FINJAN HOLDINGS, INC.

Form 3

November 12, 2014

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

A Noonan Michael David

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

11/11/2014

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

FINJAN HOLDINGS, INC. [FNJN]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(give title below) (specify below)

CFO & Treasurer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O FINJAN HOLDINGS, INC., 122 E. 42ND STREET,

**SUITE 1512** 

(Street)

Director \_X\_\_ Officer

10% Owner Other

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

NEW YORK. NYÂ 10168

(City) (State) (Zip)

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial

Ownership Form: (Instr. 5)

Direct (D) or Indirect (I)

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** 

(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. 5. Conversion or Exercise

6. Nature of Indirect Ownership Beneficial Ownership Form of (Instr. 5) Derivative

Security:

Direct (D)

Title

Amount or

Derivative

Price of

Expiration Security Exercisable Number of Date

1

#### Edgar Filing: FINJAN HOLDINGS, INC. - Form 3

Shares or Indirect (I)

(Instr. 5)

Restricted Stock Units

(2)

10/27/2024

Common Stock (1)

130,000

\$0

D Â

# **Reporting Owners**

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer

Other

Noonan Michael David C/O FINJAN HOLDINGS, INC. 122 E. 42ND STREET, SUITE 1512 NEW YORK, NYÂ 10168

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Â CFO & Treasurer Â

# **Signatures**

/s/ Michael D.

Noonan

11/12/2014

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Each restricted stock unit ("RSU") granted to the reporting person represents the contingent right to receive one share of common stock of (1) Finjan Holdings, Inc. or its equivalent cash value, subject to the terms and conditions of the Finjan Holdings, Inc. 2014 Incentive
- (2) The RSUs vest one-third on October 27, 2015 and an additional 8.33% of the RSUs vest every three calendar months following such date. The RSUs will be settled upon vesting.

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#### Remarks:

Exhibit - Power of Attorney

Compensation Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2