Edgar Filing: MINDBODY, Inc. - Form 4

MINDBOD Form 4											
December 1										PROVAL	
FORM	VI 4 UNITED	STATES	SECU	RITIES A	AND EX	СНА	NGE CO	OMMISSION	OMB		
Check t	his box		Wa	shington	n, D.C. 20	549			Number:	3235-0287	
Check this box if no longer subject to Section 16. Form 4 or Form 5 Eiled pursuant to S				SECUI	RITIES			Expires: Estimated a burden hour response	•		
obligation may con <i>See</i> Inst 1(b).	ons ntinue. Section 17((a) of the l	Public U	Itility Ho		npan	y Act of	Act of 1934, 1935 or Section)			
(Print or Type	Responses)										
1. Name and Murphy Ro	Address of Reporting obert John	Person [*]	Symbol	er Name an BODY, It	d Ticker or	⁻ Tradi	0	5. Relationship of H Issuer	Reporting Pers	on(s) to	
				of Earliest T				(Check all applicable)			
4051 BRO	(Month/Day/Year)					_X_ Director _X_ 10% Owner _X_ Officer (give title Other (specify below) Chief Operating Officer					
SAN LUIS	(Street) S OBISPO, CA 93	401		endment, D onth/Day/Yea	Date Origina ar)	ıl		6. Individual or Joi Applicable Line) _X_ Form filed by Ou Form filed by Mo Person	ne Reporting Per	rson	
(City)	(State)	(Zip)	Tah	ole I - Non-	Derivative	Secu			or Beneficial	v Owned	
1.Title of Security (Instr. 3)		 (Zip) Table I - Non-Derivative Securities an Date 2A. Deemed 3. 4. Securities Acquir Year) Execution Date, if Transactionor Disposed of (D) any Code (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8) (A) or 			quired (A) D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
Class A Common Stock	12/16/2015			Code V $C^{(1)}$	Amount 9,240	(D) A	Price \$ 0	9,240	D		
Class A Common Stock	12/16/2015			S <u>(2)</u>	9,240	D	\$ 15.0291 (<u>3)</u>	0	D		
Class A Common Stock	12/16/2015			C <u>(1)</u>	1,760	A	\$ 0	1,760	Ι	See footnote (4)	
Class A Common	12/16/2015			S <u>(2)</u>	1,760	D	\$ 15.0291	0	Ι	See footnote	

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Stock					(3)			(4)
Class A Common Stock	12/17/2015	C <u>(1)</u>	19,760	А	\$ 0	19,760	D	
Class A Common Stock	12/17/2015	S <u>(2)</u>	19,760	D	\$ 14.7901 (5)	0	D	
Class A Common Stock	12/17/2015	C <u>(1)</u>	3,840	А	\$ 0	3,840	I	See footnote (4)
Class A Common Stock	12/17/2015	S <u>(2)</u>	3,840	D	\$ 14.7901 (5)	0	Ι	See footnote (4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	orDeri Secu Acqu or D (D)	rities uired (A) isposed of r. 3, 4,	6. Date Exer Expiration D (Month/Day,	ate	Underlying Securities		8. P Deri Secu (Ins
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class B Common Stock	<u>(6)</u>	12/16/2015		С		9,240	<u>(6)</u>	(6)	Class A Common Stock	9,240	
Class B Common Stock	<u>(6)</u>	12/16/2015		С		1,760	(6)	(6)	Class A Common Stock	1,760	
Class B Common Stock	<u>(6)</u>	12/17/2015		С		19,760	(6)	<u>(6)</u>	Class A Common Stock	19,760	
Class B Common Stock	<u>(6)</u>	12/17/2015		С		3,840	<u>(6)</u>	(6)	Class A Common	3,840	

Class B Common <u>(6)</u> Stock				<u>(6)</u>	<u>(6)</u>	Class A Common 13,750 Stock
Reporting Owners						
Reporting Owner Name / Address			Relationships			
	Director	10% Owner	Officer	Other		
Murphy Robert John 4051 BROAD STREET SUITE 220 SAN LUIS OBISPO, CA 93401	Х	Х	Chief Operating Officer			
Signatures						
/s/ Kimberly G. Lytikainen,						
Attorney-in-Fact		12/18				
**Signature of Reporting Person		Ι	Date			
Explanation of Pos	none	001				

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each share of Class A Common Stock was issued upon the conversion of one share of Class B Common Stock at the election of Reporting Person.
- (2) The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$14.89(3) to \$15.10, inclusive. Upon request by the Commission staff, the Issuer, or a security holder of the Issuer, the Reporting Person will provide full information regarding the number of shares sold at each separate price within the range set forth in this Form 4.
- (4) The shares are held of record by the Robert John Murphy Family Trust, for which the Reporting Person's spouse serves as trustee.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$14.41 (5) to \$15.22, inclusive. Upon request by the Commission staff, the Issuer, or a security holder of the Issuer, the Reporting Person will

- provide full information regarding the number of shares sold at each separate price within the range set forth in this Form 4.
- (6) Each share of Class B Common Stock is convertible into one share of Class A Common Stock at the option of the holder and has no expiration date.
- (7) The shares are held of record by the Reporting Person's spouse.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.