

OTT DAVID B  
Form 4  
December 01, 2004

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
OTT DAVID B

2. Issuer Name and Ticker or Trading Symbol  
AMERICAN TECHNICAL CERAMICS CORP [AMK]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
11/29/2004

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
Sr. V.P., NY Operations

C/O AMERICAN TECHNICAL CERAMICS CORP, 17 STEPAN PL  
(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

HUNTINGTON STATION, NY 11746

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3)              | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Beneficial Ownership (Instr. 4) |
|--|--------------------------------------|--|--------------------------------|---|---|--|--|
|  |                                      |  |                                | (A) or (D)  | Price   |  |  |
| Common Stock, par value \$0.01<br><u>(1)</u> | 11/29/2004                           |  | S <sup>(1)</sup>               | 1,000<br><u>(1)</u>   | D \$ 10.02  | 4,000  | D  |
| Common Stock, par value \$0.01<br><u>(1)</u> | 11/30/2004                           |  | S <sup>(1)</sup>               | 3,000<br><u>(1)</u>   | D \$ 10.02  | 4,000  | D  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3)  | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|---|--|--------------------------------------|--|--------------------------------|---|--|---|
| Stock Options (Right to Buy) <sup>(1)</sup> | \$ 2.35  | 11/29/2004                           |  | M <sup>(1)</sup>               | 1,000   | 04/26/2001 <sup>(1)</sup> 04/26/2010                     | Common Stock, par value \$0.01 1,000                          |
| Stock Options (Right to Buy) <sup>(1)</sup> | \$ 2.35  | 11/30/2004                           |  | M <sup>(1)</sup>               | 3,000   | 04/26/2001 <sup>(1)</sup> 04/26/2010                     | Common Stock, par value \$0.01 3,000                          |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                            |       |
|---|---------------|-----------|----------------------------|-------|
|   | Director      | 10% Owner | Officer                    | Other |
| OTT DAVID B<br>C/O AMERICAN TECHNICAL CERAMICS CORP<br>17 STEPAPL<br>HUNTINGTON STATION, NY 11746 |               |           | Sr. V.P., NY<br>Operations |       |

## Signatures

/S/ DAVID OTT 12/01/2004  
 \*\*Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1)

## Edgar Filing: OTT DAVID B - Form 4

On April 26, 2000 and pursuant to an option exchange program which commenced in January of 2002, Mr. Ott was granted 40,000 options at \$2.35 of Common Stock, par value \$0.01, which became exercisable equally over four years commencing on April 26, 2001.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.