Edgar Filing: SCOTTS MIRACLE-GRO CO - Form 4

| SCOTTS MIRACLE-GRO C Form 4 June 29, 2016 | 0 | | | | | |
|---|---|--|---------------------------------------|--|---|---|
| FORM 4 Check this box if no longer subject to Section 16. Form 4 or Form 5 Filed pur | Want of CHA rsuant to Section (a) of the Public U | RITIES AND EXCH ashington, D.C. 2054 NGES IN BENEFIC SECURITIES 16(a) of the Securities Utility Holding Company | 9 IAL OW s Exchang any Act c | V NERSHIP OF ge Act of 1934, of 1935 or Secti | N OMB Number: Expires: Estimated a burden hou response | irs per |
| (Print or Type Responses) | | | | | | |
| 1. Name and Address of Reporting STUMP DENISE | Symbol | TS MIRACLE-GRO | - | 5. Relationship o Issuer (Cho | of Reporting Per eck all applicable | |
| (Last) (First) (N C/O THE SCOTTS MIRACI COMPANY, 14111 SCOTTS ROAD | (Month LE-GRO 06/27/ | of Earliest Transaction /Day/Year) 2016 | | below) | ve title 109 below) obal Human Res | |
| (Street) | | nendment, Date Original onth/Day/Year) | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | |
| MARYSVILLE, OH 43041 | | | | Form filed by Person | More than One R | eporting |
| (City) (State) | (Zip) Ta | ble I - Non-Derivative Sec | curities Ac | equired, Disposed | of, or Beneficia | lly Owned |
| 1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. 4. Securities TransactionAcquired (A) Code Disposed of (Instr. 8) (Instr. 3, 4 ar (A Code V Amount (D |) or (D) nd 5) (A) r | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Reminder: Report on a separate line | e for each class of see | curities beneficially owned | directly or | indirectly. | allow of | FG 1474 |

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. 5. Number of TransactiorDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | |) or (D) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | Underlying Securities | | 8 D S (I |
|---|---|---|---|---|---------|-------------|--|--------------------|-----------------------|----------------------------------|-------------------|
| | | | | Code V | (A) (| (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Phantom Stock | <u>(1)</u> | 06/27/2016 | | А | 147.103 | | (2) | (2) | Common Shares | 147.103 | |

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Reporting Owners

| Reporting Owner Name / Address | | Relationships | | | | | |
|---|--|---------------|-----------------------------|-------|--|--|--|
| | | 10% Owner | Officer | Other | | | |
| STUMP DENISE C/O THE SCOTTS MIRACLE-GRO COMPANY 14111 SCOTTSLAWN ROAD MARYSVILLE, OH 43041 | | | EVP, Global Human Resources | | | | |
| Signatures | | | | | | | |
| Kathy L. Uttley as attorney-in-fact for Denise S. Stump | | 06/29/2016 | | | | | |
| **Signature of Reporting Person | | Date | | | | | |
| Explanation of Responses: | | | | | | | |

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- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each share of phantom stock represents the right to receive one common share of Issuer or the cash value thereof.
- Shares of phantom stock are payable in cash following termination of the reporting person's employment with Issuer. The reporting (2)person may transfer his/her phantom stock account into an alternative investment account at any time.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.