

PATRIOT NATIONAL BANCORP INC
Form 8-K
March 18, 2014

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the
Securities Exchange Act of 1934

Date of report (Date of earliest event reported): March 11, 2014

PATRIOT NATIONAL BANCORP, INC.

(Exact Name of Registrant as Specified in its Charter)

Connecticut
(State or Other Jurisdiction
of Incorporation)

000-29599
(Commission
File Number)

06-1559137
(IRS Employer
Identification No.)

900 Bedford Street, Stamford, Connecticut
(Address of Principal Executive Offices)

06901
(Zip Code)

Registrant's telephone number, including area code: (203) 324-7500

Not Applicable

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the Registrant under any of the following provisions:

- .. Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- .. Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- .. Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- .. Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 8.01. Other Events

On January 10, 2014, Patriot National Bancorp, Inc. (the Company) filed a current report on Form 8-K stating that it had received a notice from the NASDAQ Stock Market LLC (NASDAQ) advising the Company that it was not in compliance with Listing Rule 5450(b)(1)(C) (the Rule) for continued listing due to the Company s failure to maintain a minimum Market Value of Publicly Held Shares (MVPHS) (shares held by non-affiliates of the Company) of \$5,000,000. Pursuant to such notice, the Company had a period of 180 calendar days in which to regain compliance with the Rule.

On March 11, 2014, the Company received a further notice from NASDAQ informing the Company that its MVPHS had been \$5,000,000 or greater from February 13, 2014 to March 10, 2014, and therefore the Company had regained compliance with the Rule.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

PATRIOT NATIONAL BANCORP, INC.

Date: March 14, 2014

By: /s/ Michael A. Carrazza
Michael A. Carrazza

Chairman of the Board