### Edgar Filing: Amber Road, Inc. - Form 4

Amber Road	, Inc.									
Form 4 February 24,	2016									
								OMB A	PPROVAL	
FORM	<b>4</b> UNITED S	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							3235-0287	
Check thi if no long subject to Section 1 Form 4 or Form 5 obligation may conti <i>See</i> Instru 1(b).	6. Filed purs Section 17(a	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES       Expires:       January 31 2005         Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,       Expires:       0.5         Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,       0.5         Section 17(a) of the Public Utility Holding Company Act of 1935 or Section       0.5								
(Print or Type R	Responses)									
1. Name and A CALDWEL	Symbol	2. Issuer Name <b>and</b> Ticker or Trading Symbol Amber Road, Inc. [AMBR]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last)	(First) (M	liddle) 3. Date of	f Earliest Tr	ansaction			(Chee	teck an applicable)		
FIVE RADI CENTER, S MATSONF		(Month/Day/Year) 02/19/2016				X_ DirectorX_ 10% Owner Officer (give titleOther (specify below) below)				
	4. If Ame	4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check				
	(Month/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person					
RADNOR, I	PA 19087						Form filed by More than One Reporting Person			
(City)	(State) (	Zip) Tabl	le I - Non-D	erivative S	ecurit	ties Acc	uired, Disposed o	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Code	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Common Stock	02/19/2016		С	11,264 (1)	A	\$0	25,110 <u>(2)</u>	D		
Common Stock							5,596,677 <u>(2)</u> (3)	Ι	See Footnote 3	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. l De Sec (In
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	\$ 0	02/19/2016		C	11,264	<u>(4)</u>	(4)	Common Stock	11,264	

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships					
	Director	10% Owner	Officer	Other		
CALDWELL DONALD R FIVE RADNOR CORPORATE CENTER, SUITE 555 100 MATSONFORD ROAD RADNOR, PA 19087	Х	Х				
Signatures						
/s/ Brad Holmstrom, as attorney-in-fact for Donald R. Caldwell	02/24/2016					
**Signature of Reporting Person		Date				

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares were acquired by the Reporting Person as a result of the vesting and settlement of an RSU grant that the Reporting Person initially received on February 19, 2015 (as previously reported on Form 4), and as shown in Table II of this Form.

(2) Under the terms of the applicable partnership agreements of the Funds (as defined below), the Reporting Person is deemed to hold the newly acquired shares for the benefit of the Funds, which are entitled to receive the net economic benefit of the shares as a credit against the management fees owed by the Funds to Cross Atlantic Capital Partners, Inc. The Reporting Person disclaims beneficial ownership of the shares except to the extent of his pecuniary interest therein.

Consists of shares held by or issuable to Cross Atlantic Technology Fund, II, L.P., The Co-Investment Fund II, L.P. and The Co-Investment 2000 Fund, L.P. (the "Funds"). Donald R. Caldwell, a director of the Issuer, is a director, shareholder and officer of Cross Atlantic Capital Partners II, Inc., which is the general partner of XATF Management II, L.P., which is the general partner of Cross

- (3) Atlantic Technology Fund II, L.P. Donald R. Caldwell, is director, shareholder and officer of Co-Invest Capital Partners, Inc., which is the general partner Co-Invest Management, L.P., which is the general partner of The Co-Investment 2000 Fund, L.P. Donald R. Caldwell is a director, shareholder and officer of Co-Invest Capital Partners II, Inc., which is the general partner of Co-Invest Management II, L.P., which is the general partner of The Co-Invest Management II, L.P., which is the general partner of Co-Invest Management II, L.P., which is the general partner of Co-Invest Management II, L.P., which is the general partner of The Co-Invest Management II, L.P., which is the general partner of The Co-Invest Management II, L.P., which is the general partner of The Co-Invest Management II, L.P., which is the general partner of The Co-Invest Management II, L.P., which is the general partner of The Co-Invest Management II, L.P., which is the general partner of The Co-Invest Management II, L.P., which is the general partner of The Co-Invest Management II, L.P., which is the general partner of The Co-Invest Management II, L.P.
- (4) Each RSU provided for vesting on the earlier of (i) the first anniversary of the grant date of such RSU, (ii) the date of the director's death, or (iii) upon a Change of Control of the registrant, as defined in the related RSU agreement (the "Vesting Date"), provided that the

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non-employee director has remained in continuous service as a member of the Board until the Vesting Date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.