Foundation Medicine, Inc. Form 4/A

May 27, 2014

## FORM 4

Form 4 or

obligations

may continue.

Form 5

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Kafka Steven J. Issuer Symbol Foundation Medicine, Inc. [FMI] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X\_ Officer (give title Other (specify 150 SECOND STREET 04/09/2014 below) below) Chief Operating Officer (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person 04/11/2014 Form filed by More than One Reporting CAMBRIDGE, MA 02141 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Form: Direct Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially (D) or Beneficial (Month/Day/Year) Owned Indirect (I) Ownership (Instr. 8) Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price 5,000 Common 04/09/2014 5,000 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Α

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29.94

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(1)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 29.94	04/09/2014		A	10,000	(2)	04/09/2024	Common Stock	10,000

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
Kafka Steven J. 150 SECOND STREET CAMBRIDGE, MA 02141			Chief Operating Officer				
Signatures							
Robert Hesslein, as Attorney-in-I Kafka	Fact for Ste	ven J.	05/27/2014				

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The restricted stock units were granted by the Issuer pursuant to its 2013 Stock Option and Incentive Plan. The restricted stock units will vest in equal quarterly installments over a four-year period on the last trading day of each calendar quarter, with the first installment vesting on June 30, 2014.
- (2) The option will vest in equal quarterly installments over a four-year period on the last trading day of each calendar quarter, with the first installment vesting on June 30, 2014.

#### **Remarks:**

The securities were granted on April 9, 2014 and Table I, Column 3 was incorrectly coded "D" instead of "A".

The award of 5,000 restricted stock units was originally granted on April 9, 2014 as shares of restricted stock and was amende. The vesting schedule of the restricted stock units and option grant was originally reported as quarterly installments with the fire

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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