

SMITH A O CORP
Form 8-K
April 10, 2019

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of
the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): April 9, 2019

A. O. Smith Corporation

(Exact name of registrant as specified in its charter)

Delaware
(State or other jurisdiction of
incorporation)

1-475
(Commission

39-0619790
(IRS Employer

File Number)
11270 West Park Place, Milwaukee, Wisconsin 53224

Identification No.)

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(Address of principal executive offices, including zip code)

(414) 359-4000

(Registrant's telephone number)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

Written communication pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 204.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13-e4(c) under the Exchange Act (17 CFR 240.13e-4(c))
Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item 5.07.Submission of Matters to a Vote of Security Holders.

A. O. Corporation (the Company) held its Annual Meeting of Stockholders on April 9, 2019, for the purposes of the election of the Company's Board of Directors, to hold an advisory vote to approve the compensation of our named executive officers, and to ratify the appointment of Ernst & Young LLP as our independent registered public accounting firm for the fiscal year ending December 31, 2019.

The voting results for the election of the Company's Board of Directors were as follows:

| Class A Common Stock Directors | For | Authority Withheld | Broker Non-Vote |
|---|------------|-------------------------------|----------------------------|
| Ronald D. Brown | 25,668,508 | 60 | 0 |
| Paul W. Jones | 25,668,508 | 60 | 0 |
| Ajita G. Rajendra | 25,668,508 | 60 | 0 |
| Bruce M. Smith | 25,668,508 | 60 | 0 |
| Mark D. Smith | 25,667,428 | 1,140 | 0 |
| Kevin J. Wheeler | 25,668,508 | 60 | 0 |

| Common Stock Directors | For | Authority Withheld | Broker Non-Vote |
|-----------------------------------|------------|-------------------------------|----------------------------|
| William P. Greubel | 80,265,420 | 15,480,395 | 10,560,244 |
| Dr. Ilham Kadri | 89,254,831 | 6,490,984 | 10,560,244 |
| Idelle K. Wolf | 87,106,088 | 8,639,727 | 10,560,244 |
| Gene C. Wulf | 86,265,479 | 9,480,336 | 10,560,244 |

The advisory voting results for the approval of the compensation of the Company's named executive officers were as follows:

| | |
|------------------|--------------------|
| | Total Votes |
| For | 34,519,094 |
| Against | 704,328 |
| Abstain | 19,704 |
| Broker Non-Votes | 1,056,048 |

The voting results for the ratification of the appointment of Ernst & Young LLP as the independent registered public accounting firm for the Company for the fiscal year ending December 31, 2019, were as follows

| | |
|------------------|--------------------|
| | Total Votes |
| For | 35,979,570 |
| Against | 309,137 |
| Abstain | 10,467 |
| Broker Non-Votes | 0 |

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

A. O. SMITH CORPORATION

Date: April 10, 2019

By: /s/ James F. Stern
James F. Stern
Executive Vice President,
General Counsel and Secretary