PATTERSON COMPANIES, INC.

Form 4 July 06, 2015

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

Stock

Stock

Stock

Common

Common

07/01/2015

07/01/2015

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **GUGGENHEIM PAUL A** Issuer Symbol PATTERSON COMPANIES, INC. (Check all applicable) [PDCO] 3. Date of Earliest Transaction (Last) (First) (Middle) Director 10% Owner X\_ Officer (give title Other (specify (Month/Day/Year) below) below) 1031 MENDOTA HEIGHTS ROAD 07/01/2015 President - Subsidiary (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting **ST PAUL, MN 55120** Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Form: Direct Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially (D) or Beneficial (Month/Day/Year) (Instr. 8) Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price Common 07/01/2015  $F^{(1)}$ 222 D 91,943 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $A^{(2)}$ 

 $A^{(3)}$ 

84

2,537

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92,027

94,564

D

D

49.27

\$0

A

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Options	\$ 49.27	07/01/2015		A(4)	10,674	07/01/2018	07/01/2025	Common Stock	10,674
Employee Stock Options	\$ 56.66	07/01/2015		A(4)	75,000	<u>(5)</u>	07/01/2025	Common Stock	75,000
Employee Stock Options	<u>(6)</u>					07/01/2017	07/01/2024	Common Stock	6,600

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

GUGGENHEIM PAUL A 1031 MENDOTA HEIGHTS ROAD

President - Subsidiary

Signatures

**ST PAUL, MN 55120** 

Les B. Korsh, by Power of Attorney

07/06/2015

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares withheld for taxes incurred upon lapse of restrictions on restricted stock issued pursuant to the Patterson Companies, Inc. Equity Incentive Plan.
- (2) After forfeited shares were calculated, Reporting Person was allocated additional shares pursuant to the Patterson Companies, Inc. Employee Stock Ownership Plan.
- (3) Shares of restricted stock granted pursuant to the Patterson Companies, Inc. Equity Incentive Plan.

Reporting Owners 2

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- (4) Stock options granted pursuant to the Patterson Companies, Inc. Equity Incentive Plan.
- (5) Options are exercisable as follows: 25% on 7/1/2018, 25% on 7/1/2019 and 50% on 7/2/2020.
- (6) Options granted as follows: 6,600 on 7/1/2014 at \$39.64.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.