MANNKIND CORP

Form 4 June 06, 2014

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

(21 01 1) pe 1	ett op onses)							
1. Name and A PFEFFER N	Symbol		d Ticker or Trading  ORP [MNKD]	5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First) (I	Middle) 3. Date of	of Earliest T	ransaction	(Chec	ck all applicable	;)	
28903 NOR	TH AVENUE PA	(Month/l	Day/Year)		DirectorX Officer (given below)		` •	
	4. If Am	4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check			
VALENCIA	A, CA 91355	Filed(Mo	onth/Day/Yea	ur)	Applicable Line) _X_ Form filed by I Form filed by I Person			
(City)	(State)	(Zip) Tab	le I - Non-	Derivative Securities Acq		f, or Beneficial	ly Owne	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		3. Transact Code	4. Securities Acquired ior(A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially	6. Ownership Form: Direct (D) or	7. Natur Indirect Benefic	

(011)	(State)	Table	e I - Non-D	erivative S	ecurit	ies Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securities Acquired n(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common			Code V	Amount		Price	Transaction(s) (Instr. 3 and 4)		
Stock, \$0.01 Par Value	06/04/2014		S <u>(1)</u>	10,000	D	\$ 10	146,257	D	
Common Stock, \$0.01 Par Value	06/05/2014		A	10,000	A	\$ 2.23	156,257	D	
Common Stock, \$0.01 Par Value	06/05/2014		D <u>(1)</u>	10,000	D	\$ 11	146,257	D	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 2.23	06/05/2014		M	10,000	04/28/2010	04/28/2018	Common Stock, \$0.01 Par Value	10,000

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

PFEFFER MATTHEW J 28903 NORTH AVENUE PAINE VALENCIA, CA 91355

Corporate VP and CFO

### **Signatures**

/s/ Matthew J. 06/06/2014

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction occurred pursuant to Rule 10B5-1 Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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