------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| WINKLER MICHAEL L C/O U.S. SILICA HOLDINGS, INC. 8490 PROGRESS DRIVE FREDERICK, MD 21701 | | | VP, Chief Operating Officer | | | | |
| Signatures | | | | | | | |
| /s/ Sean J. Klein by Power of Attorney | 04/ | 04/2016 | | | | | |
| **Signature of Reporting Person | | Date | | | | | |

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Each restricted stock unit represents a contingent right to receive one share of common stock upon vesting of the unit.

(2) One-third of the restricted stock units are scheduled to vest on each of March 31, 2017, March 31, 2018 and March 31, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.