

MATTEL INC /DE/
Form 8-K
November 06, 2014

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d)

of The Securities Exchange Act of 1934

Date of Report (Date of earliest event reported):

October 31, 2014

MATTEL, INC.

(Exact name of registrant as specified in its charter)

Delaware
(State or other Jurisdiction

of Incorporation)

001-05647
(Commission

File Number)

95-1567322
(IRS. Employer

Identification No.)

333 Continental Boulevard,

El Segundo, California
(Address of Principal Executive Offices)

90245-5012
(Zip Code)

Registrant's telephone number, including area code: (310) 252-2000

N/A

(Former name or former address if changed since last report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- .. Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- .. Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- .. Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- .. Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 8.01. Other Events.

On October 31, 2014, pursuant to the Mattel, Inc. (Mattel) Amended and Restated Guidelines Concerning Rule 10b5-1(c) Individual Trading Plans (the Guidelines), Bryan Stockton, Chairman of the Board of Directors and Chief Executive Officer, entered into an individual Rule 10b5-1(c) trading plan (the Plan) with a broker to sell shares of Mattel stock to be acquired upon the exercise of an employee stock option (the Option), as detailed below. The first sale under the Plan will not take place until February 16, 2015, which is longer than the 30-day waiting period required by Mattel s Guidelines.

| Name of Executive | Title | Number of | Option | Option | Date of | Plan |
|-------------------|---|-----------|------------|------------|-------------|-------------|
| | | Shares in | Option | Expiration | Adoption of | Termination |
| | | Plan | Grant Date | Date | Plan | Date |
| Bryan Stockton | Chairman of the Board and Chief Executive Officer | 50,000 | 08/01/2005 | 08/01/2015 | 10/31/2014 | 08/01/2015 |

Transactions under the Plan will be disclosed publicly through Form 144 and Form 4 filings with the Securities and Exchange Commission. The Plan was adopted in accordance with Rule 10b5-1 of the Securities Exchange Act of 1934, as amended, and with Mattel s Guidelines.

Rule 10b5-1 permits individuals who are not aware of material, non-public information at the time the plan is adopted to establish pre-arranged plans to buy or sell company stock. Using these plans, individuals can gradually diversify their investment portfolios over an extended period of time and better manage the exercise of stock options and the sale of shares.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

MATTEL, INC.

Date: November 6, 2014

By: /s/ Robert Normile

Name: Robert Normile

Title: Executive Vice President, Chief Legal Officer and Secretary