Edgar Filing: Financial Engines, Inc. - Form 4

Financial Engines,	, Inc.										
Form 4 May 15, 2014											
May 15, 2014								OMB A	OMB APPROVAL		
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							N OMB Number:	3235-0287			
Check this box if no longer			C	Expires:	January 31, 2005						
subject to Section 16. Form 4 or	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								Estimated average burden hours per response 0.5		
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type Respon	ses)										
1. Name and Address of Reporting Person <u>*</u> Cheong Chung Meng			2. Issuer Name and Ticker or Trading Symbol Financial Engines, Inc. [FNGN]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
											(Last) (First) (Middle) 1050 ENTERPRISE WAY, 3RD FLOOR
(Street)			4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check				
SUNNYVALE, C		Filed(Month/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City) (S	State)	(Zip)			~ • •	~ • •					
		-					cquired, Disposed		-		
1.Title of Security2. Transaction Date (Month/Day/Year)2A. Deem Execution(Instr. 3)(Month/Day/Year)any (Month/D						(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code V	Amount	or (D) Price	(Instr. 3 and 4)				
Reminder: Report on a	a separate line	for each cla	ass of secu	urities bene	-	-	-				
					inform requir	ation cont ed to respo ys a curre	spond to the colle ained in this form ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)		

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)

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(Instr. 3)	Price of Derivative Security		(Month/Day/Ye	ar) (Instr. 8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)				
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee NQ Stock Option (Right to Buy)	\$ 38.96	05/14/2014		A	54,164	<u>(1)</u>	05/14/2024	Common Stock	54,164
Report	ing Owi	ners							
Reporting Owner Name / Address Director 10% O			Relationships	5					
			10% Owner	Officer		Other			
3RD FLOC	ERPRISE WA			EVP, Custo	mer Experier	nce			
Signat	ures								
Jeffrey C. Cheong	Grace, Attorne	ey-in-Fact For:	Chung Meng		05/15/2014				
	<u>**</u> Signature	of Reporting Person			Date				
Explan	ation of	f Respon	ses:						

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The option award vests as to 13,541 shares on 04/21/15, and the remaining shares vest monthly thereafter from 05/21/15 to 04/21/18.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.