

HALCON RESOURCES CORP
Form 4
December 21, 2015

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Elkouri David S

2. Issuer Name and Ticker or Trading Symbol
HALCON RESOURCES CORP
[HK]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
1000 LOUISIANA STREET, SUITE 6700

(Street)

3. Date of Earliest Transaction (Month/Day/Year)
12/18/2015

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
EVP, Corp Strategy and CLO

HOUSTON, TX 77002

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
| | | | Code | V Amount (A) or (D) Price | | | |
| Common Stock | 12/07/2015 | | G | V 45,000 D \$ 0 | 361,306 ⁽¹⁾ | D | |
| Common Stock | 12/18/2015 | | P | 100,000 A \$ 0.2567 ⁽²⁾ | 461,306 | D | |
| Common Stock | | | | | 15,000 | I ⁽³⁾ | By IRA |
| Common Stock | | | | | 30,000 | I | By IRA |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Series A Convertible Preferred Stock | \$ 6.16 | 12/07/2015 | | G V | 100 | 06/13/2013 ⁽⁴⁾ | Common Stock | 100 |

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Elkouri David S
1000 LOUISIANA STREET, SUITE 6700
HOUSTON, TX 77002

EVP, Corp Strategy and CLO

Signatures

David S. Elkouri 12/21/2015

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On May 4, 2015, Halcon declared a stock dividend, payable to all Series A Cumulative Perpetual Convertible Preferred Stock holders of record on May 15, 2015. As a result, the reporting person received 1,243 shares of Halcon stock on June 1, 2015.
- (2) The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices \$0.2511 to \$0.26, inclusive. The reporting person undertakes to provide to Halcon Resources Corporation, any security holder of Halcon Resources Corporation, or staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at

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each separate price within the range set forth in footnote (1) to this Form 4

- (3) This Form 4 no longer includes 21,500 shares that were reported on the reporting person's last Form 4 filing by the reporting person as trustee of the reporting person's daughter's trust.
- (4) The Series A Convertible Preferred Stock has no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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