#### SCOTTS MIRACLE-GRO CO

Form 4

January 21, 2016

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

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response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Hanft Adam

2. Issuer Name and Ticker or Trading

Symbol

[SMG]

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

SCOTTS MIRACLE-GRO CO

X\_ Director 10% Owner

Officer (give title

Other (specify

6. Ownership 7. Nature of

(Month/Day/Year) C/O THE SCOTTS MIRACLE-GRO 01/18/2016

(Middle)

COMPANY, 14111 SCOTTSLAWN ROAD

(First)

(Street)

4. If Amendment, Date Original

3. Date of Earliest Transaction

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

MARYSVILLE, OH 43041

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Disposed of (D) Code (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following

Form: Direct (D) or Indirect (I) (Instr. 4)

Indirect Beneficial Ownership (Instr. 4)

(9-02)

(A)

Reported Transaction(s) (Instr. 3 and 4)

18,312

Code V Amount Price (D)

Common Shares

01/18/2016

M 2,385 (1)

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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## Edgar Filing: SCOTTS MIRACLE-GRO CO - Form 4

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                        | 8. P. Deri<br>Secu<br>(Ins |
|-----------------------------------------------------|-----------------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------|----------------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------------|--------------------|---------------------------------------------------------------|----------------------------------------|----------------------------|
|                                                     |                                                                       |                                      |                                                             | Code V                                 | (A) (D)                                                                                 | Date<br>Exercisable                                            | Expiration<br>Date | Title                                                         | Amount<br>or<br>Number<br>of<br>Shares |                            |
| Deferred<br>Stock<br>Units                          | <u>(1)</u>                                                            | 01/18/2016                           |                                                             | M                                      | 2,334                                                                                   | (2)                                                            | (2)                | Common<br>Shares                                              | 2,334                                  |                            |
| Dividend<br>Equivalent<br>Rights                    | (1)                                                                   | 01/18/2016                           |                                                             | M                                      | 51                                                                                      | (2)                                                            | (2)                | Common<br>Shares                                              | 51                                     |                            |

# **Reporting Owners**

| Reporting Owner Name / Address                                                                    | Relationships |           |         |       |  |  |
|---------------------------------------------------------------------------------------------------|---------------|-----------|---------|-------|--|--|
| . 0                                                                                               | Director      | 10% Owner | Officer | Other |  |  |
| Hanft Adam<br>C/O THE SCOTTS MIRACLE-GRO COMPANY<br>14111 SCOTTSLAWN ROAD<br>MARYSVILLE, OH 43041 | X             |           |         |       |  |  |

## **Signatures**

Kathy L. Uttley as attorney-in-fact for Adam Hanft 01/21/2016

## **Explanation of Responses:**

\*\*Signature of Reporting Person

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Deferred stock units convert into common shares of the Issuer on a one-for-one basis.
- (2) On January 18, 2013, the reporting person was granted 2,385 deferred stock units, with accruing dividend equivalent rights, vesting on January 18, 2016.

Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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