

TRI-CONTINENTAL CORP
Form N-PX
August 21, 2018

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED
MANAGEMENT INVESTMENT COMPANY

| | |
|---|---|
| Investment Company Act File Number: | 811-00266 |
| Name of Registrant: | Tri-Continental Corporation |
| Address of Principal Executive Offices: | 225 Franklin Street Boston, Massachusetts 02110 |
| Name and address of agents for service: | Christopher O. Petersen, Esq. c/o Columbia Management Investment Advisers, LLC 225 Franklin Street Boston, Massachusetts 02110 |

Ryan C. Larrenaga, Esq.

**c/o Columbia Management Investment Advisers,
LLC**

225 Franklin Street

Boston, Massachusetts 02110

Registrant's telephone number including area code:

612-671-4321

Date of fiscal year end:

12/31

Date of reporting period:

07/01/2017 - 06/30/2018

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***** FORM N-Px REPORT *****

ICA File Number: 811-00266
 Reporting Period: 07/01/2017 - 06/30/2018
 Tri-Continental Corporation

Item 1. Proxy Voting Record

===== Tri-Continental Corporation =====

8POINT3 ENERGY PARTNERS LP

Ticker: CAFD Security ID: 282539105
 Meeting Date: MAY 23, 2018 Meeting Type: Special
 Record Date: APR 06, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|--|---------|-----------|------------|
| 1 | Approve Merger Agreement | For | For | Management |
| 2 | Direct the Partnership to Vote Its OpCo Common Units in Favor of the Merger Agreement and the OpCo Mergers | For | For | Management |

 ACTIVISION BLIZZARD, INC.

Ticker: ATVI Security ID: 00507V109
 Meeting Date: JUN 26, 2018 Meeting Type: Annual
 Record Date: APR 27, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|------------|
| 1.1 | Elect Director Reveta Bowers | For | For | Management |
| 1.2 | Elect Director Robert Corti | For | For | Management |
| 1.3 | Elect Director Hendrik Hartong, III | For | For | Management |
| 1.4 | Elect Director Brian Kelly | For | For | Management |
| 1.5 | Elect Director Robert A. Kotick | For | For | Management |
| 1.6 | Elect Director Barry Meyer | For | For | Management |
| 1.7 | Elect Director Robert Morgado | For | For | Management |
| 1.8 | Elect Director Peter Nolan | For | For | Management |
| 1.9 | Elect Director Casey Wasserman | For | For | Management |
| 1.10 | Elect Director Elaine Wynn | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |

 ADOBE SYSTEMS INCORPORATED

Ticker: ADBE Security ID: 00724F101

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Meeting Date: APR 12, 2018 Meeting Type: Annual

Record Date: FEB 14, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1a | Elect Director Amy L. Banse | For | For | Management |
| 1b | Elect Director Edward W. Barnholt | For | For | Management |
| 1c | Elect Director Robert K. Burgess | For | For | Management |
| 1d | Elect Director Frank A. Calderoni | For | For | Management |
| 1e | Elect Director James E. Daley | For | Abstain | Management |
| 1f | Elect Director Laura B. Desmond | For | For | Management |
| 1g | Elect Director Charles M. Geschke | For | For | Management |
| 1h | Elect Director Shantanu Narayan | For | For | Management |
| 1i | Elect Director Daniel L. Rosensweig | For | Against | Management |
| 1j | Elect Director John E. Warnock | For | For | Management |
| 2 | Amend Omnibus Stock Plan | For | For | Management |
| 3 | Ratify KPMG LLP as Auditors | For | For | Management |
| 4 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

ALEXANDRIA REAL ESTATE EQUITIES, INC.

Ticker: ARE Security ID: 015271109

Meeting Date: MAY 22, 2018 Meeting Type: Annual

Record Date: MAR 29, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|------------|
| 1.1 | Elect Director Joel S. Marcus | For | For | Management |
| 1.2 | Elect Director Steven R. Hash | For | For | Management |
| 1.3 | Elect Director John L. Atkins, III | For | Abstain | Management |
| 1.4 | Elect Director James P. Cain | For | For | Management |
| 1.5 | Elect Director Maria C. Freire | For | For | Management |
| 1.6 | Elect Director Richard H. Klein | For | For | Management |
| 1.7 | Elect Director James H. Richardson | For | For | Management |
| 1.8 | Elect Director Michael A. Woronoff | For | For | Management |
| 2 | Amend Omnibus Stock Plan | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Ratify Ernst & Young LLP as Auditors | For | For | Management |

ALEXION PHARMACEUTICALS, INC.

Ticker: ALXN Security ID: 015351109

Meeting Date: MAY 08, 2018 Meeting Type: Annual

Record Date: MAR 12, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|------------|
| 1.1 | Elect Director Felix J. Baker | For | For | Management |
| 1.2 | Elect Director David R. Brennan | For | For | Management |
| 1.3 | Elect Director Christopher J. Coughlin | For | For | Management |
| 1.4 | Elect Director Deborah Dunsire | For | For | Management |
| 1.5 | Elect Director Paul Friedman | For | Against | Management |
| 1.6 | Elect Director Ludwig N. Hantson | For | For | Management |
| 1.7 | Elect Director John T. Mollen | For | For | Management |
| 1.8 | Elect Director Francois Nader | For | For | Management |
| 1.9 | Elect Director Judith Reinsdorf | For | For | Management |
| 1.10 | Elect Director Andreas Rummelt | For | For | Management |

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| | | | | |
|---|--|---------|-----|-------------|
| 2 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Require Independent Board Chairman | Against | For | Shareholder |

ALPHABET INC.

Ticker: GOOGL Security ID: 02079K305
 Meeting Date: JUN 06, 2018 Meeting Type: Annual
 Record Date: APR 18, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|---|---------|-----------|-------------|
| 1.1 | Elect Director Larry Page | For | For | Management |
| 1.2 | Elect Director Sergey Brin | For | For | Management |
| 1.3 | Elect Director Eric E. Schmidt | For | For | Management |
| 1.4 | Elect Director L. John Doerr | For | Withhold | Management |
| 1.5 | Elect Director Roger W. Ferguson, Jr. | For | For | Management |
| 1.6 | Elect Director Diane B. Greene | For | For | Management |
| 1.7 | Elect Director John L. Hennessy | For | For | Management |
| 1.8 | Elect Director Ann Mather | For | Withhold | Management |
| 1.9 | Elect Director Alan R. Mulally | For | For | Management |
| 1.10 | Elect Director Sundar Pichai | For | For | Management |
| 1.11 | Elect Director K. Ram Shriram | For | For | Management |
| 2 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 3 | Amend Omnibus Stock Plan | For | For | Management |
| 4 | Approve Recapitalization Plan for all Stock to Have One-vote per Share | Against | For | Shareholder |
| 5 | Report on Lobbying Payments and Policy | Against | Against | Shareholder |
| 6 | Report on Gender Pay Gap | Against | For | Shareholder |
| 7 | Adopt Simple Majority Vote | Against | For | Shareholder |
| 8 | Assess Feasibility of Including Sustainability as a Performance Measure for Senior Executive Compensation | Against | Against | Shareholder |
| 9 | Adopt a Policy on Board Diversity | Against | Against | Shareholder |
| 10 | Report on Major Global Content Management Controversies | Against | For | Shareholder |

ALTRIA GROUP, INC.

Ticker: MO Security ID: 02209S103
 Meeting Date: MAY 17, 2018 Meeting Type: Annual
 Record Date: MAR 26, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--------------------------------------|---------|-----------|------------|
| 1.1 | Elect Director John T. Casteen, III | For | For | Management |
| 1.2 | Elect Director Dinyar S. Devitre | For | For | Management |
| 1.3 | Elect Director Thomas F. Farrell, II | For | For | Management |
| 1.4 | Elect Director Debra J. Kelly-Ennis | For | For | Management |
| 1.5 | Elect Director W. Leo Kiely, III | For | For | Management |
| 1.6 | Elect Director Kathryn B. McQuade | For | For | Management |
| 1.7 | Elect Director George Munoz | For | For | Management |
| 1.8 | Elect Director Mark E. Newman | For | For | Management |
| 1.9 | Elect Director Nabil Y. Sakkab | For | For | Management |
| 1.10 | Elect Director Virginia E. Shanks | For | For | Management |

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| | | | | |
|------|--|---------|---------|-------------|
| 1.11 | Elect Director Howard A. Willard, III | For | For | Management |
| 2 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Reduce Nicotine Levels in Tobacco Products | Against | Against | Shareholder |

AMAZON.COM, INC.

Ticker: AMZN Security ID: 023135106
 Meeting Date: MAY 30, 2018 Meeting Type: Annual
 Record Date: APR 05, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Jeffrey P. Bezos | For | For | Management |
| 1b | Elect Director Tom A. Alberg | For | For | Management |
| 1c | Elect Director Jamie S. Gorelick | For | Abstain | Management |
| 1d | Elect Director Daniel P. Huttenlocher | For | For | Management |
| 1e | Elect Director Judith A. McGrath | For | For | Management |
| 1f | Elect Director Jonathan J. Rubinstein | For | For | Management |
| 1g | Elect Director Thomas O. Ryder | For | Against | Management |
| 1h | Elect Director Patricia Q. Stonesifer | For | For | Management |
| 1i | Elect Director Wendell P. Weeks | For | Against | Management |
| 2 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Adopt Policy on Board Diversity *Withdrawn Resolution* | None | None | Shareholder |
| 5 | Require Independent Board Chairman | Against | For | Shareholder |
| 6 | Provide Vote Counting to Exclude Abstentions | Against | Against | Shareholder |

AMERICAN ELECTRIC POWER COMPANY, INC.

Ticker: AEP Security ID: 025537101
 Meeting Date: APR 24, 2018 Meeting Type: Annual
 Record Date: FEB 26, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|------------|
| 1.1 | Elect Director Nicholas K. Akins | For | For | Management |
| 1.2 | Elect Director David J. Anderson | For | For | Management |
| 1.3 | Elect Director J. Barnie Beasley, Jr. | For | For | Management |
| 1.4 | Elect Director Ralph D. Crosby, Jr. | For | For | Management |
| 1.5 | Elect Director Linda A. Goodspeed | For | For | Management |
| 1.6 | Elect Director Thomas E. Hoaglin | For | For | Management |
| 1.7 | Elect Director Sandra Beach Lin | For | For | Management |
| 1.8 | Elect Director Richard C. Notebaert | For | For | Management |
| 1.9 | Elect Director Lionel L. Nowell, III | For | For | Management |
| 1.10 | Elect Director Stephen S. Rasmussen | For | For | Management |
| 1.11 | Elect Director Oliver G. Richard, III | For | For | Management |
| 1.12 | Elect Director Sara Martinez Tucker | For | For | Management |
| 2 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

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AMERICAN TOWER CORPORATION

Ticker: AMT Security ID: 03027X100
 Meeting Date: MAY 23, 2018 Meeting Type: Annual
 Record Date: MAR 28, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1a | Elect Director Gustavo Lara Cantu | For | For | Management |
| 1b | Elect Director Raymond P. Dolan | For | For | Management |
| 1c | Elect Director Robert D. Hormats | For | For | Management |
| 1d | Elect Director Grace D. Lieblein | For | For | Management |
| 1e | Elect Director Craig Macnab | For | For | Management |
| 1f | Elect Director JoAnn A. Reed | For | For | Management |
| 1g | Elect Director Pamela D.A. Reeve | For | For | Management |
| 1h | Elect Director David E. Sharbutt | For | For | Management |
| 1i | Elect Director James D. Taiclet, Jr. | For | For | Management |
| 1j | Elect Director Samme L. Thompson | For | For | Management |
| 2 | Ratify Deloitte & Touche LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

AMETEK, INC.

Ticker: AME Security ID: 031100100
 Meeting Date: MAY 08, 2018 Meeting Type: Annual
 Record Date: MAR 23, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1a | Elect Director Elizabeth R. Varet | For | For | Management |
| 1b | Elect Director Dennis K. Williams | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Ernst & Young LLP as Auditors | For | For | Management |

ANALOG DEVICES, INC.

Ticker: ADI Security ID: 032654105
 Meeting Date: MAR 14, 2018 Meeting Type: Annual
 Record Date: JAN 08, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|-------------------------------------|---------|-----------|------------|
| 1a | Elect Director Ray Stata | For | For | Management |
| 1b | Elect Director Vincent Roche | For | For | Management |
| 1c | Elect Director James A. Champy | For | For | Management |
| 1d | Elect Director Bruce R. Evans | For | For | Management |
| 1e | Elect Director Edward H. Frank | For | For | Management |
| 1f | Elect Director Mark M. Little | For | For | Management |
| 1g | Elect Director Neil Novich | For | For | Management |
| 1h | Elect Director Kenton J. Sicchitano | For | For | Management |
| 1i | Elect Director Lisa T. Su | For | For | Management |
| 2 | Advisory Vote to Ratify Named | For | For | Management |

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Executive Officers' Compensation
 3 Ratify Ernst & Young LLP as Auditors For For Management

AON PLC

Ticker: AON Security ID: G0408V102
 Meeting Date: JUN 22, 2018 Meeting Type: Annual
 Record Date: APR 24, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|------------|
| 1.1 | Elect Director Lester B. Knight | For | For | Management |
| 1.2 | Elect Director Gregory C. Case | For | For | Management |
| 1.3 | Elect Director Jin-Yong Cai | For | For | Management |
| 1.4 | Elect Director Jeffrey C. Campbell | For | For | Management |
| 1.5 | Elect Director Fulvio Conti | For | For | Management |
| 1.6 | Elect Director Cheryl A. Francis | For | For | Management |
| 1.7 | Elect Director J. Michael Losh | For | For | Management |
| 1.8 | Elect Director Richard B. Myers | For | For | Management |
| 1.9 | Elect Director Richard C. Notebaert | For | Against | Management |
| 1.10 | Elect Director Gloria Santona | For | For | Management |
| 1.11 | Elect Director Carolyn Y. Woo | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Management |
| 3 | Approve Remuneration Report | For | Against | Management |
| 4 | Accept Financial Statements and Statutory Reports | For | For | Management |
| 5 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 6 | Ratify Ernst & Young LLP as Aon's U.K. Statutory Auditor | For | For | Management |
| 7 | Authorize Board to Fix Remuneration of Auditors | For | For | Management |
| 8 | Authorise Shares for Market Purchase | For | For | Management |
| 9 | Issue of Equity or Equity-Linked Securities with Pre-emptive Rights | For | For | Management |
| 10 | Issue of Equity or Equity-Linked Securities without Pre-emptive Rights | For | For | Management |
| 11 | Approve Political Donations | For | For | Management |

APPLE INC.

Ticker: AAPL Security ID: 037833100
 Meeting Date: FEB 13, 2018 Meeting Type: Annual
 Record Date: DEC 15, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1a | Elect Director James Bell | For | For | Management |
| 1b | Elect Director Tim Cook | For | For | Management |
| 1c | Elect Director Al Gore | For | For | Management |
| 1d | Elect Director Bob Iger | For | For | Management |
| 1e | Elect Director Andrea Jung | For | For | Management |
| 1f | Elect Director Art Levinson | For | For | Management |
| 1g | Elect Director Ron Sugar | For | For | Management |
| 1h | Elect Director Sue Wagner | For | For | Management |
| 2 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

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|---|--|---------|---------|-------------|
| 4 | Amend Non-Employee Director Omnibus Stock Plan | For | For | Management |
| 5 | Proxy Access Amendments | Against | For | Shareholder |
| 6 | Establish Human Rights Committee | Against | Abstain | Shareholder |

ARES CAPITAL CORPORATION

Ticker: ARCC Security ID: 04010L103
 Meeting Date: MAY 14, 2018 Meeting Type: Special
 Record Date: MAR 16, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|--|---------|-----------|------------|
| 1 | Approve Issuance of Shares Below Net Asset Value (NAV) | For | For | Management |

ARES CAPITAL CORPORATION

Ticker: ARCC Security ID: 04010L103
 Meeting Date: MAY 14, 2018 Meeting Type: Annual
 Record Date: MAR 16, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|----------------------------------|---------|-----------|------------|
| 1a | Elect Director Steve Bartlett | For | For | Management |
| 1b | Elect Director Robert L. Rosen | For | Against | Management |
| 1c | Elect Director Bennett Rosenthal | For | For | Management |
| 2 | Ratify KPMG LLP as Auditors | For | For | Management |

AT&T INC.

Ticker: T Security ID: 00206R102
 Meeting Date: APR 27, 2018 Meeting Type: Annual
 Record Date: FEB 27, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|-------------|
| 1.1 | Elect Director Randall L. Stephenson | For | For | Management |
| 1.2 | Elect Director Samuel A. Di Piazza, Jr. | For | For | Management |
| 1.3 | Elect Director Richard W. Fisher | For | For | Management |
| 1.4 | Elect Director Scott T. Ford | For | For | Management |
| 1.5 | Elect Director Glenn H. Hutchins | For | For | Management |
| 1.6 | Elect Director William E. Kennard | For | For | Management |
| 1.7 | Elect Director Michael B. McCallister | For | For | Management |
| 1.8 | Elect Director Beth E. Mooney | For | For | Management |
| 1.9 | Elect Director Joyce M. Roche | For | For | Management |
| 1.10 | Elect Director Matthew K. Rose | For | For | Management |
| 1.11 | Elect Director Cynthia B. Taylor | For | For | Management |
| 1.12 | Elect Director Laura D'Andrea Tyson | For | For | Management |
| 1.13 | Elect Director Geoffrey Y. Yang | For | For | Management |
| 2 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Amend Stock Purchase and Deferral Plan | For | For | Management |
| 5 | Approve Omnibus Stock Plan | For | For | Management |
| 6 | Report on Lobbying Payments and Policy | Against | For | Shareholder |

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|---|---|---------|---------|-------------|
| 7 | Amend Proxy Access Right | Against | Against | Shareholder |
| 8 | Require Independent Board Chairman | Against | For | Shareholder |
| 9 | Provide Right to Act by Written Consent | Against | For | Shareholder |

AUTOMATIC DATA PROCESSING, INC.

Ticker: ADP Security ID: 053015103
Meeting Date: NOV 07, 2017 Meeting Type: Proxy Contest
Record Date: SEP 08, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|----------|-----------|-------------|
| | Management Proxy (White Proxy Card) | None | | |
| 1.1 | Elect Director Peter Bisson | For | For | Management |
| 1.2 | Elect Director Richard T. Clark | For | For | Management |
| 1.3 | Elect Director Eric C. Fast | For | For | Management |
| 1.4 | Elect Director Linda R. Gooden | For | For | Management |
| 1.5 | Elect Director Michael P. Gregoire | For | For | Management |
| 1.6 | Elect Director R. Glenn Hubbard | For | For | Management |
| 1.7 | Elect Director John P. Jones | For | For | Management |
| 1.8 | Elect Director William J. Ready | For | For | Management |
| 1.9 | Elect Director Carlos A. Rodriguez | For | For | Management |
| 1.10 | Elect Director Sandra S. Wijnberg | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Advisory Vote on Say on Pay Frequency | One Year | One Year | Management |
| 4 | Ratify Deloitte & Touche LLP as Auditors | For | For | Management |
| 5 | Repeal Amendments to the Company's By-Laws Adopted Without Stockholder Approval After August 2, 2016 | Against | For | Shareholder |

| # | Proposal | Diss Rec | Vote Cast | Sponsor |
|------|--|----------|--------------|-------------|
| | Dissident Proxy (Gold Proxy Card) | None | | |
| 1.1 | Elect Director William A. Ackman | For | Did Not Vote | Shareholder |
| 1.2 | Elect Director Veronica M. Hagen | For | Did Not Vote | Shareholder |
| 1.3 | Elect Director V. Paul Unruh | For | Did Not Vote | Shareholder |
| 1.4 | Management Nominee Peter Bisson | For | Did Not Vote | Shareholder |
| 1.5 | Management Nominee Richard T. Clark | For | Did Not Vote | Shareholder |
| 1.6 | Management Nominee Linda R. Gooden | For | Did Not Vote | Shareholder |
| 1.7 | Management Nominee Michael P. Gregoire | For | Did Not Vote | Shareholder |
| 1.8 | Management Nominee William J. Ready | For | Did Not Vote | Shareholder |
| 1.9 | Management Nominee Carlos A. Rodriguez | For | Did Not Vote | Shareholder |
| 1.10 | Management Nominee Sandra S. Wijnberg | For | Did Not Vote | Shareholder |
| 2 | Repeal Amendments to the Company's By-Laws Adopted Without Stockholder Approval After August 2, 2016 | For | Did Not Vote | Shareholder |
| 3 | Ratify Deloitte & Touche LLP as Auditors | For | Did Not Vote | Management |
| 4 | Advisory Vote on Say on Pay Frequency | One Year | Did Not Vote | Management |
| 5 | Advisory Vote to Ratify Named Executive Officers' Compensation | None | Did Not Vote | Management |

BANK OF AMERICA CORPORATION

Ticker: BAC Security ID: 060505104
Meeting Date: APR 25, 2018 Meeting Type: Annual
Record Date: MAR 02, 2018

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| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Sharon L. Allen | For | For | Management |
| 1b | Elect Director Susan S. Bies | For | For | Management |
| 1c | Elect Director Jack O. Bovender, Jr. | For | For | Management |
| 1d | Elect Director Frank P. Bramble, Sr. | For | For | Management |
| 1e | Elect Director Pierre J.P. de Weck | For | For | Management |
| 1f | Elect Director Arnold W. Donald | For | Against | Management |
| 1g | Elect Director Linda P. Hudson | For | For | Management |
| 1h | Elect Director Monica C. Lozano | For | For | Management |
| 1i | Elect Director Thomas J. May | For | For | Management |
| 1j | Elect Director Brian T. Moynihan | For | For | Management |
| 1k | Elect Director Lionel L. Nowell, III | For | For | Management |
| 1l | Elect Director Michael D. White | For | For | Management |
| 1m | Elect Director Thomas D. Woods | For | For | Management |
| 1n | Elect Director R. David Yost | For | For | Management |
| 1o | Elect Director Maria T. Zuber | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 4 | Require Independent Board Chairman | Against | For | Shareholder |

BAXTER INTERNATIONAL INC.

Ticker: BAX Security ID: 071813109
Meeting Date: MAY 08, 2018 Meeting Type: Annual
Record Date: MAR 15, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Jose (Joe) E. Almeida | For | For | Management |
| 1b | Elect Director Thomas F. Chen | For | For | Management |
| 1c | Elect Director John D. Forsyth | For | For | Management |
| 1d | Elect Director James R. Gavin, III | For | For | Management |
| 1e | Elect Director Peter S. Hellman | For | For | Management |
| 1f | Elect Director Munib Islam | For | For | Management |
| 1g | Elect Director Michael F. Mahoney | For | For | Management |
| 1h | Elect Director Stephen N. Oesterle | For | For | Management |
| 1i | Elect Director Carole J. Shapazian | For | For | Management |
| 1j | Elect Director Cathy R. Smith | For | For | Management |
| 1k | Elect Director Thomas T. Stallkamp | For | For | Management |
| 1l | Elect Director Albert P.L. Stroucken | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 4 | Require Independent Board Chairman | Against | For | Shareholder |
| 5 | Provide Right to Act by Written Consent | Against | For | Shareholder |

BEST BUY CO., INC.

Ticker: BBY Security ID: 086516101
Meeting Date: JUN 12, 2018 Meeting Type: Annual
Record Date: APR 16, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|----------|---------|-----------|---------|
|---|----------|---------|-----------|---------|

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| | | | | |
|----|--|-----|-----|------------|
| 1a | Elect Director Lisa M. Caputo | For | For | Management |
| 1b | Elect Director J. Patrick Doyle | For | For | Management |
| 1c | Elect Director Russell P. Fradin | For | For | Management |
| 1d | Elect Director Kathy J. Higgins Victor | For | For | Management |
| 1e | Elect Director Hubert Joly | For | For | Management |
| 1f | Elect Director David W. Kenny | For | For | Management |
| 1g | Elect Director Karen A. McLoughlin | For | For | Management |
| 1h | Elect Director Thomas L. "Tommy" Millner | For | For | Management |
| 1i | Elect Director Claudia F. Munce | For | For | Management |
| 1j | Elect Director Richelle P. Parham | For | For | Management |
| 2 | Ratify Deloitte & Touche LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

BIOGEN INC.

Ticker: BIIB Security ID: 09062X103

Meeting Date: JUN 12, 2018 Meeting Type: Annual

Record Date: APR 17, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Alexander J. Denner | For | For | Management |
| 1b | Elect Director Caroline D. Dorsa | For | For | Management |
| 1c | Elect Director Nancy L. Leaming | For | For | Management |
| 1d | Elect Director Richard C. Mulligan | For | For | Management |
| 1e | Elect Director Robert W. Pangia | For | For | Management |
| 1f | Elect Director Stelios Papadopoulos | For | For | Management |
| 1g | Elect Director Brian S. Posner | For | For | Management |
| 1h | Elect Director Eric K. Rowinsky | For | For | Management |
| 1i | Elect Director Lynn Schenk | For | For | Management |
| 1j | Elect Director Stephen A. Sherwin | For | For | Management |
| 1k | Elect Director Michel Vounatsos | For | For | Management |
| 2 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Amend Proxy Access Right | Against | Against | Shareholder |
| 5 | Report on Integrating Risks Related to Drug Pricing into Senior Executive Compensation | Against | Against | Shareholder |

BIOMARIN PHARMACEUTICAL INC.

Ticker: BMRN Security ID: 09061G101

Meeting Date: JUN 05, 2018 Meeting Type: Annual

Record Date: APR 09, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--------------------------------------|---------|-----------|------------|
| 1.1 | Elect Director Jean-Jacques Bienaime | For | For | Management |
| 1.2 | Elect Director Willard Dere | For | For | Management |
| 1.3 | Elect Director Michael Grey | For | For | Management |
| 1.4 | Elect Director Elaine J. Heron | For | Withhold | Management |
| 1.5 | Elect Director Robert J. Hombach | For | For | Management |
| 1.6 | Elect Director V. Bryan Lawlis | For | For | Management |

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| | | | | |
|------|--|-----|-----|------------|
| 1.7 | Elect Director Alan J. Lewis | For | For | Management |
| 1.8 | Elect Director Richard A. Meier | For | For | Management |
| 1.9 | Elect Director David E.I. Pyott | For | For | Management |
| 1.10 | Elect Director Dennis J. Slamon | For | For | Management |
| 2 | Ratify KPMG LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

BLACKROCK, INC.

Ticker: BLK Security ID: 09247X101
 Meeting Date: MAY 23, 2018 Meeting Type: Annual
 Record Date: MAR 29, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Mathis Cabiallavetta | For | For | Management |
| 1b | Elect Director Pamela Daley | For | For | Management |
| 1c | Elect Director William S. Demchak | For | For | Management |
| 1d | Elect Director Jessica P. Einhorn | For | For | Management |
| 1e | Elect Director Laurence D. Fink | For | For | Management |
| 1f | Elect Director William E. Ford | For | For | Management |
| 1g | Elect Director Fabrizio Freda | For | For | Management |
| 1h | Elect Director Murry S. Gerber | For | For | Management |
| 1i | Elect Director Margaret L. Johnson | For | For | Management |
| 1j | Elect Director Robert S. Kapito | For | For | Management |
| 1k | Elect Director Deryck Maughan | For | For | Management |
| 1l | Elect Director Cheryl D. Mills | For | For | Management |
| 1m | Elect Director Gordon M. Nixon | For | For | Management |
| 1n | Elect Director Charles H. Robbins | For | For | Management |
| 1o | Elect Director Ivan G. Seidenberg | For | For | Management |
| 1p | Elect Director Marco Antonio Slim Domit | For | For | Management |
| 1q | Elect Director Susan L. Wagner | For | For | Management |
| 1r | Elect Director Mark Wilson | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Management |
| 3 | Amend Omnibus Stock Plan | For | For | Management |
| 4 | Ratify Deloitte LLP as Auditors | For | For | Management |
| 5 | Report on Lobbying Payments and Policy | Against | Against | Shareholder |

BLACKSTONE MORTGAGE TRUST, INC.

Ticker: BXMT Security ID: 09257W100
 Meeting Date: JUN 20, 2018 Meeting Type: Annual
 Record Date: APR 13, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|------------|
| 1.1 | Elect Director Michael B. Nash | For | For | Management |
| 1.2 | Elect Director Stephen D. Plavin | For | For | Management |
| 1.3 | Elect Director Leonard W. Cotton | For | For | Management |
| 1.4 | Elect Director Thomas E. Dobrowski | For | For | Management |
| 1.5 | Elect Director Martin L. Edelman | For | For | Management |
| 1.6 | Elect Director Henry N. Nassau | For | Withhold | Management |
| 1.7 | Elect Director Jonathan L. Pollack | For | Withhold | Management |
| 1.8 | Elect Director Lynne B. Sagalyn | For | For | Management |
| 2 | Ratify Deloitte & Touche LLP as Auditors | For | For | Management |

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|---|--|-----|-----|------------|
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Approve Omnibus Stock Plan | For | For | Management |
| 5 | Approve Omnibus Stock Plan | For | For | Management |

BOOKING HOLDINGS INC.

Ticker: BKNG Security ID: 09857L108
 Meeting Date: JUN 07, 2018 Meeting Type: Annual
 Record Date: APR 12, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|-------------|
| 1.1 | Elect Director Timothy M. Armstrong | For | For | Management |
| 1.2 | Elect Director Jeffery H. Boyd | For | For | Management |
| 1.3 | Elect Director Jeffrey E. Epstein | For | For | Management |
| 1.4 | Elect Director Glenn D. Fogel | For | For | Management |
| 1.5 | Elect Director Mirian Graddick-Weir | For | For | Management |
| 1.6 | DElect irector James M. Guyette | For | For | Management |
| 1.7 | Elect Director Robert J. Mylod, Jr. | For | For | Management |
| 1.8 | Elect Director Charles H. Noski | For | For | Management |
| 1.9 | Elect Director Nancy B. Peretsman | For | For | Management |
| 1.10 | Elect Director Nicholas J. Read | For | For | Management |
| 1.11 | Elect Director Thomas E. Rothman | For | For | Management |
| 1.12 | Elect Director Craig W. Rydin | For | For | Management |
| 1.13 | Elect Director Lynn M. Vojvodich | For | For | Management |
| 2 | Ratify Deloitte & Touche LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Amend Omnibus Stock Plan | For | For | Management |
| 5 | Require Independent Board Chairman | Against | For | Shareholder |

BOOZ ALLEN HAMILTON HOLDING CORPORATION

Ticker: BAH Security ID: 099502106
 Meeting Date: AUG 03, 2017 Meeting Type: Annual
 Record Date: JUN 12, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|----------|-----------|------------|
| 1.1 | Elect Director Ralph W. Shrader | For | For | Management |
| 1.2 | Elect Director Joan Lordi C. Amble | For | For | Management |
| 1.3 | Elect Director Peter Clare | For | For | Management |
| 1.4 | Elect Director Philip A. Odeen | For | For | Management |
| 2 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Advisory Vote on Say on Pay Frequency | One Year | One Year | Management |

BP PLC

Ticker: BP. Security ID: 055622104
 Meeting Date: MAY 21, 2018 Meeting Type: Annual
 Record Date: MAR 16, 2018

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| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1 | Accept Financial Statements and Statutory Reports | For | For | Management |
| 2 | Approve Remuneration Report | For | For | Management |
| 3 | Re-elect Bob Dudley as Director | For | For | Management |
| 4 | Re-elect Brian Gilvary as Director | For | For | Management |
| 5 | Re-elect Nils Andersen as Director | For | For | Management |
| 6 | Re-elect Alan Boeckmann as Director | For | For | Management |
| 7 | Re-elect Frank Bowman as Director | For | For | Management |
| 8 | Elect Dame Alison Carnwath as Director | For | For | Management |
| 9 | Re-elect Ian Davis as Director | For | For | Management |
| 10 | Re-elect Dame Ann Dowling as Director | For | For | Management |
| 11 | Re-elect Melody Meyer as Director | For | For | Management |
| 12 | Re-elect Brendan Nelson as Director | For | For | Management |
| 13 | Re-elect Paula Reynolds as Director | For | For | Management |
| 14 | Re-elect Sir John Sawers as Director | For | For | Management |
| 15 | Re-elect Carl-Henric Svanberg as Director | For | For | Management |
| 16 | Appoint Deloitte LLP as Auditors and Authorise Their Remuneration | For | For | Management |
| 17 | Authorise EU Political Donations and Expenditure | For | For | Management |
| 18 | Authorise Issue of Equity with Pre-emptive Rights | For | For | Management |
| 19 | Authorise Issue of Equity without Pre-emptive Rights | For | For | Management |
| 20 | Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment | For | For | Management |
| 21 | Authorise Market Purchase of Ordinary Shares | For | For | Management |
| 22 | Adopt New Articles of Association | For | For | Management |
| 23 | Approve Scrip Dividend Program | For | For | Management |
| 24 | Authorise the Company to Call General Meeting with Two Weeks' Notice | For | For | Management |

BRISTOL-MYERS SQUIBB COMPANY

Ticker: BMY Security ID: 110122108
 Meeting Date: MAY 01, 2018 Meeting Type: Annual
 Record Date: MAR 14, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1A | Elect Director Peter J. Arduini | For | For | Management |
| 1B | Elect Director Jose Baselga | For | For | Management |
| 1C | Elect Director Robert J. Bertolini | For | For | Management |
| 1D | Elect Director Giovanni Caforio | For | For | Management |
| 1E | Elect Director Matthew W. Emmens | For | For | Management |
| 1F | Elect Director Michael Grobstein | For | For | Management |
| 1G | Elect Director Alan J. Lacy | For | For | Management |
| 1H | Elect Director Dinesh C. Paliwal | For | For | Management |
| 1I | Elect Director Theodore R. Samuels | For | For | Management |
| 1J | Elect Director Gerald L. Storch | For | For | Management |
| 1K | Elect Director Vicki L. Sato | For | Abstain | Management |
| 1L | Elect Director Karen H. Vousden | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

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| | | | | |
|---|--|---------|---------|-------------|
| 3 | Ratify Deloitte & Touche LLP as Auditors | For | For | Management |
| 4 | Report on Integrating Risks Related to Drug Pricing into Senior Executive Compensation | Against | Against | Shareholder |
| 5 | Reduce Ownership Threshold for Shareholders to Call Special Meeting | Against | For | Shareholder |

BROADCOM LIMITED

Ticker: AVGO Security ID: Y09827109
 Meeting Date: MAR 23, 2018 Meeting Type: Court
 Record Date: MAR 05, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|-------------------------------|---------|-----------|------------|
| 1 | Approve Scheme of Arrangement | For | For | Management |

BROADCOM LIMITED

Ticker: AVGO Security ID: Y09827109
 Meeting Date: APR 04, 2018 Meeting Type: Annual
 Record Date: FEB 12, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1a | Elect Director Hock E. Tan | For | For | Management |
| 1b | Elect Director James V. Diller | For | Abstain | Management |
| 1c | Elect Director Gayla J. Delly | For | For | Management |
| 1d | Elect Director Lewis C. Eggebrecht | For | For | Management |
| 1e | Elect Director Kenneth Y. Hao | For | For | Management |
| 1f | Elect Director Eddy W. Hartenstein | For | For | Management |
| 1g | Elect Director Check Kian Low | For | For | Management |
| 1h | Elect Director Donald Macleod | For | For | Management |
| 1i | Elect Director Peter J. Marks | For | For | Management |
| 1j | Elect Director Henry Samueli | For | For | Management |
| 2 | Approve PricewaterhouseCoopers LLP as Auditors and Authorize Board to Fix Their Remuneration | For | For | Management |
| 3 | Approve Issuance of Shares With or Without Preemptive Rights | For | For | Management |
| 4 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Management |

CADENCE DESIGN SYSTEMS, INC.

Ticker: CDNS Security ID: 127387108
 Meeting Date: MAY 03, 2018 Meeting Type: Annual
 Record Date: MAR 06, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|---------------------------------|---------|-----------|------------|
| 1.1 | Elect Director Mark W. Adams | For | For | Management |
| 1.2 | Elect Director Susan L. Bostrom | For | For | Management |
| 1.3 | Elect Director James D. Plummer | For | For | Management |
| 1.4 | Elect Director Alberto | For | For | Management |

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| | | | | | |
|-------------------------|--|-----|-----|--|------------|
| Sangiovanni-Vincentelli | | | | | |
| 1.5 | Elect Director John B. Shoven | For | For | | Management |
| 1.6 | Elect Director Roger S. Siboni | For | For | | Management |
| 1.7 | Elect Director Young K. Sohn | For | For | | Management |
| 1.8 | Elect Director Lip-Bu Tan | For | For | | Management |
| 1.9 | Elect Director Mary Agnes Wilderotter | For | For | | Management |
| 2 | Amend Omnibus Stock Plan | For | For | | Management |
| 3 | Amend Qualified Employee Stock Purchase Plan | For | For | | Management |
| 4 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | | Management |
| 5 | Ratify KPMG LLP as Auditors | For | For | | Management |

CELGENE CORPORATION

Ticker: CELG Security ID: 151020104
 Meeting Date: JUN 13, 2018 Meeting Type: Annual
 Record Date: APR 19, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|-------------|
| 1.1 | Elect Director Mark J. Alles | For | For | Management |
| 1.2 | Elect Director Richard W. Barker | For | For | Management |
| 1.3 | Elect Director Hans E. Bishop | For | For | Management |
| 1.4 | Elect Director Michael W. Bonney | For | For | Management |
| 1.5 | Elect Director Michael D. Casey | For | For | Management |
| 1.6 | Elect Director Carrie S. Cox | For | For | Management |
| 1.7 | Elect Director Michael A. Friedman | For | For | Management |
| 1.8 | Elect Director Julia A. Haller | For | For | Management |
| 1.9 | Elect Director Patricia A. Hemingway Hall | For | For | Management |
| 1.10 | Elect Director James J. Loughlin | For | For | Management |
| 1.11 | Elect Director Ernest Mario | For | For | Management |
| 1.12 | Elect Director John H. Weiland | For | For | Management |
| 2 | Ratify KPMG LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Amend Proxy Access Right | Against | Against | Shareholder |
| 5 | Require Independent Board Chairman | Against | For | Shareholder |

CENTENE CORPORATION

Ticker: CNC Security ID: 15135B101
 Meeting Date: APR 24, 2018 Meeting Type: Annual
 Record Date: FEB 23, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1A | Elect Director Jessica L. Blume | For | For | Management |
| 1B | Elect Director Frederick H. Eppinger | For | For | Management |
| 1C | Elect Director David L. Steward | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify KPMG LLP as Auditors | For | For | Management |

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CENTERPOINT ENERGY, INC.

Ticker: CNP Security ID: 15189T107
 Meeting Date: APR 26, 2018 Meeting Type: Annual
 Record Date: MAR 01, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1a | Elect Director Leslie D. Biddle | For | For | Management |
| 1b | Elect Director Milton Carroll | For | For | Management |
| 1c | Elect Director Scott J. McLean | For | For | Management |
| 1d | Elect Director Martin H. Nesbitt | For | For | Management |
| 1e | Elect Director Theodore F. Pound | For | For | Management |
| 1f | Elect Director Scott M. Prochazka | For | For | Management |
| 1g | Elect Director Susan O. Rheney | For | For | Management |
| 1h | Elect Director Phillip R. Smith | For | For | Management |
| 1i | Elect Director John W. Somerhalder, II | For | For | Management |
| 1j | Elect Director Peter S. Wareing | For | For | Management |
| 2 | Ratify Deloitte & Touche LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

CHARTER COMMUNICATIONS, INC.

Ticker: CHTR Security ID: 16119P108
 Meeting Date: APR 25, 2018 Meeting Type: Annual
 Record Date: FEB 23, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director W. Lance Conn | For | Against | Management |
| 1b | Elect Director Kim C. Goodman | For | For | Management |
| 1c | Elect Director Craig A. Jacobson | For | For | Management |
| 1d | Elect Director Gregory B. Maffei | For | Against | Management |
| 1e | Elect Director John C. Malone | For | Against | Management |
| 1f | Elect Director John D. Markley, Jr. | For | Against | Management |
| 1g | Elect Director David C. Merritt | For | For | Management |
| 1h | Elect Director Steven A. Miron | For | Against | Management |
| 1i | Elect Director Balan Nair | For | For | Management |
| 1j | Elect Director Michael A. Newhouse | For | For | Management |
| 1k | Elect Director Mauricio Ramos | For | Against | Management |
| 1l | Elect Director Thomas M. Rutledge | For | For | Management |
| 1m | Elect Director Eric L. Zinterhofer | For | Against | Management |
| 2 | Ratify KPMG LLP as Auditors | For | For | Management |
| 3 | Adopt Proxy Access Right | Against | For | Shareholder |
| 4 | Report on Lobbying Payments and Policy | Against | For | Shareholder |
| 5 | Pro-rata Vesting of Equity Awards | Against | Against | Shareholder |
| 6 | Require Independent Board Chairman | Against | For | Shareholder |

CHEVRON CORPORATION

Ticker: CVX Security ID: 166764100
 Meeting Date: MAY 30, 2018 Meeting Type: Annual
 Record Date: APR 02, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--------------------------------|---------|-----------|------------|
| 1a | Elect Director Wanda M. Austin | For | For | Management |

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|----|---|---------|---------|-------------|
| 1b | Elect Director John B. Frank | For | For | Management |
| 1c | Elect Director Alice P. Gast | For | For | Management |
| 1d | Elect Director Enrique Hernandez, Jr. | For | For | Management |
| 1e | Elect Director Charles W. Moorman, IV | For | For | Management |
| 1f | Elect Director Dambisa F. Moyo | For | For | Management |
| 1g | Elect Director Ronald D. Sugar | For | Abstain | Management |
| 1h | Elect Director Inge G. Thulin | For | For | Management |
| 1i | Elect Director D. James Umpleby, III | For | For | Management |
| 1j | Elect Director Michael K. Wirth | For | For | Management |
| 2 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Report on Lobbying Payments and Policy | Against | For | Shareholder |
| 5 | Report on Risks of Doing Business in Conflict-Affected Areas | Against | Against | Shareholder |
| 6 | Report on Transition to a Low Carbon Business Model | Against | Against | Shareholder |
| 7 | Report on Methane Emissions | Against | For | Shareholder |
| 8 | Require Independent Board Chairman | Against | For | Shareholder |
| 9 | Require Director Nominee with Environmental Experience | Against | Against | Shareholder |
| 10 | Reduce Ownership Threshold for Shareholders to Call Special Meeting | Against | Against | Shareholder |

CISCO SYSTEMS, INC.

Ticker: CSCO Security ID: 17275R102
 Meeting Date: DEC 11, 2017 Meeting Type: Annual
 Record Date: OCT 13, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|----------|-----------|-------------|
| 1a | Elect Director Carol A. Bartz | For | For | Management |
| 1b | Elect Director M. Michele Burns | For | For | Management |
| 1c | Elect Director Michael D. Capellas | For | For | Management |
| 1d | Elect Director Amy L. Chang | For | For | Management |
| 1e | Elect Director John L. Hennessy | For | For | Management |
| 1f | Elect Director Kristina M. Johnson | For | For | Management |
| 1g | Elect Director Roderick C. McGearry | For | For | Management |
| 1h | Elect Director Charles H. Robbins | For | For | Management |
| 1i | Elect Director Arun Sarin | For | For | Management |
| 1j | Elect Director Brenton L. Saunders | For | For | Management |
| 1k | Elect Director Steven M. West | For | For | Management |
| 2 | Amend Omnibus Stock Plan | For | For | Management |
| 3 | Amend Executive Incentive Bonus Plan | For | For | Management |
| 4 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 5 | Advisory Vote on Say on Pay Frequency | One Year | One Year | Management |
| 6 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 7 | Report on Lobbying Payments and Policy | Against | Against | Shareholder |

CITIGROUP INC.

Ticker: C Security ID: 172967424
 Meeting Date: APR 24, 2018 Meeting Type: Annual

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Record Date: FEB 26, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|-------------|
| 1a | Elect Director Michael L. Corbat | For | For | Management |
| 1b | Elect Director Ellen M. Costello | For | For | Management |
| 1c | Elect Director John C. Dugan | For | For | Management |
| 1d | Elect Director Duncan P. Hennes | For | For | Management |
| 1e | Elect Director Peter B. Henry | For | For | Management |
| 1f | Elect Director Franz B. Humer | For | For | Management |
| 1g | Elect Director S. Leslie Ireland | For | For | Management |
| 1h | Elect Director Renee J. James | For | For | Management |
| 1i | Elect Director Eugene M. McQuade | For | For | Management |
| 1j | Elect Director Michael E. O'Neill | For | For | Management |
| 1k | Elect Director Gary M. Reiner | For | For | Management |
| 1l | Elect Director Anthony M. Santomero | For | For | Management |
| 1m | Elect Director Diana L. Taylor | For | For | Management |
| 1n | Elect Director James S. Turley | For | For | Management |
| 1o | Elect Director Deborah C. Wright | For | For | Management |
| 1p | Elect Director Ernesto Zedillo Ponce De Leon | For | For | Management |
| 2 | Ratify KPMG LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Amend Omnibus Stock Plan | For | For | Management |
| 5 | Adopt Human and Indigenous People's Rights Policy | Against | Against | Shareholder |
| 6 | Provide for Cumulative Voting | Against | Against | Shareholder |
| 7 | Report on Lobbying Payments and Policy | Against | Against | Shareholder |
| 8 | Amend Proxy Access Right | Against | Against | Shareholder |
| 9 | Prohibit Accelerated Vesting of Awards to Pursue Government Service | Against | Against | Shareholder |
| 10 | Amend Bylaws -- Call Special Meetings | Against | For | Shareholder |

CITIZENS FINANCIAL GROUP, INC.

Ticker: CFG Security ID: 174610105
 Meeting Date: APR 26, 2018 Meeting Type: Annual
 Record Date: MAR 02, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|------------|
| 1.1 | Elect Director Bruce Van Saun | For | For | Management |
| 1.2 | Elect Director Mark Casady | For | For | Management |
| 1.3 | Elect Director Christine M. Cumming | For | For | Management |
| 1.4 | Elect Director Anthony Di Iorio | For | For | Management |
| 1.5 | Elect Director William P. Hankowsky | For | Against | Management |
| 1.6 | Elect Director Howard W. Hanna, III | For | For | Management |
| 1.7 | Elect Director Leo I. ("Lee") Higdon | For | For | Management |
| 1.8 | Elect Director Charles J. ("Bud") Koch | For | For | Management |
| 1.9 | Elect Director Arthur F. Ryan | For | For | Management |
| 1.10 | Elect Director Shivan S. Subramaniam | For | For | Management |
| 1.11 | Elect Director Wendy A. Watson | For | For | Management |
| 1.12 | Elect Director Marita Zuraitis | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Deloitte & Touche LLP as Auditors | For | For | Management |

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COBALT INTERNATIONAL ENERGY, INC.

Ticker: CIEIQ Security ID: 19075FAA4
 Meeting Date: MAR 28, 2018 Meeting Type: Written Consent
 Record Date: MAR 05, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|------------------------------------|---------|-----------|------------|
| 1 | Vote On The Plan | None | Against | Management |
| 2 | Opt Out Of The Third Party Release | None | For | Management |

COMCAST CORPORATION

Ticker: CMCSA Security ID: 20030N101
 Meeting Date: JUN 11, 2018 Meeting Type: Annual
 Record Date: APR 06, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|-------------|
| 1.1 | Elect Director Kenneth J. Bacon | For | For | Management |
| 1.2 | Elect Director Madeline S. Bell | For | Withhold | Management |
| 1.3 | Elect Director Sheldon M. Bonovitz | For | For | Management |
| 1.4 | Elect Director Edward D. Breen | For | Withhold | Management |
| 1.5 | Elect Director Gerald L. Hassell | For | For | Management |
| 1.6 | Elect Director Jeffrey A. Honickman | For | For | Management |
| 1.7 | Elect Director Maritza G. Montiel | For | For | Management |
| 1.8 | Elect Director Asuka Nakahara | For | For | Management |
| 1.9 | Elect Director David C. Novak | For | For | Management |
| 1.10 | Elect Director Brian L. Roberts | For | For | Management |
| 2 | Ratify Deloitte & Touche LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Management |
| 4 | Report on Lobbying Payments and Policy | Against | Against | Shareholder |

CONOCOPHILLIPS

Ticker: COP Security ID: 20825C104
 Meeting Date: MAY 15, 2018 Meeting Type: Annual
 Record Date: MAR 19, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Charles E. Bunch | For | For | Management |
| 1b | Elect Director Caroline Maury Devine | For | For | Management |
| 1c | Elect Director John V. Faraci | For | For | Management |
| 1d | Elect Director Jody Freeman | For | For | Management |
| 1e | Elect Director Gay Huey Evans | For | For | Management |
| 1f | Elect Director Ryan M. Lance | For | For | Management |
| 1g | Elect Director Sharmila Mulligan | For | For | Management |
| 1h | Elect Director Arjun N. Murti | For | For | Management |
| 1i | Elect Director Robert A. Niblock | For | For | Management |
| 1j | Elect Director Harald J. Norvik | For | Abstain | Management |
| 2 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Use GAAP for Executive Compensation Metrics | Against | Against | Shareholder |

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CORNING INCORPORATED

Ticker: GLW Security ID: 219350105
 Meeting Date: APR 26, 2018 Meeting Type: Annual
 Record Date: FEB 27, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|------------|
| 1.1 | Elect Director Donald W. Blair | For | For | Management |
| 1.2 | Elect Director Stephanie A. Burns | For | For | Management |
| 1.3 | Elect Director John A. Canning, Jr. | For | For | Management |
| 1.4 | Elect Director Richard T. Clark | For | For | Management |
| 1.5 | Elect Director Robert F. Cummings, Jr. | For | For | Management |
| 1.6 | Elect Director Deborah A. Henretta | For | For | Management |
| 1.7 | Elect Director Daniel P. Huttenlocher | For | For | Management |
| 1.8 | Elect Director Kurt M. Landgraf | For | For | Management |
| 1.9 | Elect Director Kevin J. Martin | For | For | Management |
| 1.10 | Elect Director Deborah D. Rieman | For | For | Management |
| 1.11 | Elect Director Hansel E. Tookes, II | For | For | Management |
| 1.12 | Elect Director Wendell P. Weeks | For | For | Management |
| 1.13 | Elect Director Mark S. Wrighton | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |

CUMMINS INC.

Ticker: CMI Security ID: 231021106
 Meeting Date: MAY 08, 2018 Meeting Type: Annual
 Record Date: MAR 06, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|-------------|
| 1 | Elect Director N. Thomas Linebarger | For | For | Management |
| 2 | Elect Director Richard J. Freeland | For | For | Management |
| 3 | Elect Director Robert J. Bernhard | For | For | Management |
| 4 | Elect Director Franklin R. Chang Diaz | For | For | Management |
| 5 | Elect Director Bruno V. Di Leo Allen | For | For | Management |
| 6 | Elect Director Stephen B. Dobbs | For | For | Management |
| 7 | Elect Director Robert K. Herdman | For | For | Management |
| 8 | Elect Director Alexis M. Herman | For | For | Management |
| 9 | Elect Director Thomas J. Lynch | For | Against | Management |
| 10 | Elect Director William I. Miller | For | For | Management |
| 11 | Elect Director Georgia R. Nelson | For | For | Management |
| 12 | Elect Director Karen H. Quintos | For | For | Management |
| 13 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 14 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 15 | Amend Charter to Allow Shareholders to Amend Bylaws | For | For | Management |
| 16 | Reduce Ownership Threshold for Shareholders to Call Special Meeting | Against | For | Shareholder |

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CVS HEALTH CORPORATION

Ticker: CVS Security ID: 126650100
 Meeting Date: MAR 13, 2018 Meeting Type: Special
 Record Date: FEB 05, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|--|---------|-----------|------------|
| 1 | Issue Shares in Connection with Merger | For | For | Management |
| 2 | Adjourn Meeting | For | For | Management |

CVS HEALTH CORPORATION

Ticker: CVS Security ID: 126650100
 Meeting Date: JUN 04, 2018 Meeting Type: Annual
 Record Date: APR 10, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Richard M. Bracken | For | For | Management |
| 1b | Elect Director C. David Brown, II | For | For | Management |
| 1c | Elect Director Alecia A. DeCoudreaux | For | For | Management |
| 1d | Elect Director Nancy-Ann M. DeParle | For | For | Management |
| 1e | Elect Director David W. Dorman | For | For | Management |
| 1f | Elect Director Anne M. Finucane | For | For | Management |
| 1g | Elect Director Larry J. Merlo | For | For | Management |
| 1h | Elect Director Jean-Pierre Millon | For | For | Management |
| 1i | Elect Director Mary L. Schapiro | For | For | Management |
| 1j | Elect Director Richard J. Swift | For | For | Management |
| 1k | Elect Director William C. Weldon | For | For | Management |
| 1l | Elect Director Tony L. White | For | For | Management |
| 2 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Reduce Ownership Threshold for Shareholders to Call Special Meeting | For | For | Management |
| 5 | Provide For Confidential Running Vote Tallies On Executive Pay Matters | Against | Against | Shareholder |

DARDEN RESTAURANTS, INC.

Ticker: DRI Security ID: 237194105
 Meeting Date: SEP 21, 2017 Meeting Type: Annual
 Record Date: JUL 25, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|------------|
| 1.1 | Elect Director Margaret Shan Atkins | For | For | Management |
| 1.2 | Elect Director Bradley D. Blum | For | For | Management |
| 1.3 | Elect Director James P. Fogarty | For | For | Management |
| 1.4 | Elect Director Cynthia T. Jamison | For | For | Management |
| 1.5 | Elect Director Eugene I. (Gene) Lee, Jr. | For | For | Management |
| 1.6 | Elect Director Nana Mensah | For | For | Management |
| 1.7 | Elect Director William S. Simon | For | For | Management |
| 1.8 | Elect Director Charles M. (Chuck) Sonsteby | For | For | Management |
| 2 | Advisory Vote to Ratify Named | For | For | Management |

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| | | | | |
|---|---|----------|----------|-------------|
| | Executive Officers' Compensation | | | |
| 3 | Advisory Vote on Say on Pay Frequency | One Year | One Year | Management |
| 4 | Ratify KPMG LLP as Auditors | For | For | Management |
| 5 | Adopt a Policy to Phase Out Non-Therapeutic Use of Antibiotics in the Meat Supply Chain | Against | Against | Shareholder |

DELTA AIR LINES, INC.

Ticker: DAL Security ID: 247361702
 Meeting Date: JUN 29, 2018 Meeting Type: Annual
 Record Date: MAY 02, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1a | Elect Director Edward H. Bastian | For | For | Management |
| 1b | Elect Director Francis S. Blake | For | For | Management |
| 1c | Elect Director Daniel A. Carp | For | For | Management |
| 1d | Elect Director Ashton B. Carter | For | For | Management |
| 1e | Elect Director David G. DeWalt | For | For | Management |
| 1f | Elect Director William H. Easter, III | For | For | Management |
| 1g | Elect Director Michael P. Huerta | For | For | Management |
| 1h | Elect Director Jeanne P. Jackson | For | For | Management |
| 1i | Elect Director George N. Mattson | For | For | Management |
| 1j | Elect Director Douglas R. Ralph | For | For | Management |
| 1k | Elect Director Sergio A. L. Rial | For | For | Management |
| 1l | Elect Director Kathy N. Waller | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Ernst & Young LLP as Auditors | For | For | Management |

EASTMAN CHEMICAL COMPANY

Ticker: EMN Security ID: 277432100
 Meeting Date: MAY 03, 2018 Meeting Type: Annual
 Record Date: MAR 15, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|---|---------|-----------|-------------|
| 1.1 | Elect Director Humberto P. Alfonso | For | For | Management |
| 1.2 | Elect Director Brett D. Begemann | For | For | Management |
| 1.3 | Elect Director Michael P. Connors | For | Against | Management |
| 1.4 | Elect Director Mark J. Costa | For | For | Management |
| 1.5 | Elect Director Stephen R. Demeritt | For | For | Management |
| 1.6 | Elect Director Robert M. Hernandez | For | For | Management |
| 1.7 | Elect Director Julie F. Holder | For | For | Management |
| 1.8 | Elect Director Renee J. Hornbaker | For | For | Management |
| 1.9 | Elect Director Lewis M. Kling | For | For | Management |
| 1.10 | Elect Director James J. O'Brien | For | For | Management |
| 1.11 | Elect Director David W. Raisbeck | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 4 | Provide Right to Act by Written Consent | Against | For | Shareholder |

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EDISON INTERNATIONAL

Ticker: EIX Security ID: 281020107
 Meeting Date: APR 26, 2018 Meeting Type: Annual
 Record Date: MAR 01, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Michael C. Camunez | For | For | Management |
| 1b | Elect Director Vanessa C.L. Chang | For | For | Management |
| 1c | Elect Director James T. Morris | For | For | Management |
| 1d | Elect Director Timothy T. O'Toole | For | Against | Management |
| 1e | Elect Director Pedro J. Pizarro | For | For | Management |
| 1f | Elect Director Linda G. Stuntz | For | Against | Management |
| 1g | Elect Director William P. Sullivan | For | For | Management |
| 1h | Elect Director Ellen O. Tauscher | For | For | Management |
| 1i | Elect Director Peter J. Taylor | For | For | Management |
| 1j | Elect Director Brett White | For | For | Management |
| 2 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Amend Proxy Access Right | Against | Against | Shareholder |

ELECTRONIC ARTS INC.

Ticker: EA Security ID: 285512109
 Meeting Date: AUG 03, 2017 Meeting Type: Annual
 Record Date: JUN 09, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1a | Elect Director Leonard S. Coleman | For | For | Management |
| 1b | Elect Director Jay C. Hoag | For | For | Management |
| 1c | Elect Director Jeffrey T. Huber | For | For | Management |
| 1d | Elect Director Vivek Paul | For | For | Management |
| 1e | Elect Director Lawrence F. Probst, III | For | For | Management |
| 1f | Elect Director Talbott Roche | For | For | Management |
| 1g | Elect Director Richard A. Simonson | For | For | Management |
| 1h | Elect Director Luis A. Ubinas | For | For | Management |
| 1i | Elect Director Denise F. Warren | For | For | Management |
| 1j | Elect Director Andrew Wilson | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Advisory Vote on Say on Pay Frequency | None | One Year | Management |
| 4 | Ratify KPMG LLP as Auditors | For | For | Management |

ELI LILLY AND COMPANY

Ticker: LLY Security ID: 532457108
 Meeting Date: MAY 07, 2018 Meeting Type: Annual
 Record Date: MAR 12, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|----------------------------------|---------|-----------|------------|
| 1a | Elect Director Katherine Baicker | For | For | Management |
| 1b | Elect Director J. Erik Fyrwald | For | For | Management |
| 1c | Elect Director Jamere Jackson | For | For | Management |

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| | | | | |
|----|--|---------|---------|-------------|
| 1d | Elect Director Ellen R. Marram | For | For | Management |
| 1e | Elect Director Jackson P. Tai | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 4 | Declassify the Board of Directors | For | For | Management |
| 5 | Eliminate Supermajority Vote Requirement | For | For | Management |
| 6 | Amend Omnibus Stock Plan | For | For | Management |
| 7 | Support the Descheduling of Cannabis | Against | Against | Shareholder |
| 8 | Report on Lobbying Payments and Policy | Against | Against | Shareholder |
| 9 | Report on Policies and Practices Regarding Contract Animal Laboratories | Against | Against | Shareholder |
| 10 | Report on Integrating Drug Pricing Risks into Incentive Compensation Plans | Against | Against | Shareholder |

ENTERGY CORPORATION

Ticker: ETR Security ID: 29364G103
Meeting Date: MAY 04, 2018 Meeting Type: Annual
Record Date: MAR 05, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director John R. Burbank | For | For | Management |
| 1b | Elect Director Patrick J. Condon | For | For | Management |
| 1c | Elect Director Leo P. Denault | For | For | Management |
| 1d | Elect Director Kirkland H. Donald | For | For | Management |
| 1e | Elect Director Philip L. Frederickson | For | For | Management |
| 1f | Elect Director Alexis M. Herman | For | For | Management |
| 1g | Elect Director Stuart L. Levenick | For | For | Management |
| 1h | Elect Director Blanche Lambert Lincoln | For | For | Management |
| 1i | Elect Director Karen A. Puckett | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Deloitte & Touche LLP as Auditors | For | For | Management |
| 4 | Report on Distributed Renewable Generation Resources | Against | Against | Shareholder |

EQUINIX, INC.

Ticker: EQIX Security ID: 29444U700
Meeting Date: JUN 07, 2018 Meeting Type: Annual
Record Date: APR 13, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|------------|
| 1.1 | Elect Director Thomas Bartlett | For | For | Management |
| 1.2 | Elect Director Nanci Caldwell | For | For | Management |
| 1.3 | Elect Director Gary Hromadko | For | For | Management |
| 1.4 | Elect Director Scott Kriens | For | Withhold | Management |
| 1.5 | Elect Director William Luby | For | For | Management |
| 1.6 | Elect Director Irving Lyons, III | For | For | Management |
| 1.7 | Elect Director Christopher Paisley | For | For | Management |
| 1.8 | Elect Director Peter Van Camp | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

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| | | | | |
|---|---|---------|---------|-------------|
| 3 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 4 | Amend Proxy Access Right | Against | Against | Shareholder |

ESH HOSPITALITY, INC.

Ticker: Security ID: 30224P211
 Meeting Date: MAY 16, 2018 Meeting Type: Annual
 Record Date: APR 05, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|------------|
| 1.1 | Elect Director Jonathan S. Halkyard | For | For | Management |
| 1.2 | Elect Director Douglas G. Geoga | For | For | Management |
| 1.3 | Elect Director Kapila K. Anand | For | For | Management |
| 1.4 | Elect Director Neil T. Brown | For | For | Management |
| 1.5 | Elect Director Steven E. Kent | For | For | Management |
| 1.6 | Elect Director Lisa Palmer | For | For | Management |
| 1.7 | Elect Director Bruce N. Haase | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Deloitte & Touche LLP as Auditors | For | For | Management |

EXPRESS SCRIPTS HOLDING COMPANY

Ticker: ESRX Security ID: 30219G108
 Meeting Date: MAY 10, 2018 Meeting Type: Annual
 Record Date: MAR 13, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Maura C. Breen | For | For | Management |
| 1b | Elect Director William J. DeLaney | For | For | Management |
| 1c | Elect Director Elder Granger | For | For | Management |
| 1d | Elect Director Nicholas J. LaHowchic | For | For | Management |
| 1e | Elect Director Thomas P. Mac Mahon | For | For | Management |
| 1f | Elect Director Kathleen M. Mazzarella | For | For | Management |
| 1g | Elect Director Frank Mergenthaler | For | For | Management |
| 1h | Elect Director Woodrow A. Myers, Jr. | For | For | Management |
| 1i | Elect Director Roderick A. Palmore | For | For | Management |
| 1j | Elect Director George Paz | For | For | Management |
| 1k | Elect Director William L. Roper | For | For | Management |
| 1l | Elect Director Seymour Sternberg | For | For | Management |
| 1m | Elect Director Timothy Wentworth | For | For | Management |
| 2 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Report on Gender Pay Gap | Against | Against | Shareholder |
| 5 | Report on Measures Taken to Manage and Mitigate Cyber Risk | Against | Against | Shareholder |

EXTENDED STAY AMERICA, INC.

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Ticker: STAY Security ID: 30224P200
 Meeting Date: MAY 16, 2018 Meeting Type: Annual
 Record Date: APR 05, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|------------|
| 1.1 | Elect Director Jonathan S. Halkyard | For | For | Management |
| 1.2 | Elect Director Douglas G. Geoga | For | For | Management |
| 1.3 | Elect Director Kapila K. Anand | For | For | Management |
| 1.4 | Elect Director Thomas F. O'Toole | For | For | Management |
| 1.5 | Elect Director Richard F. Wallman | For | For | Management |
| 1.6 | Elect Director Jodie W. McLean | For | For | Management |
| 1.7 | Elect Director Ellen Keszler | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Deloitte & Touche LLP as Auditors | For | For | Management |

F5 NETWORKS, INC.

Ticker: FFIV Security ID: 315616102
 Meeting Date: MAR 15, 2018 Meeting Type: Annual
 Record Date: JAN 08, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1a | Elect Director A. Gary Ames | For | For | Management |
| 1b | Elect Director Sandra E. Bergeron | For | For | Management |
| 1c | Elect Director Deborah L. Bevier | For | For | Management |
| 1d | Elect Director Jonathan C. Chadwick | For | For | Management |
| 1e | Elect Director Michael L. Dreyer | For | For | Management |
| 1f | Elect Director Alan J. Higginson | For | For | Management |
| 1g | Elect Director Peter S. Klein | For | For | Management |
| 1h | Elect Director Francois Locoh-Donou | For | For | Management |
| 1i | Elect Director John McAdam | For | For | Management |
| 1j | Elect Director Stephen M. Smith | None | None | Management |
| | *Withdrawn Resolution* | | | |
| 2 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

FACEBOOK, INC.

Ticker: FB Security ID: 30303M102
 Meeting Date: MAY 31, 2018 Meeting Type: Annual
 Record Date: APR 06, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|------------|
| 1.1 | Elect Director Marc L. Andreessen | For | Withhold | Management |
| 1.2 | Elect Director Erskine B. Bowles | For | Withhold | Management |
| 1.3 | Elect Director Kenneth I. Chenault | For | For | Management |
| 1.4 | Elect Director Susan D. Desmond-Hellmann | For | Withhold | Management |
| 1.5 | Elect Director Reed Hastings | For | Withhold | Management |
| 1.6 | Elect Director Jan Koum - Withdrawn Resolution | None | None | Management |
| 1.7 | Elect Director Sheryl K. Sandberg | For | For | Management |

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| | | | | |
|-----|--|---------|---------|-------------|
| 1.8 | Elect Director Peter A. Thiel | For | For | Management |
| 1.9 | Elect Director Mark Zuckerberg | For | For | Management |
| 2 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 3 | Approve Recapitalization Plan for all Stock to Have One-vote per Share | Against | For | Shareholder |
| 4 | Establish Board Committee on Risk Management | Against | For | Shareholder |
| 5 | Adopt Simple Majority Vote | Against | For | Shareholder |
| 6 | Report on Major Global Content Management Controversies (Fake News) | Against | For | Shareholder |
| 7 | Report on Gender Pay Gap | Against | Against | Shareholder |
| 8 | Report on Responsible Tax Principles | Against | Against | Shareholder |

FIRST HAWAIIAN, INC.

Ticker: FHB Security ID: 32051X108
 Meeting Date: APR 25, 2018 Meeting Type: Annual
 Record Date: MAR 05, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|----------|-----------|-------------|
| 1.1 | Elect Director Matthew Cox | For | For | Management |
| 1.2 | Elect Director W. Allen Doane | For | For | Management |
| 1.3 | Elect Director Thibault Fulconis | For | For | Management |
| 1.4 | Elect Director Gerard Gil | For | Withhold | Management |
| 1.5 | Elect Director Jean-Milan Givadinovitch | For | For | Management |
| 1.6 | Elect Director Robert S. Harrison | For | For | Management |
| 1.7 | Elect Director J. Michael Shepherd | For | For | Management |
| 1.8 | Elect Director Allen B. Uyeda | For | For | Management |
| 1.9 | Elect Director Michel Vial | For | For | Management |
| 2 | Ratify Deloitte & Touche LLP as Auditors | For | For | Management |
| 3 | Advisory Vote on Say on Pay Frequency | One Year | One Year | Management |
| 4 | Elimination of Supermajority Voting Requirement for Any Stockholder Alteration, Amendment, Repeal or Adoption of Any Bylaw | For | For | Management |
| 5 | Elimination of Supermajority Voting Requirement for Any Amendment, Alteration, Repeal or Adoption of Any Provision of Certain Articles of the Certificate of Incorporation | For | For | Management |
| 6 | Adopt Policy to Increase Diversity of Board of Directors | Against | For | Shareholder |
| 7 | Adopt Proxy Access Right | Against | Against | Shareholder |

FORTRESS TRANSPORTATION AND INFRASTRUCTURE INVESTORS LLC

Ticker: FTAI Security ID: 34960P101
 Meeting Date: JUN 01, 2018 Meeting Type: Annual
 Record Date: APR 02, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--------------------------------------|---------|-----------|------------|
| 1.1 | Elect Director Kenneth J. Nicholson | For | For | Management |
| 1.2 | Elect Director A. Andrew Levison | For | For | Management |
| 2 | Ratify Ernst & Young LLP as Auditors | For | For | Management |

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FRANKLIN RESOURCES, INC.

Ticker: BEN Security ID: 354613101
 Meeting Date: FEB 14, 2018 Meeting Type: Annual
 Record Date: DEC 18, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|-------------|
| 1a | Elect Director Peter K. Barker | For | For | Management |
| 1b | Elect Director Mariann Byerwalter | For | For | Management |
| 1c | Elect Director Charles E. Johnson | For | For | Management |
| 1d | Elect Director Gregory E. Johnson | For | For | Management |
| 1e | Elect Director Rupert H. Johnson, Jr. | For | For | Management |
| 1f | Elect Director Mark C. Pigott | For | For | Management |
| 1g | Elect Director Chutta Ratnathicam | For | For | Management |
| 1h | Elect Director Laura Stein | For | For | Management |
| 1i | Elect Director Seth H. Waugh | For | For | Management |
| 1j | Elect Director Geoffrey Y. Yang | For | For | Management |
| 2 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 3 | Report on Lobbying Payments and Policy | Against | For | Shareholder |

FREEMPORT-MCMORAN INC.

Ticker: FCX Security ID: 35671D857
 Meeting Date: JUN 05, 2018 Meeting Type: Annual
 Record Date: APR 10, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|------------|
| 1.1 | Elect Director Richard C. Adkerson | For | For | Management |
| 1.2 | Elect Director Gerald J. Ford | For | For | Management |
| 1.3 | Elect Director Lydia H. Kennard | For | For | Management |
| 1.4 | Elect Director Jon C. Madonna | For | For | Management |
| 1.5 | Elect Director Courtney Mather | For | For | Management |
| 1.6 | Elect Director Dustan E. McCoy | For | For | Management |
| 1.7 | Elect Director Frances Fragos Townsend | For | For | Management |
| 2 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

GENERAL DYNAMICS CORPORATION

Ticker: GD Security ID: 369550108
 Meeting Date: MAY 02, 2018 Meeting Type: Annual
 Record Date: MAR 08, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|-----------------------------------|---------|-----------|------------|
| 1a | Elect Director James S. Crown | For | Abstain | Management |
| 1b | Elect Director Rudy F. deLeon | For | For | Management |
| 1c | Elect Director Lester L. Lyles | For | For | Management |
| 1d | Elect Director Mark M. Malcolm | For | For | Management |
| 1e | Elect Director Phebe N. Novakovic | For | For | Management |
| 1f | Elect Director C. Howard Nye | For | For | Management |
| 1g | Elect Director William A. Osborn | For | For | Management |

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| | | | | |
|----|---|---------|-----|-------------|
| 1h | Elect Director Catherine B. Reynolds | For | For | Management |
| 1i | Elect Director Laura J. Schumacher | For | For | Management |
| 1j | Elect Director Peter A. Wall | For | For | Management |
| 2 | Ratify KPMG LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Reduce Ownership Threshold for Shareholders to Call Special Meeting | Against | For | Shareholder |

GENERAL MOTORS COMPANY

Ticker: GM Security ID: 37045V100
 Meeting Date: JUN 12, 2018 Meeting Type: Annual
 Record Date: APR 16, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Mary T. Barra | For | For | Management |
| 1b | Elect Director Linda R. Gooden | For | For | Management |
| 1c | Elect Director Joseph Jimenez | For | For | Management |
| 1d | Elect Director Jane L. Mendillo | For | For | Management |
| 1e | Elect Director Michael G. Mullen | For | For | Management |
| 1f | Elect Director James J. Mulva | For | For | Management |
| 1g | Elect Director Patricia F. Russo | For | Abstain | Management |
| 1h | Elect Director Thomas M. Schoewe | For | For | Management |
| 1i | Elect Director Theodore M. Solso | For | For | Management |
| 1j | Elect Director Carol M. Stephenson | For | For | Management |
| 1k | Elect Director Devin N. Wenig | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 4 | Require Independent Board Chairman | Against | For | Shareholder |
| 5 | Provide Right to Act by Written Consent | Against | For | Shareholder |
| 6 | Report on Fleet GHG Emissions in Relation to CAFE Standards | Against | Against | Shareholder |

GILEAD SCIENCES, INC.

Ticker: GILD Security ID: 375558103
 Meeting Date: MAY 09, 2018 Meeting Type: Annual
 Record Date: MAR 16, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director John F. Cogan | For | For | Management |
| 1b | Elect Director Jacqueline K. Barton | For | For | Management |
| 1c | Elect Director Kelly A. Kramer | For | For | Management |
| 1d | Elect Director Kevin E. Lofton | For | For | Management |
| 1e | Elect Director John C. Martin | For | For | Management |
| 1f | Elect Director John F. Milligan | For | For | Management |
| 1g | Elect Director Richard J. Whitley | For | For | Management |
| 1h | Elect Director Gayle E. Wilson | For | For | Management |
| 1i | Elect Director Per Wold-Olsen | For | For | Management |
| 2 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Require Independent Board Chairman | Against | For | Shareholder |
| 5 | Provide Right to Act by Written Consent | Against | For | Shareholder |

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H&R BLOCK, INC.

Ticker: HRB Security ID: 093671105
 Meeting Date: SEP 14, 2017 Meeting Type: Annual
 Record Date: JUL 14, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|----------|-----------|-------------|
| 1a | Elect Director Angela N. Archon | For | For | Management |
| 1b | Elect Director Paul J. Brown | For | For | Management |
| 1c | Elect Director Robert A. Gerard | For | For | Management |
| 1d | Elect Director Richard A. Johnson | For | For | Management |
| 1e | Elect Director David Baker Lewis | For | For | Management |
| 1f | Elect Director Victoria J. Reich | For | For | Management |
| 1g | Elect Director Bruce C. Rohde | For | For | Management |
| 1h | Elect Director Tom D. Seip | For | For | Management |
| 1i | Elect Director Christianna Wood | For | For | Management |
| 2 | Ratify Deloitte & Touche LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Advisory Vote on Say on Pay Frequency | One Year | One Year | Management |
| 5 | Approve Omnibus Stock Plan | For | For | Management |
| 6 | Amend Proxy Access Right | Against | Against | Shareholder |

HASBRO, INC.

Ticker: HAS Security ID: 418056107
 Meeting Date: MAY 17, 2018 Meeting Type: Annual
 Record Date: MAR 21, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|-------------|
| 1.1 | Elect Director Kenneth A. Bronfin | For | For | Management |
| 1.2 | Elect Director Michael R. Burns | For | For | Management |
| 1.3 | Elect Director Hope F. Cochran | For | For | Management |
| 1.4 | Elect Director Crispin H. Davis | For | For | Management |
| 1.5 | Elect Director Lisa Gersh | For | For | Management |
| 1.6 | Elect Director Brian D. Goldner | For | For | Management |
| 1.7 | Elect Director Alan G. Hassenfeld | For | For | Management |
| 1.8 | Elect Director Tracy A. Leinbach | For | For | Management |
| 1.9 | Elect Director Edward M. Philip | For | For | Management |
| 1.10 | Elect Director Richard S. Stoddart | For | For | Management |
| 1.11 | Elect Director Mary Beth West | For | For | Management |
| 1.12 | Elect Director Linda K. Zecher | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify KPMG LLP as Auditors | For | For | Management |
| 4 | Clawback of Incentive Payments | Against | For | Shareholder |

HONEYWELL INTERNATIONAL INC.

Ticker: HON Security ID: 438516106
 Meeting Date: APR 23, 2018 Meeting Type: Annual

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Record Date: FEB 23, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|-------------|
| 1A | Elect Director Darius Adamczyk | For | For | Management |
| 1B | Elect Director Duncan B. Angove | For | For | Management |
| 1C | Elect Director William S. Ayer | For | For | Management |
| 1D | Elect Director Kevin Burke | For | For | Management |
| 1E | Elect Director Jaime Chico Pardo | For | For | Management |
| 1F | Elect Director D. Scott Davis | For | For | Management |
| 1G | Elect Director Linnet F. Deily | For | For | Management |
| 1H | Elect Director Judd Gregg | For | For | Management |
| 1I | Elect Director Clive Hollick | For | For | Management |
| 1J | Elect Director Grace D. Lieblein | For | For | Management |
| 1K | Elect Director George Paz | For | For | Management |
| 1L | Elect Director Robin L. Washington | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Deloitte & Touche LLP as Auditors | For | For | Management |
| 4 | Reduce Ownership Threshold for Shareholders to Call Special Meeting | For | For | Management |
| 5 | Require Independent Board Chairman | Against | For | Shareholder |
| 6 | Report on Lobbying Payments and Policy | Against | For | Shareholder |

HP INC.

Ticker: HPQ Security ID: 40434L105
Meeting Date: APR 24, 2018 Meeting Type: Annual
Record Date: FEB 23, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Aida M. Alvarez | For | For | Management |
| 1b | Elect Director Shumeet Banerji | For | Against | Management |
| 1c | Elect Director Robert R. Bennett | For | For | Management |
| 1d | Elect Director Charles "Chip" V. Bergh | For | For | Management |
| 1e | Elect Director Stacy Brown-Philpot | For | For | Management |
| 1f | Elect Director Stephanie A. Burns | For | For | Management |
| 1g | Elect Director Mary Anne Citrino | For | For | Management |
| 1h | Elect Director Stacey Mobley | For | For | Management |
| 1i | Elect Director Subra Suresh | For | For | Management |
| 1j | Elect Director Dion J. Weisler | For | For | Management |
| 2 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Provide Right to Act by Written Consent | Against | For | Shareholder |

INTEL CORPORATION

Ticker: INTC Security ID: 458140100
Meeting Date: MAY 17, 2018 Meeting Type: Annual
Record Date: MAR 19, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|-------------------------------|---------|-----------|------------|
| 1a | Elect Director Aneel Bhusri | For | For | Management |
| 1b | Elect Director Andy D. Bryant | For | For | Management |
| 1c | Elect Director Reed E. Hundt | For | For | Management |

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| | | | | |
|----|--|---------|---------|-------------|
| 1d | Elect Director Omar Ishrak | For | For | Management |
| 1e | Elect Director Brian M. Krzanich | For | For | Management |
| 1f | Elect Director Risa Lavizzo-Mourey | For | For | Management |
| 1g | Elect Director Tsu-Jae King Liu | For | For | Management |
| 1h | Elect Director Gregory D. Smith | For | For | Management |
| 1i | Elect Director Andrew Wilson | For | For | Management |
| 1j | Elect Director Frank D. Yeary | For | For | Management |
| 2 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Provide Right to Act by Written Consent | Against | For | Shareholder |
| 5 | Require Independent Board Chairman | Against | For | Shareholder |
| 6 | Report on Costs and Benefits of Political Contributions | Against | Against | Shareholder |

JOHNSON & JOHNSON

Ticker: JNJ Security ID: 478160104
 Meeting Date: APR 26, 2018 Meeting Type: Annual
 Record Date: FEB 27, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|-------------|
| 1a | Elect Director Mary C. Beckerle | For | For | Management |
| 1b | Elect Director D. Scott Davis | For | For | Management |
| 1c | Elect Director Ian E. L. Davis | For | For | Management |
| 1d | Elect Director Jennifer A. Doudna | For | For | Management |
| 1e | Elect Director Alex Gorsky | For | For | Management |
| 1f | Elect Director Mark B. McClellan | For | For | Management |
| 1g | Elect Director Anne M. Mulcahy | For | For | Management |
| 1h | Elect Director William D. Perez | For | Abstain | Management |
| 1i | Elect Director Charles Prince | For | For | Management |
| 1j | Elect Director A. Eugene Washington | For | For | Management |
| 1k | Elect Director Ronald A. Williams | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 4 | Prohibit Adjusting Compensation Metrics for Legal or Compliance Costs | Against | For | Shareholder |
| 5 | Reduce Ownership Threshold for Shareholders to Call Special Meeting | Against | For | Shareholder |

JPMORGAN CHASE & CO.

Ticker: JPM Security ID: 46625H100
 Meeting Date: MAY 15, 2018 Meeting Type: Annual
 Record Date: MAR 16, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---------------------------------|---------|-----------|------------|
| 1a | Elect Director Linda B. Bammann | For | For | Management |
| 1b | Elect Director James A. Bell | For | For | Management |
| 1c | Elect Director Stephen B. Burke | For | For | Management |
| 1d | Elect Director Todd A. Combs | For | For | Management |
| 1e | Elect Director James S. Crown | For | For | Management |
| 1f | Elect Director James Dimon | For | For | Management |
| 1g | Elect Director Timothy P. Flynn | For | For | Management |

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|----|--|---------|---------|-------------|
| 1h | Elect Director Mellody Hobson | For | For | Management |
| 1i | Elect Director Laban P. Jackson, Jr. | For | For | Management |
| 1j | Elect Director Michael A. Neal | For | For | Management |
| 1k | Elect Director Lee R. Raymond | For | For | Management |
| 1l | Elect Director William C. Weldon | For | Against | Management |
| 2 | Ratify Existing Ownership Threshold for Shareholders to Call Special Meeting | For | Against | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Amend Omnibus Stock Plan | For | For | Management |
| 5 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 6 | Require Independent Board Chairman | Against | For | Shareholder |
| 7 | Prohibit Accelerated Vesting of Awards to Pursue Government Service | Against | Against | Shareholder |
| 8 | Institute Procedures to Prevent Investments in Companies that Contribute to Genocide or Crimes Against Humanity | Against | Against | Shareholder |
| 9 | Restore or Provide for Cumulative Voting | Against | Against | Shareholder |

KELLOGG COMPANY

Ticker: K Security ID: 487836108
 Meeting Date: APR 27, 2018 Meeting Type: Annual
 Record Date: FEB 28, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|---|---------|-----------|------------|
| 1.1 | Elect Director Carter Cast | For | For | Management |
| 1.2 | Elect Director Zachary Gund | For | For | Management |
| 1.3 | Elect Director Jim Jenness | For | For | Management |
| 1.4 | Elect Director Don Knauss | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |

KIMBERLY-CLARK CORPORATION

Ticker: KMB Security ID: 494368103
 Meeting Date: MAY 10, 2018 Meeting Type: Annual
 Record Date: MAR 12, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|-----------------------------------|---------|-----------|------------|
| 1.1 | Elect Director John F. Bergstrom | For | For | Management |
| 1.2 | Elect Director Abelardo E. Bru | For | For | Management |
| 1.3 | Elect Director Robert W. Decherd | For | For | Management |
| 1.4 | Elect Director Thomas J. Falk | For | For | Management |
| 1.5 | Elect Director Fabian T. Garcia | For | For | Management |
| 1.6 | Elect Director Michael D. Hsu | For | For | Management |
| 1.7 | Elect Director Mae C. Jemison | For | For | Management |
| 1.8 | Elect Director James M. Jenness | For | For | Management |
| 1.9 | Elect Director Nancy J. Karch | For | For | Management |
| 1.10 | Elect Director Christa S. Quarles | For | For | Management |

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| | | | | |
|------|--|-----|-----|------------|
| 1.11 | Elect Director Ian C. Read | For | For | Management |
| 1.12 | Elect Director Marc J. Shapiro | For | For | Management |
| 1.13 | Elect Director Michael D. White | For | For | Management |
| 2 | Ratify Deloitte & Touche LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

KLA-TENCOR CORPORATION

Ticker: KLAC Security ID: 482480100
 Meeting Date: NOV 01, 2017 Meeting Type: Annual
 Record Date: SEP 13, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|----------|-----------|------------|
| 1.1 | Elect Director Edward W. Barnholt | For | For | Management |
| 1.2 | Elect Director Robert M. Calderoni | For | Against | Management |
| 1.3 | Elect Director John T. Dickson | For | For | Management |
| 1.4 | Elect Director Emiko Higashi | For | For | Management |
| 1.5 | Elect Director Kevin J. Kennedy | For | For | Management |
| 1.6 | Elect Director Gary B. Moore | For | For | Management |
| 1.7 | Elect Director Kiran M. Patel | For | For | Management |
| 1.8 | Elect Director Robert A. Rango | For | For | Management |
| 1.9 | Elect Director Richard P. Wallace | For | For | Management |
| 1.10 | Elect Director David C. Wang | For | For | Management |
| 2 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Advisory Vote on Say on Pay Frequency | One Year | One Year | Management |

LAM RESEARCH CORPORATION

Ticker: LRCX Security ID: 512807108
 Meeting Date: NOV 08, 2017 Meeting Type: Annual
 Record Date: SEP 11, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|----------|-----------|-------------|
| 1.1 | Elect Director Martin B. Anstice | For | For | Management |
| 1.2 | Elect Director Eric K. Brandt | For | For | Management |
| 1.3 | Elect Director Michael R. Cannon | For | For | Management |
| 1.4 | Elect Director Youssef A. El-Mansy | For | For | Management |
| 1.5 | Elect Director Christine A. Heckart | For | For | Management |
| 1.6 | Elect Director Young Bum (YB) Koh | For | For | Management |
| 1.7 | Elect Director Catherine P. Lego | For | For | Management |
| 1.8 | Elect Director Stephen G. Newberry | For | For | Management |
| 1.9 | Elect Director Abhijit Y. Talwalkar | For | For | Management |
| 1.10 | Elect Director Lih Shyng (Rick L.) Tsai | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Advisory Vote on Say on Pay Frequency | One Year | One Year | Management |
| 4 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 5 | Adopt Policy to Annually Disclose EEO-1 Data | Against | Abstain | Shareholder |

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LOCKHEED MARTIN CORPORATION

Ticker: LMT Security ID: 539830109
 Meeting Date: APR 26, 2018 Meeting Type: Annual
 Record Date: FEB 23, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|-------------|
| 1.1 | Elect Director Daniel F. Akerson | For | For | Management |
| 1.2 | Elect Director Nolan D. Archibald | For | For | Management |
| 1.3 | Elect Director David B. Burritt | For | For | Management |
| 1.4 | Elect Director Bruce A. Carlson | For | For | Management |
| 1.5 | Elect Director James O. Ellis, Jr. | For | For | Management |
| 1.6 | Elect Director Thomas J. Falk | For | For | Management |
| 1.7 | Elect Director Ilene S. Gordon | For | For | Management |
| 1.8 | Elect Director Marillyn A. Hewson | For | For | Management |
| 1.9 | Elect Director Jeh C. Johnson | For | For | Management |
| 1.10 | Elect Director Joseph W. Ralston | For | For | Management |
| 1.11 | Elect Director James D. Taiclet, Jr. | For | For | Management |
| 2 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 3 | Amend Non-Employee Director Omnibus Stock Plan | For | For | Management |
| 4 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 5 | Provide Right to Act by Written Consent | Against | For | Shareholder |

LYONDELLBASELL INDUSTRIES N.V.

Ticker: LYB Security ID: N53745100
 Meeting Date: JUN 01, 2018 Meeting Type: Annual
 Record Date: MAY 04, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1 | Amend Articles | For | For | Management |
| 2a | Elect Director Bhavesh (Bob) Patel | For | For | Management |
| 2b | Elect Director Robert Gwin | For | For | Management |
| 2c | Elect Director Jacques Aigrain | For | For | Management |
| 2d | Elect Director Lincoln Benet | For | For | Management |
| 2e | Elect Director Jagjeet (Jeet) Bindra | For | For | Management |
| 2f | Elect Director Robin Buchanan | For | For | Management |
| 2g | Elect Director Stephen Cooper | For | For | Management |
| 2h | Elect Director Nance Dicciani | For | For | Management |
| 2i | Elect Director Claire Farley | For | For | Management |
| 2j | Elect Director Isabella (Bella) Goren | For | For | Management |
| 2k | Elect Director Bruce Smith | For | For | Management |
| 2l | Elect Director Rudy van der Meer | For | For | Management |
| 3a | Elect Bhavesh (Bob) Patel to Management Board | For | For | Management |
| 3b | Elect Thomas Aebischer to Management Board | For | For | Management |
| 3c | Elect Daniel Coombs to Management Board | For | For | Management |
| 3d | Elect Jeffrey Kaplan to Management Board | For | For | Management |
| 3e | Elect James Guilfoyle to Management Board | For | For | Management |
| 4 | Adopt Financial Statements and Statutory Reports | For | For | Management |
| 5 | Approve Discharge of Management Board | For | For | Management |

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|----|--|-----|-----|------------|
| 6 | Approve Discharge of Supervisory Board | For | For | Management |
| 7 | Ratify PricewaterhouseCoopers Accountants N.V. as Auditors | For | For | Management |
| 8 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 9 | Approve Dividends of USD 3.70 Per Share | For | For | Management |
| 10 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 11 | Authorize Repurchase of Up to 10 Percent of Issued Share Capital | For | For | Management |
| 12 | Authorization of the Cancellation of Shares | For | For | Management |
| 13 | Amend Qualified Employee Stock Purchase Plan | For | For | Management |

MACQUARIE INFRASTRUCTURE CORPORATION

Ticker: MIC Security ID: 55608B105
 Meeting Date: MAY 16, 2018 Meeting Type: Annual
 Record Date: MAR 21, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1a | Elect Director Norman H. Brown, Jr. | For | For | Management |
| 1b | Elect Director George W. Carmany, III | For | For | Management |
| 1c | Elect Director James Hooke | For | For | Management |
| 1d | Elect Director Ronald Kirk | For | For | Management |
| 1e | Elect Director H.E. (Jack) Lentz | For | For | Management |
| 1f | Elect Director Ouma Sananikone | For | For | Management |
| 2 | Ratify KPMG LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Management |

MARATHON PETROLEUM CORPORATION

Ticker: MPC Security ID: 56585A102
 Meeting Date: APR 25, 2018 Meeting Type: Annual
 Record Date: FEB 26, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|----------|-----------|-------------|
| 1a | Elect Director Abdulaziz F. Alkhayyal | For | For | Management |
| 1b | Elect Director Donna A. James | For | For | Management |
| 1c | Elect Director James E. Rohr | For | Abstain | Management |
| 2 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Advisory Vote on Say on Pay Frequency | One Year | One Year | Management |
| 5 | Eliminate Supermajority Vote Requirement to Amend Bylaws | For | For | Management |
| 6 | Eliminate Supermajority Vote Requirement to Amend Charter | For | For | Management |
| 7 | Reduce Ownership Threshold for Shareholders to Call Special Meeting | Against | For | Shareholder |

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MASTERCARD INCORPORATED

Ticker: MA Security ID: 57636Q104
 Meeting Date: JUN 26, 2018 Meeting Type: Annual
 Record Date: APR 27, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1a | Elect Director Richard Haythornthwaite | For | For | Management |
| 1b | Elect Director Ajay Banga | For | For | Management |
| 1c | Elect Director Silvio Barzi | For | For | Management |
| 1d | Elect Director David R. Carlucci | For | For | Management |
| 1e | Elect Director Richard K. Davis | For | For | Management |
| 1f | Elect Director Steven J. Freiberg | For | For | Management |
| 1g | Elect Director Julius Genachowski | For | For | Management |
| 1h | Elect Director Choon Phong Goh | For | For | Management |
| 1i | Elect Director Merit E. Janow | For | For | Management |
| 1j | Elect Director Nancy Karch | For | For | Management |
| 1k | Elect Director Oki Matsumoto | For | Against | Management |
| 1l | Elect Director Rima Qureshi | For | For | Management |
| 1m | Elect Director Jose Octavio Reyes Lagunes | For | For | Management |
| 1n | Elect Director Jackson Tai | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |

MAXIM INTEGRATED PRODUCTS, INC.

Ticker: MXIM Security ID: 57772K101
 Meeting Date: NOV 10, 2017 Meeting Type: Annual
 Record Date: SEP 11, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|----------|-----------|------------|
| 1a | Elect Director William (Bill) P. Sullivan | For | For | Management |
| 1b | Elect Director Tunc Doluca | For | For | Management |
| 1c | Elect Director Tracy C. Accardi | For | For | Management |
| 1d | Elect Director James R. Bergman | For | Against | Management |
| 1e | Elect Director Joseph R. Bronson | For | For | Management |
| 1f | Elect Director Robert E. Grady | For | For | Management |
| 1g | Elect Director William D. Watkins | For | For | Management |
| 1h | Elect Director MaryAnn Wright | For | For | Management |
| 2 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 3 | Amend Qualified Employee Stock Purchase Plan | For | For | Management |
| 4 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 5 | Advisory Vote on Say on Pay Frequency | One Year | One Year | Management |

MCKESSON CORPORATION

Ticker: MCK Security ID: 58155Q103
 Meeting Date: JUL 26, 2017 Meeting Type: Annual

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Record Date: MAY 31, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|----------|-----------|-------------|
| 1a | Elect Director Andy D. Bryant | For | Against | Management |
| 1b | Elect Director N. Anthony Coles | For | For | Management |
| 1c | Elect Director John H. Hammergren | For | For | Management |
| 1d | Elect Director M. Christine Jacobs | For | For | Management |
| 1e | Elect Director Donald R. Knauss | For | For | Management |
| 1f | Elect Director Marie L. Knowles | For | For | Management |
| 1g | Elect Director Edward A. Mueller | For | For | Management |
| 1h | Elect Director Susan R. Salka | For | For | Management |
| 2 | Ratify Deloitte & Touche LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Management |
| 4 | Advisory Vote on Say on Pay Frequency | One Year | One Year | Management |
| 5 | Require Independent Board Chairman | Against | For | Shareholder |
| 6 | Provide Right to Act by Written Consent | Against | For | Shareholder |

MEDTRONIC PLC

Ticker: MDT Security ID: G5960L103
 Meeting Date: DEC 08, 2017 Meeting Type: Annual
 Record Date: OCT 10, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1a | Elect Director Richard H. Anderson | For | For | Management |
| 1b | Elect Director Craig Arnold | For | For | Management |
| 1c | Elect Director Scott C. Donnelly | For | For | Management |
| 1d | Elect Director Randall J. Hogan, III | For | For | Management |
| 1e | Elect Director Omar Ishrak | For | For | Management |
| 1f | Elect Director Shirley Ann Jackson | For | For | Management |
| 1g | Elect Director Michael O. Leavitt | For | For | Management |
| 1h | Elect Director James T. Lenehan | For | For | Management |
| 1i | Elect Director Elizabeth G. Nabel | For | For | Management |
| 1j | Elect Director Denise M. O'Leary | For | For | Management |
| 1k | Elect Director Kendall J. Powell | For | Against | Management |
| 1l | Elect Director Robert C. Pozen | For | For | Management |
| 2 | Approve PricewaterhouseCoopers LLP as Auditors and Authorize Audit Committee to Fix Their Remuneration | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Amend Omnibus Stock Plan | For | For | Management |

MERCK & CO., INC.

Ticker: MRK Security ID: 58933Y105
 Meeting Date: MAY 22, 2018 Meeting Type: Annual
 Record Date: MAR 28, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|-----------------------------------|---------|-----------|------------|
| 1a | Elect Director Leslie A. Brun | For | Abstain | Management |
| 1b | Elect Director Thomas R. Cech | For | For | Management |
| 1c | Elect Director Pamela J. Craig | For | For | Management |
| 1d | Elect Director Kenneth C. Frazier | For | For | Management |

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|----|--|---------|---------|-------------|
| 1e | Elect Director Thomas H. Glocer | For | For | Management |
| 1f | Elect Director Rochelle B. Lazarus | For | For | Management |
| 1g | Elect Director John H. Noseworthy | For | For | Management |
| 1h | Elect Director Paul B. Rothman | For | For | Management |
| 1i | Elect Director Patricia F. Russo | For | For | Management |
| 1j | Elect Director Craig B. Thompson | For | For | Management |
| 1k | Elect Director Inge G. Thulin | For | For | Management |
| 1l | Elect Director Wendell P. Weeks | For | Against | Management |
| 1m | Elect Director Peter C. Wendell | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 4 | Provide Right to Act by Written Consent | Against | For | Shareholder |

METLIFE, INC.

Ticker: MET Security ID: 59156R108
 Meeting Date: OCT 19, 2017 Meeting Type: Special
 Record Date: SEP 05, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|---|---------|-----------|------------|
| 1 | Amend Certificate of Incorporation to Modify the Dividend Payment Tests for the Series A Preferred Stock and the Series C Preferred Stock | For | For | Management |
| 2 | Adjourn Meeting | For | For | Management |

MICROSOFT CORPORATION

Ticker: MSFT Security ID: 594918104
 Meeting Date: NOV 29, 2017 Meeting Type: Annual
 Record Date: SEP 29, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|----------|-----------|------------|
| 1.1 | Elect Director William H. Gates, III | For | For | Management |
| 1.2 | Elect Director Reid G. Hoffman | For | For | Management |
| 1.3 | Elect Director Hugh F. Johnston | For | For | Management |
| 1.4 | Elect Director Teri L. List-Stoll | For | For | Management |
| 1.5 | Elect Director Satya Nadella | For | For | Management |
| 1.6 | Elect Director Charles H. Noski | For | For | Management |
| 1.7 | Elect Director Helmut Panke | For | For | Management |
| 1.8 | Elect Director Sandra E. Peterson | For | For | Management |
| 1.9 | Elect Director Penny S. Pritzker | For | For | Management |
| 1.10 | Elect Director Charles W. Scharf | For | For | Management |
| 1.11 | Elect Director Arne M. Sorenson | For | For | Management |
| 1.12 | Elect Director John W. Stanton | For | For | Management |
| 1.13 | Elect Director John W. Thompson | For | For | Management |
| 1.14 | Elect Director Padmasree Warrior | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Advisory Vote on Say on Pay Frequency | One Year | One Year | Management |
| 4 | Ratify Deloitte & Touche LLP as Auditors | For | For | Management |
| 5 | Amend Executive Incentive Bonus Plan | For | For | Management |
| 6 | Approve Omnibus Stock Plan | For | For | Management |

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NATIONAL OILWELL VARCO, INC.

Ticker: NOV Security ID: 637071101
 Meeting Date: MAY 11, 2018 Meeting Type: Annual
 Record Date: MAR 16, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1A | Elect Director Clay C. Williams | For | For | Management |
| 1B | Elect Director Greg L. Armstrong | For | For | Management |
| 1C | Elect Director Marcela E. Donadio | For | For | Management |
| 1D | Elect Director Ben A. Guill | For | For | Management |
| 1E | Elect Director James T. Hackett | For | Against | Management |
| 1F | Elect Director David D. Harrison | For | For | Management |
| 1G | Elect Director Eric L. Mattson | For | For | Management |
| 1H | Elect Director Melody B. Meyer | For | For | Management |
| 1I | Elect Director William R. Thomas | For | For | Management |
| 2 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Approve Omnibus Stock Plan | For | For | Management |

NIELSEN HOLDINGS PLC

Ticker: NLSN Security ID: G6518L108
 Meeting Date: MAY 22, 2018 Meeting Type: Annual
 Record Date: MAR 23, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1a | Elect Director James A. Attwood, Jr. | For | For | Management |
| 1b | Elect Director Mitch Barns | For | For | Management |
| 1c | Elect Director Guerrino De Luca | For | For | Management |
| 1d | Elect Director Karen M. Hoguet | For | For | Management |
| 1e | Elect Director Harish Manwani | For | For | Management |
| 1f | Elect Director Robert C. Pozen | For | For | Management |
| 1g | Elect Director David Rawlinson | For | For | Management |
| 1h | Elect Director Javier G. Teruel | For | For | Management |
| 1i | Elect Director Lauren Zalaznick | For | For | Management |
| 2 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 3 | Reappoint Ernst & Young LLP as UK Statutory Auditors | For | For | Management |
| 4 | Authorize the Audit Committee to Fix Remuneration of UK Statutory Auditors | For | For | Management |
| 5 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 6 | Approve Remuneration Report | For | For | Management |
| 7 | Approve Remuneration Policy | For | For | Management |

NRG YIELD, INC.

Ticker: NYLD Security ID: 62942X306
 Meeting Date: APR 24, 2018 Meeting Type: Annual
 Record Date: MAR 01, 2018

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| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|------------|
| 1.1 | Elect Director Mauricio Gutierrez | For | For | Management |
| 1.2 | Elect Director John F. Chlebowski | For | For | Management |
| 1.3 | Elect Director Kirkland B. Andrews | For | For | Management |
| 1.4 | Elect Director John Chillemi | For | For | Management |
| 1.5 | Elect Director Brian R. Ford | For | For | Management |
| 1.6 | Elect Director Ferrell P. McClean | For | For | Management |
| 1.7 | Elect Director Christopher S. Sotos | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify KPMG LLP as Auditors | For | For | Management |

PACKAGING CORPORATION OF AMERICA

Ticker: PKG Security ID: 695156109
 Meeting Date: MAY 15, 2018 Meeting Type: Annual
 Record Date: MAR 19, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|------------|
| 1.1 | Elect Director Cheryl K. Beebe | For | For | Management |
| 1.2 | Elect Director Duane C. Farrington | For | For | Management |
| 1.3 | Elect Director Hasan Jameel | For | For | Management |
| 1.4 | Elect Director Mark W. Kowlzan | For | For | Management |
| 1.5 | Elect Director Robert C. Lyons | For | For | Management |
| 1.6 | Elect Director Thomas P. Maurer | For | For | Management |
| 1.7 | Elect Director Samuel M. Menco | For | For | Management |
| 1.8 | Elect Director Roger B. Porter | For | Abstain | Management |
| 1.9 | Elect Director Thomas S. Souleles | For | For | Management |
| 1.10 | Elect Director Paul T. Stecko | For | For | Management |
| 1.11 | Elect Director James D. Woodrum | For | For | Management |
| 2 | Ratify KPMG LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

PACWEST BANCORP

Ticker: PACW Security ID: 695263103
 Meeting Date: MAY 14, 2018 Meeting Type: Annual
 Record Date: MAR 19, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|------------|
| 1.1 | Elect Director Tanya M. Acker | For | Withhold | Management |
| 1.2 | Elect Director Paul R. Burke | For | For | Management |
| 1.3 | Elect Director Craig A. Carlson | For | For | Management |
| 1.4 | Elect Director John M. Eggemeyer, III | For | For | Management |
| 1.5 | Elect Director C. William Hosler | For | For | Management |
| 1.6 | Elect Director Susan E. Lester | For | For | Management |
| 1.7 | Elect Director Roger H. Molvar | For | For | Management |
| 1.8 | Elect Director James J. Pieczynski | For | For | Management |
| 1.9 | Elect Director Daniel B. Platt | For | For | Management |
| 1.10 | Elect Director Robert A. Stine | For | For | Management |
| 1.11 | Elect Director Matthew P. Wagner | For | For | Management |
| 1.12 | Elect Director Mark T. Yung | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

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|---|-----------------------------|-----|---------|------------|
| 3 | Ratify KPMG LLP as Auditors | For | For | Management |
| 4 | Adjourn Meeting | For | For | Management |
| 5 | Other Business | For | Against | Management |

PFIZER INC.

Ticker: PFE Security ID: 717081103
 Meeting Date: APR 26, 2018 Meeting Type: Annual
 Record Date: FEB 27, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|-------------|
| 1.1 | Elect Director Dennis A. Ausiello | For | For | Management |
| 1.2 | Elect Director Ronald E. Blaylock | For | For | Management |
| 1.3 | Elect Director Albert Bourla | For | For | Management |
| 1.4 | Elect Director W. Don Cornwell | For | For | Management |
| 1.5 | Elect Director Joseph J. Echevarria | For | Abstain | Management |
| 1.6 | Elect Director Helen H. Hobbs | For | For | Management |
| 1.7 | Elect Director James M. Kilts | For | For | Management |
| 1.8 | Elect Director Dan R. Littman | For | For | Management |
| 1.9 | Elect Director Shantanu Narayan | For | For | Management |
| 1.10 | Elect Director Suzanne Nora Johnson | For | For | Management |
| 1.11 | Elect Director Ian C. Read | For | For | Management |
| 1.12 | Elect Director James C. Smith | For | For | Management |
| 2 | Ratify KPMG LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Amend Omnibus Stock Plan | For | For | Management |
| 5 | Provide Right to Act by Written Consent | Against | For | Shareholder |
| 6 | Require Independent Board Chairman | Against | For | Shareholder |
| 7 | Report on Lobbying Payments and Policy | Against | Against | Shareholder |

PHILIP MORRIS INTERNATIONAL INC.

Ticker: PM Security ID: 718172109
 Meeting Date: MAY 09, 2018 Meeting Type: Annual
 Record Date: MAR 16, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|------------|
| 1.1 | Elect Director Harold Brown | For | For | Management |
| 1.2 | Elect Director Andre Calantzopoulos | For | For | Management |
| 1.3 | Elect Director Louis C. Camilleri | For | For | Management |
| 1.4 | Elect Director Massimo Ferragamo | For | For | Management |
| 1.5 | Elect Director Werner Geissler | For | For | Management |
| 1.6 | Elect Director Lisa A. Hook | For | For | Management |
| 1.7 | Elect Director Jennifer Li | For | For | Management |
| 1.8 | Elect Director Jun Makihara | For | For | Management |
| 1.9 | Elect Director Sergio Marchionne | For | Against | Management |
| 1.10 | Elect Director Kalpana Morparia | For | For | Management |
| 1.11 | Elect Director Lucio A. Noto | For | For | Management |
| 1.12 | Elect Director Frederik Paulsen | For | For | Management |
| 1.13 | Elect Director Robert B. Polet | For | For | Management |
| 1.14 | Elect Director Stephen M. Wolf | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify PricewaterhouseCoopers SA as Auditors | For | For | Management |

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 PRINCIPAL FINANCIAL GROUP, INC.

Ticker: PFG Security ID: 74251V102
 Meeting Date: MAY 22, 2018 Meeting Type: Annual
 Record Date: MAR 28, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|------------|
| 1.1 | Elect Director Roger C. Hochschild | For | For | Management |
| 1.2 | Elect Director Daniel J. Houston | For | For | Management |
| 1.3 | Elect Director Diane C. Nordin | For | For | Management |
| 1.4 | Elect Director Elizabeth E. Tallett | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Ernst & Young LLP as Auditors | For | For | Management |

 PRUDENTIAL FINANCIAL, INC.

Ticker: PRU Security ID: 744320102
 Meeting Date: MAY 08, 2018 Meeting Type: Annual
 Record Date: MAR 09, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|-------------|
| 1.1 | Elect Director Thomas J. Baltimore, Jr. | For | For | Management |
| 1.2 | Elect Director Gilbert F. Casellas | For | Abstain | Management |
| 1.3 | Elect Director Mark B. Grier | For | For | Management |
| 1.4 | Elect Director Martina Hund-Mejean | For | For | Management |
| 1.5 | Elect Director Karl J. Krapek | For | For | Management |
| 1.6 | Elect Director Peter R. Lighte | For | For | Management |
| 1.7 | Elect Director George Paz | For | For | Management |
| 1.8 | Elect Director Sandra Pianalto | For | For | Management |
| 1.9 | Elect Director Christine A. Poon | For | For | Management |
| 1.10 | Elect Director Douglas A. Scovanner | For | For | Management |
| 1.11 | Elect Director John R. Strangfeld | For | For | Management |
| 1.12 | Elect Director Michael A. Todman | For | For | Management |
| 2 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Require Independent Board Chairman | Against | For | Shareholder |

 PULTEGROUP, INC.

Ticker: PHM Security ID: 745867101
 Meeting Date: MAY 10, 2018 Meeting Type: Annual
 Record Date: MAR 16, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|------------------------------------|---------|-----------|------------|
| 1.1 | Elect Director Brian P. Anderson | For | For | Management |
| 1.2 | Elect Director Bryce Blair | For | For | Management |
| 1.3 | Elect Director Richard W. Dreiling | For | For | Management |
| 1.4 | Elect Director Thomas J. Folliard | For | For | Management |
| 1.5 | Elect Director Cheryl W. Grise | For | Abstain | Management |

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|------|--|-----|-----|------------|
| 1.6 | Elect Director Andre J. Hawaux | For | For | Management |
| 1.7 | Elect Director Ryan R. Marshall | For | For | Management |
| 1.8 | Elect Director John R. Peshkin | For | For | Management |
| 1.9 | Elect Director Scott F. Powers | For | For | Management |
| 1.10 | Elect Director William J. Pulte | For | For | Management |
| 2 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

QUALCOMM INCORPORATED

Ticker: QCOM Security ID: 747525103
 Meeting Date: MAR 23, 2018 Meeting Type: Proxy Contest
 Record Date: JAN 08, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|----------|--------------|-------------|
| | Management Proxy (White Proxy Card) | None | | |
| 1.1 | Elect Director Barbara T. Alexander | For | Withhold | Management |
| 1.2 | Elect Director Jeffrey W. Henderson | For | For | Management |
| 1.3 | Elect Director Thomas W. Horton | For | Withhold | Management |
| 1.4 | Elect Director Paul E. Jacobs | For | Withhold | Management |
| 1.5 | Elect Director Ann M. Livermore | For | Withhold | Management |
| 1.6 | Elect Director Harish Manwani | For | For | Management |
| 1.7 | Elect Director Mark D. McLaughlin | For | For | Management |
| 1.8 | Elect Director Steve Mollenkopf | For | Withhold | Management |
| 1.9 | Elect Director Clark T. "Sandy" Randt, Jr. | For | For | Management |
| 1.10 | Elect Director Francisco Ros | For | Withhold | Management |
| 1.11 | Elect Director Anthony J. Vinciguerra | For | For | Management |
| 2 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Amend Qualified Employee Stock Purchase Plan | For | For | Management |
| 5 | Eliminate Supermajority Vote Requirement to Remove Directors | For | For | Management |
| 6 | Eliminate Supermajority Vote Requirement Relating to Amendments and Obsolete Provisions | For | For | Management |
| 7 | Eliminate Supermajority Vote Requirement for Business Combinations with Interested Stockholders | For | For | Management |
| 8 | Repeal Amendments to the Company's Bylaws Adopted Without Stockholder Approval After July 15, 2016 | Against | Against | Shareholder |
| # | Proposal | Diss Rec | Vote Cast | Sponsor |
| | Dissident Proxy (Blue Proxy Card) | None | | |
| 1.1 | Elect Director Samih Elhage | For | Did Not Vote | Shareholder |
| 1.2 | Elect Director David G. Golden | For | Did Not Vote | Shareholder |
| 1.3 | Elect Director Veronica M. Hagen | For | Did Not Vote | Shareholder |
| 1.4 | Elect Director Julie A. Hill | For | Did Not Vote | Shareholder |
| 1.5 | Elect Director John H. Kispert | For | Did Not Vote | Shareholder |
| 1.6 | Elect Director Harry L. You | For | Did Not Vote | Shareholder |
| 2 | Repeal Amendments to the Company's Bylaws Adopted Without Stockholder Approval After July 15, 2016 | For | Did Not Vote | Shareholder |
| 3 | Ratify PricewaterhouseCoopers LLP as Auditors | For | Did Not Vote | Management |

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|---|---|------|-------------------------|
| 4 | Advisory Vote to Ratify Named Executive Officers' Compensation | None | Did Not Vote Management |
| 5 | Amend Qualified Employee Stock Purchase Plan | None | Did Not Vote Management |
| 6 | Eliminate Supermajority Vote Requirement to Remove Directors | For | Did Not Vote Management |
| 7 | Eliminate Supermajority Vote Requirement Relating to Amendments and Obsolete Provisions | For | Did Not Vote Management |
| 8 | Eliminate Supermajority Vote Requirement for Business Combinations with Interested Stockholders | For | Did Not Vote Management |

QURATE RETAIL, INC.

Ticker: QRTEA Security ID: 53071M104
 Meeting Date: MAY 23, 2018 Meeting Type: Annual
 Record Date: APR 02, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|------------|
| 1.1 | Elect Director Richard N. Barton | For | Withhold | Management |
| 1.2 | Elect Director Michael A. George | For | For | Management |
| 1.3 | Elect Director Gregory B. Maffei | For | For | Management |
| 2 | Ratify KPMG LLP as Auditors | For | For | Management |
| 3 | Approve Reclassification of Common Stock | For | For | Management |

ROCKWELL AUTOMATION, INC.

Ticker: ROK Security ID: 773903109
 Meeting Date: FEB 06, 2018 Meeting Type: Annual
 Record Date: DEC 11, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| A1 | Elect Director Betty C. Alewine | For | For | Management |
| A2 | Elect Director J. Phillip Holloman | For | For | Management |
| A3 | Elect Director Lawrence D. Kingsley | For | For | Management |
| A4 | Elect Director Lisa A. Payne | For | For | Management |
| B | Ratify Deloitte & Touche LLP as Auditors | For | For | Management |
| C | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

ROSS STORES, INC.

Ticker: ROST Security ID: 778296103
 Meeting Date: MAY 23, 2018 Meeting Type: Annual
 Record Date: MAR 27, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|------------------------------------|---------|-----------|------------|
| 1a | Elect Director Michael Balmuth | For | For | Management |
| 1b | Elect Director K. Gunnar Bjorklund | For | For | Management |
| 1c | Elect Director Michael J. Bush | For | For | Management |

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|----|---|-----|---------|------------|
| 1d | Elect Director Norman A. Ferber | For | Against | Management |
| 1e | Elect Director Sharon D. Garrett | For | For | Management |
| 1f | Elect Director Stephen D. Milligan | For | For | Management |
| 1g | Elect Director George P. Orban | For | For | Management |
| 1h | Elect Director Michael O'Sullivan | For | For | Management |
| 1i | Elect Director Lawrence S. Peiros | For | For | Management |
| 1j | Elect Director Gregory L. Quesnel | For | For | Management |
| 1k | Elect Director Barbara Rentler | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Deloitte & Touche LLP as Auditors | For | For | Management |

ROYAL CARIBBEAN CRUISES LTD.

Ticker: RCL Security ID: V7780T103
 Meeting Date: MAY 21, 2018 Meeting Type: Annual
 Record Date: APR 18, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1a | Elect Director John F. Brock | For | For | Management |
| 1b | Elect Director Richard D. Fain | For | For | Management |
| 1c | Elect Director William L. Kimsey | For | For | Management |
| 1d | Elect Director Maritza G. Montiel | For | For | Management |
| 1e | Elect Director Ann S. Moore | For | For | Management |
| 1f | Elect Director Eyal M. Ofer | For | For | Management |
| 1g | Elect Director Thomas J. Pritzker | For | For | Management |
| 1h | Elect Director William K. Reilly | For | For | Management |
| 1i | Elect Director Bernt Reitan | For | For | Management |
| 1j | Elect Director Vagn O. Sorensen | For | For | Management |
| 1k | Elect Director Donald Thompson | For | For | Management |
| 1l | Elect Director Arne Alexander Wilhelmsen | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |

S&P GLOBAL INC.

Ticker: SPGI Security ID: 78409V104
 Meeting Date: MAY 01, 2018 Meeting Type: Annual
 Record Date: MAR 12, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|---|---------|-----------|------------|
| 1.1 | Elect Director Marco Alvera | For | For | Management |
| 1.2 | Elect Director William D. Green | For | Against | Management |
| 1.3 | Elect Director Charles E. Haldeman, Jr. | For | For | Management |
| 1.4 | Elect Director Stephanie C. Hill | For | For | Management |
| 1.5 | Elect Director Rebecca Jacoby | For | For | Management |
| 1.6 | Elect Director Monique F. Leroux | For | For | Management |
| 1.7 | Elect Director Maria R. Morris | For | For | Management |
| 1.8 | Elect Director Douglas L. Peterson | For | For | Management |
| 1.9 | Elect Director Michael Rake | For | For | Management |
| 1.10 | Elect Director Edward B. Rust, Jr. | For | For | Management |
| 1.11 | Elect Director Kurt L. Schmoke | For | For | Management |

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| 1.12 | Elect Director Richard E. Thornburgh | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Ernst & Young LLP as Auditors | For | For | Management |

SBA COMMUNICATIONS CORPORATION

Ticker: SBAC Security ID: 78410G104
 Meeting Date: MAY 17, 2018 Meeting Type: Annual
 Record Date: MAR 16, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1a | Elect Director Brian C. Carr | For | For | Management |
| 1b | Elect Director Mary S. Chan | For | For | Management |
| 1c | Elect Director George R. Krouse, Jr. | For | Abstain | Management |
| 2 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Approve Qualified Employee Stock Purchase Plan | For | For | Management |

SIX FLAGS ENTERTAINMENT CORPORATION

Ticker: SIX Security ID: 83001A102
 Meeting Date: MAY 02, 2018 Meeting Type: Annual
 Record Date: MAR 07, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|------------|
| 1.1 | Elect Director Kurt M. Cellar | For | For | Management |
| 1.2 | Elect Director Nancy A. Krejsa | For | For | Management |
| 1.3 | Elect Director Jon L. Luther | For | Withhold | Management |
| 1.4 | Elect Director Usman Nabi | For | Withhold | Management |
| 1.5 | Elect Director Stephen D. Owens | For | For | Management |
| 1.6 | Elect Director James Reid-Anderson | For | For | Management |
| 1.7 | Elect Director Richard W. Roedel | For | For | Management |
| 2 | Increase Authorized Common Stock | For | For | Management |
| 3 | Ratify KPMG LLP as Auditors | For | For | Management |
| 4 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Management |

SOUTHWEST AIRLINES CO.

Ticker: LUV Security ID: 844741108
 Meeting Date: MAY 16, 2018 Meeting Type: Annual
 Record Date: MAR 20, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--------------------------------------|---------|-----------|------------|
| 1a | Elect Director David W. Biegler | For | For | Management |
| 1b | Elect Director J. Veronica Biggins | For | For | Management |
| 1c | Elect Director Douglas H. Brooks | For | For | Management |
| 1d | Elect Director William H. Cunningham | For | For | Management |
| 1e | Elect Director John G. Denison | For | For | Management |
| 1f | Elect Director Thomas W. Gilligan | For | For | Management |

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|----|--|---------|-----|-------------|
| 1g | Elect Director Gary C. Kelly | For | For | Management |
| 1h | Elect Director Grace D. Lieblein | For | For | Management |
| 1i | Elect Director Nancy B. Loeffler | For | For | Management |
| 1j | Elect Director John T. Montford | For | For | Management |
| 1k | Elect Director Ron Ricks | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 4 | Require Independent Board Chairman | Against | For | Shareholder |
| 5 | Provide Right to Act by Written Consent | Against | For | Shareholder |

STAPLES, INC.

Ticker: SPLS Security ID: 855030102
 Meeting Date: SEP 06, 2017 Meeting Type: Special
 Record Date: AUG 01, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|------------------------------------|---------|-----------|------------|
| 1 | Approve Merger Agreement | For | For | Management |
| 2 | Advisory Vote on Golden Parachutes | For | For | Management |
| 3 | Adjourn Meeting | For | For | Management |

STARWOOD PROPERTY TRUST, INC.

Ticker: STWD Security ID: 85571B105
 Meeting Date: MAY 02, 2018 Meeting Type: Annual
 Record Date: MAR 12, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|------------|
| 1.1 | Elect Director Richard D. Bronson | For | For | Management |
| 1.2 | Elect Director Jeffrey G. Dishner | For | For | Management |
| 1.3 | Elect Director Camille J. Douglas | For | For | Management |
| 1.4 | Elect Director Solomon J. Kumin | For | For | Management |
| 1.5 | Elect Director Barry S. Sternlicht | For | For | Management |
| 1.6 | Elect Director Strauss Zelnick | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Management |
| 3 | Ratify Deloitte & Touche LLP as Auditors | For | For | Management |

SUNCOR ENERGY INC.

Ticker: SU Security ID: 867224107
 Meeting Date: MAY 02, 2018 Meeting Type: Annual
 Record Date: MAR 07, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|-------------------------------------|---------|-----------|------------|
| 1.1 | Elect Director Patricia M. Bedient | For | For | Management |
| 1.2 | Elect Director Mel E. Benson | For | For | Management |
| 1.3 | Elect Director Jacynthe Cote | For | For | Management |
| 1.4 | Elect Director Dominic D'Alessandro | For | For | Management |
| 1.5 | Elect Director John D. Gass | For | For | Management |
| 1.6 | Elect Director Dennis M. Houston | For | For | Management |

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| | | | | |
|------|--|-----|-----|------------|
| 1.7 | Elect Director Maureen McCaw | For | For | Management |
| 1.8 | Elect Director Eira M. Thomas | For | For | Management |
| 1.9 | Elect Director Steven W. Williams | For | For | Management |
| 1.10 | Elect Director Michael M. Wilson | For | For | Management |
| 2 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 3 | Advisory Vote on Executive Compensation Approach | For | For | Management |

SYSCO CORPORATION

Ticker: SYY Security ID: 871829107
 Meeting Date: NOV 17, 2017 Meeting Type: Annual
 Record Date: SEP 20, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|----------|-----------|-------------|
| 1a | Elect Director Daniel J. Brutto | For | For | Management |
| 1b | Elect Director John M. Cassaday | For | For | Management |
| 1c | Elect Director William J. DeLaney | For | For | Management |
| 1d | Elect Director Joshua D. Frank | For | For | Management |
| 1e | Elect Director Larry C. Glasscock | For | For | Management |
| 1f | Elect Director Bradley M. Halverson | For | For | Management |
| 1g | Elect Director Hans-Joachim Koerber | For | For | Management |
| 1h | Elect Director Nancy S. Newcomb | For | For | Management |
| 1i | Elect Director Nelson Peltz | For | For | Management |
| 1j | Elect Director Edward D. Shirley | For | For | Management |
| 1k | Elect Director Sheila G. Talton | For | For | Management |
| 1l | Elect Director Richard G. Tilghman | For | For | Management |
| 1m | Elect Director Jackie M. Ward | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Advisory Vote on Say on Pay Frequency | One Year | One Year | Management |
| 4 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 5 | Limit Accelerated Vesting of Equity Awards Upon a Change in Control | Against | Against | Shareholder |

T. ROWE PRICE GROUP, INC.

Ticker: TROW Security ID: 74144T108
 Meeting Date: APR 26, 2018 Meeting Type: Annual
 Record Date: FEB 23, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1a | Elect Director Mark S. Bartlett | For | For | Management |
| 1b | Elect Director Edward C. Bernard | For | For | Management |
| 1c | Elect Director Mary K. Bush | For | For | Management |
| 1d | Elect Director H. Lawrence Culp, Jr. | For | For | Management |
| 1e | Elect Director Freeman A. Hrabowski, III | For | For | Management |
| 1f | Elect Director Robert F. MacLellan | For | For | Management |
| 1g | Elect Director Brian C. Rogers | For | For | Management |
| 1h | Elect Director Olympia J. Snowe | For | For | Management |
| 1i | Elect Director William J. Stromberg | For | For | Management |
| 1j | Elect Director Richard R. Verma | For | For | Management |
| 1k | Elect Director Sandra S. Wijnberg | For | For | Management |
| 1l | Elect Director Alan D. Wilson | For | For | Management |

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| | | | | |
|---|--|-----|-----|------------|
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Amend Charter | For | For | Management |
| 4 | Ratify KPMG LLP as Auditors | For | For | Management |

TARGET CORPORATION

Ticker: TGT Security ID: 87612E106
 Meeting Date: JUN 13, 2018 Meeting Type: Annual
 Record Date: APR 16, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Roxanne S. Austin | For | For | Management |
| 1b | Elect Director Douglas M. Baker, Jr. | For | For | Management |
| 1c | Elect Director Brian C. Cornell | For | For | Management |
| 1d | Elect Director Calvin Darden | For | For | Management |
| 1e | Elect Director Henrique De Castro | For | For | Management |
| 1f | Elect Director Robert L. Edwards | For | For | Management |
| 1g | Elect Director Melanie L. Healey | For | For | Management |
| 1h | Elect Director Donald R. Knauss | For | For | Management |
| 1i | Elect Director Monica C. Lozano | For | For | Management |
| 1j | Elect Director Mary E. Minnick | For | For | Management |
| 1k | Elect Director Kenneth L. Salazar | For | For | Management |
| 1l | Elect Director Dmitri L. Stockton | For | For | Management |
| 2 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Require Independent Board Chairman | Against | For | Shareholder |

TCG BDC, INC.

Ticker: CGBD Security ID: 872280102
 Meeting Date: SEP 15, 2017 Meeting Type: Special
 Record Date: JUL 20, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|--|---------|-----------|------------|
| 1 | Amend Investment Advisory Agreement between TCG BDC, Inc. and Carlyle GMS Investment Management L.L.C. | For | For | Management |

TCG BDC, INC.

Ticker: CGBD Security ID: 872280102
 Meeting Date: JUN 06, 2018 Meeting Type: Annual
 Record Date: APR 23, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1a | Elect Director Eliot P.S. Merrill | For | For | Management |
| 1b | Elect Director Nigel D.T. Andrews | For | For | Management |
| 2 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 3 | Approve the Reduction of the Minimum Asset Coverage Ratio | For | For | Management |

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 TESARO, INC.

Ticker: TSRO Security ID: 881569107
 Meeting Date: MAY 10, 2018 Meeting Type: Annual
 Record Date: MAR 13, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1a | Elect Director Leon (Lonnie) O. Moulder, Jr. | For | For | Management |
| 1b | Elect Director Mary Lynne Hedley | For | For | Management |
| 1c | Elect Director David M. Mott | For | For | Management |
| 1d | Elect Director Lawrence M. Alleva | For | For | Management |
| 1e | Elect Director James O. Armitage | For | For | Management |
| 1f | Elect Director Earl M. (Duke) Collier, Jr. | For | For | Management |
| 1g | Elect Director Garry A. Nicholson | For | For | Management |
| 1h | Elect Director Kavita Patel | For | For | Management |
| 1i | Elect Director Beth Seidenberg | For | For | Management |
| 1j | Elect Director Pascale Witz | For | For | Management |
| 2 | Approve Non-Employee Director Compensation Policy | For | Against | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Amend Qualified Employee Stock Purchase Plan | For | For | Management |
| 5 | Ratify Ernst & Young, LLP as Auditors | For | For | Management |

 THE ALLSTATE CORPORATION

Ticker: ALL Security ID: 020002101
 Meeting Date: MAY 11, 2018 Meeting Type: Annual
 Record Date: MAR 13, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Kermit R. Crawford | For | For | Management |
| 1b | Elect Director Michael L. Eskew | For | For | Management |
| 1c | Elect Director Margaret M. Keane | For | For | Management |
| 1d | Elect Director Siddharth N. (Bobby) Mehta | For | For | Management |
| 1e | Elect Director Jacques P. Perold | For | For | Management |
| 1f | Elect Director Andrea Redmond | For | For | Management |
| 1g | Elect Director Gregg M. Sherrill | For | For | Management |
| 1h | Elect Director Judith A. Sprieser | For | For | Management |
| 1i | Elect Director Perry M. Traquina | For | For | Management |
| 1j | Elect Director Thomas J. Wilson | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Deloitte & Touche LLP as Auditors | For | For | Management |
| 4 | Require Independent Board Chairman Report on Political Contributions | Against | For | Shareholder |
| 5 | Report on Political Contributions | Against | For | Shareholder |

 THE BOEING COMPANY

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Ticker: BA Security ID: 097023105
 Meeting Date: APR 30, 2018 Meeting Type: Annual
 Record Date: MAR 01, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|-------------|
| 1a | Elect Director Robert A. Bradway | For | For | Management |
| 1b | Elect Director David L. Calhoun | For | Abstain | Management |
| 1c | Elect Director Arthur D. Collins, Jr. | For | For | Management |
| 1d | Elect Director Kenneth M. Duberstein | For | For | Management |
| 1e | Elect Director Edmund P. Giambastiani, Jr. | For | For | Management |
| 1f | Elect Director Lynn J. Good | For | For | Management |
| 1g | Elect Director Lawrence W. Kellner | For | For | Management |
| 1h | Elect Director Caroline B. Kennedy | For | For | Management |
| 1i | Elect Director Edward M. Liddy | For | For | Management |
| 1j | Elect Director Dennis A. Muilenburg | For | For | Management |
| 1k | Elect Director Susan C. Schwab | For | For | Management |
| 1l | Elect Director Ronald A. Williams | For | For | Management |
| 1m | Elect Director Mike S. Zafirovski | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Deloitte & Touche LLP as Auditors | For | For | Management |
| 4 | Report on Lobbying Payments and Policy | Against | Against | Shareholder |
| 5 | Reduce Ownership Threshold for Shareholders to Call Special Meeting | Against | For | Shareholder |
| 6 | Require Independent Board Chairman | Against | For | Shareholder |
| 7 | Require Shareholder Approval to Increase Board Size to More Than 14 | Against | Against | Shareholder |

THE HOME DEPOT, INC.

Ticker: HD Security ID: 437076102
 Meeting Date: MAY 17, 2018 Meeting Type: Annual
 Record Date: MAR 19, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Gerard J. Arpey | For | For | Management |
| 1b | Elect Director Ari Bousbib | For | For | Management |
| 1c | Elect Director Jeffery H. Boyd | For | For | Management |
| 1d | Elect Director Gregory D. Brenneman | For | For | Management |
| 1e | Elect Director J. Frank Brown | For | For | Management |
| 1f | Elect Director Albert P. Carey | For | For | Management |
| 1g | Elect Director Armando Codina | For | Abstain | Management |
| 1h | Elect Director Helena B. Foulkes | For | For | Management |
| 1i | Elect Director Linda R. Gooden | For | For | Management |
| 1j | Elect Director Wayne M. Hewett | For | For | Management |
| 1k | Elect Director Stephanie C. Linnartz | For | For | Management |
| 1l | Elect Director Craig A. Menear | For | For | Management |
| 1m | Elect Director Mark Vadon | For | For | Management |
| 2 | Ratify KPMG LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Report on Political Contributions | Against | Against | Shareholder |
| 5 | Prepare Employment Diversity Report and Report on Diversity Policies | Against | For | Shareholder |
| 6 | Reduce Ownership Threshold for Shareholders to Call Special Meeting | Against | For | Shareholder |

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7 Clawback of Incentive Payments Against For Shareholder

THE PNC FINANCIAL SERVICES GROUP, INC.

Ticker: PNC Security ID: 693475105
 Meeting Date: APR 24, 2018 Meeting Type: Annual
 Record Date: FEB 02, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|---|---------|-----------|------------|
| 1.1 | Elect Director Charles E. Bunch | For | Against | Management |
| 1.2 | Elect Director Debra A. Cafaro | For | For | Management |
| 1.3 | Elect Director Marjorie Rodgers Cheshire | For | For | Management |
| 1.4 | Elect Director William S. Demchak | For | For | Management |
| 1.5 | Elect Director Andrew T. Feldstein | For | For | Management |
| 1.6 | Elect Director Daniel R. Hesse | For | For | Management |
| 1.7 | Elect Director Richard B. Kelson | For | For | Management |
| 1.8 | Elect Director Linda R. Medler | For | For | Management |
| 1.9 | Elect Director Martin Pfinsgraff | For | For | Management |
| 1.10 | Elect Director Donald J. Shepard | For | For | Management |
| 1.11 | Elect Director Michael J. Ward | For | For | Management |
| 1.12 | Elect Director Gregory D. Wasson | For | For | Management |
| 2 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

THE PROCTER & GAMBLE COMPANY

Ticker: PG Security ID: 742718109
 Meeting Date: OCT 10, 2017 Meeting Type: Proxy Contest
 Record Date: AUG 11, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|----------|-----------|-------------|
| | Management Proxy (Blue Proxy Card) | None | | |
| 1.1 | Elect Director Francis S. Blake | For | For | Management |
| 1.2 | Elect Director Angela F. Braly | For | For | Management |
| 1.3 | Elect Director Amy L. Chang | For | For | Management |
| 1.4 | Elect Director Kenneth I. Chenault | For | For | Management |
| 1.5 | Elect Director Scott D. Cook | For | For | Management |
| 1.6 | Elect Director Terry J. Lundgren | For | For | Management |
| 1.7 | Elect Director W. James McNerney, Jr. | For | For | Management |
| 1.8 | Elect Director David S. Taylor | For | For | Management |
| 1.9 | Elect Director Margaret C. Whitman | For | For | Management |
| 1.10 | Elect Director Patricia A. Woertz | For | For | Management |
| 1.11 | Elect Director Ernesto Zedillo | For | For | Management |
| 2 | Ratify Deloitte & Touche LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Advisory Vote on Say on Pay Frequency | One Year | One Year | Management |
| 5 | Adopt Holy Land Principles | Against | Against | Shareholder |
| 6 | Report on Company Non-Discrimination Policies in States with Pro-Discrimination Laws | Against | Against | Shareholder |
| 7 | Report on Risks of Doing Business in | Against | Against | Shareholder |

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| # | Proposal | Diss Rec | Vote Cast | Sponsor |
|------|---|----------|--------------|-------------|
| 8 | Conflict-Affected Areas Repeal Any Amendments to Code of Regulations Adopted After April 8, 2016 | Against | Against | Shareholder |
| 1.1 | Elect Director Nelson Peltz | For | Did Not Vote | Shareholder |
| 1.2 | Management Nominee Francis S. Blake | For | Did Not Vote | Shareholder |
| 1.3 | Management Nominee Angela F. Braly | For | Did Not Vote | Shareholder |
| 1.4 | Management Nominee Amy L. Chang | For | Did Not Vote | Shareholder |
| 1.5 | Management Nominee Kenneth I. Chenault | For | Did Not Vote | Shareholder |
| 1.6 | Management Nominee Scott D. Cook | For | Did Not Vote | Shareholder |
| 1.7 | Management Nominee Terry J. Lundgren | For | Did Not Vote | Shareholder |
| 1.8 | Management Nominee W. James McNerney, Jr. | For | Did Not Vote | Shareholder |
| 1.9 | Management Nominee David S. Taylor | For | Did Not Vote | Shareholder |
| 1.10 | Management Nominee Margaret C. Whitman | For | Did Not Vote | Shareholder |
| 1.11 | Management Nominee Patricia A. Woertz | For | Did Not Vote | Shareholder |
| 2 | Ratify Deloitte & Touche LLP as Auditors | None | Did Not Vote | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | None | Did Not Vote | Management |
| 4 | Advisory Vote on Say on Pay Frequency | None | Did Not Vote | Management |
| 5 | Adopt Holy Land Principles | None | Did Not Vote | Shareholder |
| 6 | Report on Company Non-Discrimination Policies in States with Pro-Discrimination Laws | None | Did Not Vote | Shareholder |
| 7 | Report on Risks of Doing Business in Conflict-Affected Areas | None | Did Not Vote | Shareholder |
| 8 | Repeal Any Amendments to Code of Regulations Adopted After April 8, 2016 | For | Did Not Vote | Shareholder |

TRI-CONTINENTAL CORPORATION

Ticker: TY Security ID: 895436103
Meeting Date: APR 16, 2018 Meeting Type: Annual
Record Date: FEB 27, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|---|---------|-----------|------------|
| 1.1 | Elect Director George S. Batejan | For | For | Management |
| 1.2 | Elect Director Kathleen Blatz | For | For | Management |
| 1.3 | Elect Director Edward J. Boudreau, Jr. | For | For | Management |
| 1.4 | Elect Director Pamela G. Carlton | For | For | Management |
| 2 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |

TYSON FOODS, INC.

Ticker: TSN Security ID: 902494103
Meeting Date: FEB 08, 2018 Meeting Type: Annual
Record Date: DEC 11, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1a | Elect Director John Tyson | For | For | Management |
| 1b | Elect Director Gaurdie E. Banister, Jr. | For | For | Management |
| 1c | Elect Director Dean Banks | For | For | Management |
| 1d | Elect Director Mike Beebe | For | For | Management |

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|----|---|---------|---------|-------------|
| 1e | Elect Director Mikel A. Durham | For | For | Management |
| 1f | Elect Director Tom Hayes | For | For | Management |
| 1g | Elect Director Kevin M. McNamara | For | For | Management |
| 1h | Elect Director Cheryl S. Miller | For | For | Management |
| 1i | Elect Director Jeffrey K. Schomburger | For | For | Management |
| 1j | Elect Director Robert Thurber | For | Against | Management |
| 1k | Elect Director Barbara A. Tyson | For | For | Management |
| 2 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 3 | Amend Omnibus Stock Plan | For | For | Management |
| 4 | Report on Lobbying Payments and Policy | Against | For | Shareholder |
| 5 | Implement a Water Quality Stewardship Policy | Against | Abstain | Shareholder |

UNION PACIFIC CORPORATION

Ticker: UNP Security ID: 907818108
 Meeting Date: MAY 10, 2018 Meeting Type: Annual
 Record Date: MAR 09, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Andrew H. Card, Jr. | For | For | Management |
| 1b | Elect Director Erroll B. Davis, Jr. | For | For | Management |
| 1c | Elect Director David B. Dillon | For | For | Management |
| 1d | Elect Director Lance M. Fritz | For | For | Management |
| 1e | Elect Director Deborah C. Hopkins | For | For | Management |
| 1f | Elect Director Jane H. Lute | For | For | Management |
| 1g | Elect Director Michael R. McCarthy | For | Abstain | Management |
| 1h | Elect Director Thomas F. McLarty, III | For | For | Management |
| 1i | Elect Director Bhavesh V. Patel | For | For | Management |
| 1j | Elect Director Jose H. Villarreal | For | For | Management |
| 2 | Ratify Deloitte & Touche LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Require Independent Board Chairman | Against | For | Shareholder |

VALERO ENERGY CORPORATION

Ticker: VLO Security ID: 91913Y100
 Meeting Date: MAY 03, 2018 Meeting Type: Annual
 Record Date: MAR 06, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1A | Elect Director H. Paulett Eberhart | For | For | Management |
| 1B | Elect Director Joseph W. Gordor | For | For | Management |
| 1C | Elect Director Kimberly S. Greene | For | For | Management |
| 1D | Elect Director Deborah P. Majoras | For | Abstain | Management |
| 1E | Elect Director Donald L. Nickles | For | For | Management |
| 1F | Elect Director Philip J. Pfeiffer | For | For | Management |
| 1G | Elect Director Robert A. Profusek | For | For | Management |
| 1H | Elect Director Stephen M. Waters | For | For | Management |
| 1I | Elect Director Randall J. Weisenburger | For | For | Management |
| 1J | Elect Director Rayford Wilkins, Jr. | For | For | Management |
| 2 | Ratify KPMG LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named | For | For | Management |

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| | | | | |
|---|---|-----|-----|------------|
| | Executive Officers' Compensation | | | |
| 4 | Remove Supermajority Vote Requirement | For | For | Management |
| 5 | Provide Right to Act by Written Consent | For | For | Management |

VERISIGN, INC.

Ticker: VRSN Security ID: 92343E102
 Meeting Date: MAY 24, 2018 Meeting Type: Annual
 Record Date: MAR 29, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|---|---------|-----------|-------------|
| 1.1 | Elect Director D. James Bidzos | For | For | Management |
| 1.2 | Elect Director Kathleen A. Cote | For | For | Management |
| 1.3 | Elect Director Thomas F. Frist, III | For | For | Management |
| 1.4 | Elect Director Jamie S. Gorelick | For | For | Management |
| 1.5 | Elect Director Roger H. Moore | For | For | Management |
| 1.6 | Elect Director Louis A. Simpson | For | For | Management |
| 1.7 | Elect Director Timothy Tomlinson | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify KPMG LLP as Auditors | For | For | Management |
| 4 | Reduce Ownership Threshold for Shareholders to Call Special Meeting | Against | For | Shareholder |

VERTEX PHARMACEUTICALS INCORPORATED

Ticker: VRTX Security ID: 92532F100
 Meeting Date: MAY 17, 2018 Meeting Type: Annual
 Record Date: MAR 29, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|-------------|
| 1.1 | Elect Director Sangeeta N. Bhatia | For | For | Management |
| 1.2 | Elect Director Jeffrey M. Leiden | For | For | Management |
| 1.3 | Elect Director Bruce I. Sachs | For | For | Management |
| 2 | Reduce Supermajority Vote Requirement | For | For | Management |
| 3 | Amend Omnibus Stock Plan | For | For | Management |
| 4 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 5 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 6 | Report on Drug Pricing Increases | Against | Against | Shareholder |
| 7 | Report on Lobbying Payments and Policy | Against | For | Shareholder |

VF CORPORATION

Ticker: VFC Security ID: 918204108
 Meeting Date: APR 24, 2018 Meeting Type: Annual
 Record Date: MAR 01, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|------------------------------------|---------|-----------|------------|
| 1.1 | Elect Director Richard T. Carucci | For | For | Management |
| 1.2 | Elect Director Juliana L. Chugg | For | For | Management |
| 1.3 | Elect Director Benno Dorer | For | For | Management |
| 1.4 | Elect Director Mark S. Hoplamazian | For | For | Management |

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|------|--|-----|----------|------------|
| 1.5 | Elect Director Laura W. Lang | For | For | Management |
| 1.6 | Elect Director W. Alan McCollough | For | For | Management |
| 1.7 | Elect Director W. Rodney McMullen | For | Withhold | Management |
| 1.8 | Elect Director Clarence Otis, Jr. | For | For | Management |
| 1.9 | Elect Director Steven E. Rendle | For | For | Management |
| 1.10 | Elect Director Carol L. Roberts | For | For | Management |
| 1.11 | Elect Director Matthew J. Shattock | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |

W.W. GRAINGER, INC.

Ticker: GWW Security ID: 384802104
 Meeting Date: APR 25, 2018 Meeting Type: Annual
 Record Date: MAR 05, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|------------|
| 1.1 | Elect Director Rodney C. Adkins | For | For | Management |
| 1.2 | Elect Director Brian P. Anderson | For | For | Management |
| 1.3 | Elect Director V. Ann Hailey | For | For | Management |
| 1.4 | Elect Director Stuart Levenick | For | For | Management |
| 1.5 | Elect Director D.G. Macpherson | For | For | Management |
| 1.6 | Elect Director Neil S. Novich | For | For | Management |
| 1.7 | Elect Director Beatriz R. Perez | For | For | Management |
| 1.8 | Elect Director Michael J. Roberts | For | For | Management |
| 1.9 | Elect Director E. Scott Santi | For | For | Management |
| 1.10 | Elect Director James D. Slavik | For | For | Management |
| 1.11 | Elect Director Lucas E. Watson | For | For | Management |
| 2 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

WALMART, INC.

Ticker: WMT Security ID: 931142103
 Meeting Date: MAY 30, 2018 Meeting Type: Annual
 Record Date: APR 06, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Stephen J. Easterbrook | For | For | Management |
| 1b | Elect Director Timothy P. Flynn | For | For | Management |
| 1c | Elect Director Sarah J. Friar | For | For | Management |
| 1d | Elect Director Carla A. Harris | For | For | Management |
| 1e | Elect Director Thomas W. Horton | For | For | Management |
| 1f | Elect Director Marissa A. Mayer | For | For | Management |
| 1g | Elect Director C. Douglas McMillon | For | For | Management |
| 1h | Elect Director Gregory B. Penner | For | For | Management |
| 1i | Elect Director Steven S Reinemund | For | Against | Management |
| 1j | Elect Director S. Robson Walton | For | For | Management |
| 1k | Elect Director Steuart L. Walton | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Management |
| 3 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 4 | Require Independent Board Chairman | Against | For | Shareholder |

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5 Report on Race or Ethnicity Pay Gap Against For Shareholder

WALTER INVESTMENT MANAGEMENT CORP.

Ticker: WAC Security ID: 93317WAA0
 Meeting Date: NOV 28, 2017 Meeting Type: Written Consent
 Record Date: NOV 01, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|---|---------|-----------|------------|
| 1 | Vote on the Plan (for = Accept, Against = Reject) (abstain Votes Do Not Count) | None | For | Management |
| 2 | Elects Not To Grant The Releases Contained In Section 10.6(b) of the Plan. (for = Opt Out, Against Or Abstain = Do Not Opt Out) | None | For | Management |

WESTERN DIGITAL CORPORATION

Ticker: WDC Security ID: 958102105
 Meeting Date: NOV 02, 2017 Meeting Type: Annual
 Record Date: SEP 06, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|----------|-----------|------------|
| 1a | Elect Director Martin I. Cole | For | For | Management |
| 1b | Elect Director Kathleen A. Cote | For | For | Management |
| 1c | Elect Director Henry T. DeNero | For | For | Management |
| 1d | Elect Director Michael D. Lambert | For | For | Management |
| 1e | Elect Director Len J. Lauer | For | For | Management |
| 1f | Elect Director Matthew E. Massengill | For | For | Management |
| 1g | Elect Director Stephen D. Milligan | For | For | Management |
| 1h | Elect Director Paula A. Price | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Management |
| 3 | Advisory Vote on Say on Pay Frequency | One Year | One Year | Management |
| 4 | Amend Omnibus Stock Plan | For | For | Management |
| 5 | Ratify KPMG LLP as Auditors | For | For | Management |

XCEL ENERGY INC.

Ticker: XEL Security ID: 98389B100
 Meeting Date: MAY 16, 2018 Meeting Type: Annual
 Record Date: MAR 20, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1a | Elect Director Richard K. Davis | For | For | Management |
| 1b | Elect Director Ben Fowke | For | For | Management |
| 1c | Elect Director Richard T. O'Brien | For | For | Management |
| 1d | Elect Director David K. Owens | For | For | Management |
| 1e | Elect Director Christopher J. Policinski | For | For | Management |
| 1f | Elect Director James T. Prokopanko | For | For | Management |
| 1g | Elect Director A. Patricia Sampson | For | For | Management |

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| | | | | |
|----|---|-----|-----|------------|
| 1h | Elect Director James J. Sheppard | For | For | Management |
| 1i | Elect Director David A. Westerlund | For | For | Management |
| 1j | Elect Director Kim Williams | For | For | Management |
| 1k | Elect Director Timothy V. Wolf | For | For | Management |
| 1l | Elect Director Daniel Yohannes | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Deloitte & Touche LLP as Auditors | For | For | Management |

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Any ballot marked 'Abstain' is considered to have been voted. Ballots marked 'Abstain' are considered to have been voted against management's recommendation if management's recommendation is 'For' or 'Against,' and for management's recommendation if management's recommendation is 'Abstain.' Where management has recommended that shareholders 'Abstain' from voting on a ballot item, a ballot marked 'For' or 'Against' is considered to have been voted against management's recommendation to 'Abstain.'

Where management has made no recommendation on a ballot item, 'None' is used to indicate that there is no management recommendation that a shareholder may vote 'For' or 'Against.'

Any ballot marked as 'Take No Action' is neither a vote cast for or against management and 'None' is used to represent this.

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SIGNATURES

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

| | |
|---------------------------|---|
| (Registrant) | Tri-Continental Corporation |
| By (Signature and Title)* | /s/ Christopher O. Petersen Christopher O. Petersen President and Principal Executive Officer |
| Date: | August 21, 2018 |

* Print the name and title of each signing officer under his or her signature.