

TD AMERITRADE HOLDING CORP
Form 4
May 30, 2007

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
RICKETTS THOMAS S

2. Issuer Name and Ticker or Trading Symbol
TD AMERITRADE HOLDING CORP [AMTD]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
4211 SOUTH 102ND STREET
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
05/25/2007

Director 10% Owner
 Officer (give title below) Other (specify below)

OMAHA, NE 68127
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | | (A) or (D) | Price | | |
| | | | | Code | V | Amount | |
| Common Stock | 05/25/2007 | | J ⁽¹⁾ | 14,616 | A | \$ 472,311 | D |
| Common Stock | 05/25/2007 | | S ⁽²⁾ | 695,355 | D | \$ 19.055 | I |
| Common Stock | 05/25/2007 | | J ⁽⁴⁾ | 1,014,383 | D | \$ 1,566,767 | I |
| Common Stock | 05/25/2007 | | J ⁽⁵⁾ | 58,464 | D | \$ 1,508,303 | I |

By annuity trusts ⁽³⁾
By annuity trusts ⁽³⁾
By annuity trust ⁽⁶⁾

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| | | | | | | | | |
|--------------|------------|------------------|---------|---|------------|--------|---|-------------------------------|
| Common Stock | 05/25/2007 | J ⁽⁷⁾ | 464,624 | D | <u>(7)</u> | 0 | I | By trust <u>(7)</u> |
| Common Stock | | | | | | 26,600 | I | By his children <u>(8)</u> |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned (Instr. 5) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| RICKETTS THOMAS S 4211 SOUTH 102ND STREET OMAHA, NE 68127 | | | X | |

Signatures

/s/ Thomas S. Ricketts 05/30/2007
 **Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- (1) Transfer of shares for no consideration by the Marlene M. Ricketts 2004-1 Qualified Annuity Trust to Mr. Ricketts, as a remainder beneficiary of such trust.
- (2) Sale of shares, for estate planning purposes, by Marlene M. Ricketts 2004-1 Qualified Annuity Trust to Marlene M. Ricketts, the grantor and a beneficiary of such trust.
- (3) Shares are held by the Marlene M. Ricketts 2004-1 Qualified Annuity Trust and the Marlene M. Ricketts 2004-2 Qualified Annuity Trust, for which Mr. Ricketts is Co-Trustee and Mrs. Ricketts is the grantor and a beneficiary.
- (4) Transfer of shares for no consideration by the Marlene M. Ricketts 2004-1 Qualified Annuity Trust and the Marlene M. Ricketts 2004-2 Qualified Annuity Trust to Mrs. Ricketts, the grantor and a beneficiary of such trusts.
- (5) Transfer of shares for no consideration by the Marlene M. Ricketts 2004-1 Qualified Annuity Trust to the remainder beneficiaries of such trust.
- (6) Shares are held by the Marlene M. Ricketts 2004-2 Qualified Annuity Trust, for which Mr. Ricketts is Co-Trustee and Mrs. Ricketts is the grantor and a beneficiary.
- (7) Transfer of shares upon termination of the Marlene M. Ricketts 1999 Irrevocable Trust f/b/o Thomas S. Ricketts of which trust Mr. Ricketts was trustee.
- (8) Shares are held by trusts created for Mr. Ricketts' children.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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