Schatz Jill E Form 4 July 15, 2009

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

burden hours per response...

Estimated average

**OMB APPROVAL** 

subject to Section 16. Form 4 or Form 5 obligations

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

PO BOX 481

(Print or Type Responses)

may continue.

See Instruction

1. Name and Address of Reporting Person \* SCHATZ DOUGLAS S & SCHATZ JILL E FAMILY TRUST

(First)

(Street)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

ADVANCED ENERGY INDUSTRIES INC [AEIS]

(Check all applicable)

(Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

\_X\_\_ 10% Owner Director \_ Other (specify Officer (give title below)

07/13/2009

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting Person

#### FORT COLLINS, CO 80522

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	ansaction Disposed of (D) ode (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
Common Stock	07/13/2009		Code V S	Amount 5,400	(D)	Price \$ 10	8,490,944 (1) (2) (3)	D				
Common Stock	07/13/2009		S	1,000	D	\$ 10.0018	8,489,944 <u>(1)</u> (2) <u>(3)</u>	D				
Common Stock	07/13/2009		S	500	D	\$ 10.0027	8,489,444 <u>(1)</u> (2) <u>(3)</u>	D				
Common Stock	07/13/2009		S	800	D	\$ 10.01	8,488,644 <u>(1)</u> (2) <u>(3)</u>	D				
Common Stock	07/13/2009		S	100	D	\$ 10.0104	8,488,544 <u>(1)</u> (2) (3)	D				

Common Stock	07/13/2009	S	1,800	D	\$ 10.02	8,486,744 <u>(1)</u> (2) (3)	D
Common Stock	07/13/2009	S	100	D	\$ 10.03	8,486,644 <u>(1)</u> (2) (3)	D
Common Stock	07/13/2009	S	100	D	\$ 10.04	8,486,544 <u>(1)</u> (2) (3)	D
Common Stock	07/13/2009	S	900	D	\$ 10.05	8,485,644 (1) (2) (3)	D
Common Stock	07/13/2009	S	100	D	\$ 10.0514	8,485,544 <u>(1)</u> <u>(2)</u> <u>(3)</u>	D
Common Stock	07/13/2009	S	1,900	D	\$ 10.06	8,483,644 <u>(1)</u> (2) (3)	D
Common Stock	07/13/2009	S	100	D	\$ 10.0609	8,483,544 <u>(1)</u> (2) (3)	D
Common Stock	07/13/2009	S	1,000	D	\$ 10.07	8,482,544 (1) (2) (3)	D
Common Stock	07/13/2009	S	600	D	\$ 10.0709	8,481,944 <u>(1)</u> (2) (3)	D
Common Stock	07/13/2009	S	1,100	D	\$ 10.08	8,480,844 <u>(1)</u> (2) (3)	D
Common Stock	07/13/2009	S	500	D	\$ 10.0827	8,480,344 <u>(1)</u> (2) (3)	D
Common Stock	07/13/2009	S	100	D	\$ 10.09	8,480,244 <u>(1)</u> (2) (3)	D
Common Stock	07/13/2009	S	100	D	\$ 10.1	8,480,144 <u>(1)</u> (2) (3)	D
Common Stock	07/13/2009	S	100	D	\$ 10.11	8,480,044 <u>(1)</u> (2) (3)	D
Common Stock	07/13/2009	S	200	D	\$ 10.1133	8,479,844 <u>(1)</u> (2) (3)	D
Common Stock	07/13/2009	S	982	D	\$ 10.12	8,478,862 <u>(1)</u> <u>(2)</u> <u>(3)</u>	D
Common Stock	07/13/2009	S	118	D	\$ 10.1227	8,478,744 <u>(1)</u> (2) (3)	D
Common Stock	07/13/2009	S	100	D	\$ 10.16	8,478,644 (1) (2) (3)	D
Common Stock	07/13/2009	S	100	D	\$ 10.17	8,478,544 (1) (2) (3)	D
Common Stock	07/13/2009	S	100	D	\$ 10.1722	8,478,444 <u>(1)</u> (2) (3)	D
	07/13/2009	S	100	D	\$ 10.19		D

### Edgar Filing: Schatz Jill E - Form 4

Common Stock						8,478,344 (1) (2) (3)	
Common Stock	07/13/2009	S	300	D	\$ 10.2	8,478,044 (1) (2) (3)	D
Common Stock	07/13/2009	S	100	D	\$ 10.21	8,477,944 <u>(1)</u> (2) (3)	D
Common Stock	07/13/2009	S	100	D	\$ 10.22	8,477,844 <u>(1)</u> (2) (3)	D
Common Stock	07/13/2009	S	100	D	\$ 10.23	8,477,744 (1) (2) (3)	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Securi (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
SCHATZ DOUGLAS S & SCHATZ JILL E FAMILY TRUST PO BOX 481 FORT COLLINS, CO 80522		X					
SCHATZ DOUGLAS S P.O. BOX 481 FORT COLLINS, CO 80522	X						
		X					

Reporting Owners 3

Schatz Jill E P.O. BOX 481 FORT COLLINS, CO 80522

## **Signatures**

/S/ Thomas O. McGimpsey (Attorney-in-Fact)

07/15/2009

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to Rule 10b5-1 trading plan adopted by the reporting persons on May 28, 2009.
- (2) These shares are owned directly by Douglas S. Schatz & Jill E. Schatz Family Trust, a ten percent owner of the issuer, and indirectly by Douglas S. Schatz and Jill E. Schatz, co-trustees of the trust.
- (3) Includes 26,350 shares of restricted stock units held directly by Douglas S. Schatz, who is a director of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 4