

RingCentral Inc  
Form 4  
November 18, 2014

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Shah Praful

(Last) (First) (Middle)

C/O RINGCENTRAL, INC., 1400  
FASHION ISLAND BLVD, 7TH  
FLOOR

(Street)

SAN MATEO, CA 94404

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
RingCentral Inc [RNG]

3. Date of Earliest Transaction  
(Month/Day/Year)  
11/14/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
SVP, Strategy

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  | Code                           | V   | Amount  | Price  |   |
| Class A Common Stock            | 11/14/2014                           |  | C <sup>(1)</sup>               |   | 444,522   | A \$ 0   | 477,595 <sup>(2)</sup> D                              |
| Class A Common Stock            | 11/17/2014                           |  | S <sup>(3)</sup>               |   | 416   | D \$ 11.85   | 477,179 <sup>(4)</sup> D                              |
| Class A Common Stock            | 11/18/2014                           |  | S <sup>(3)</sup>               |   | 416   | D \$ 11.53   | 476,763 <sup>(5)</sup> D                              |
| Class A Common Stock            | 11/14/2014                           |  | C <sup>(1)</sup>               |   | 40,239  | A \$ 0   | 40,239 I By Trust                                     |

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|                      |            |                  |        |   |          |        |   |  |              |
|----------------------|------------|------------------|--------|---|----------|--------|---|--|--------------|
| Common Stock         |            |                  |        |   |          |        |   |  | (6)          |
| Class A Common Stock | 11/17/2014 | S <sup>(3)</sup> | 42     | D | \$ 11.85 | 40,197 | I |  | By Trust (6) |
| Class A Common Stock | 11/18/2014 | S <sup>(3)</sup> | 42     | D | \$ 11.53 | 40,155 | I |  | By Trust (6) |
| Class A Common Stock | 11/14/2014 | C <sup>(1)</sup> | 40,239 | A | \$ 0     | 40,239 | I |  | By Trust (6) |
| Class A Common Stock | 11/17/2014 | S <sup>(3)</sup> | 42     | D | \$ 11.85 | 40,197 | I |  | By Trust (6) |
| Class A Common Stock | 11/18/2014 | S <sup>(3)</sup> | 42     | D | \$ 11.53 | 40,155 | I |  | By Trust (6) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Class B Common Stock                       | (7) (8)  | 11/14/2014                           |  | C <sup>(1)</sup>               | 444,522   | (7)(8) (7)(8)  | Class A Common Stock  | 444,522                       |
| Class B Common Stock                       | (7) (8)  | 11/14/2014                           |  | C <sup>(1)</sup>               | 40,239  | (7)(8) (7)(8)  | Class A Common Stock  | 40,239                        |
| Class B Common Stock                       | (7) (8)  | 11/14/2014                           |  | C <sup>(1)</sup>               | 40,239  | (7)(8) (7)(8)  | Class A Common Stock  | 40,239                        |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |               |       |
|--|---------------|-----------|---------------|-------|
|  | Director      | 10% Owner | Officer       | Other |
| Shah Praful<br>C/O RINGCENTRAL, INC.<br>1400 FASHION ISLAND BLVD, 7TH FLOOR<br>SAN MATEO, CA 94404 |               |           | SVP, Strategy |       |

## Signatures

|   |            |
|---|------------|
| /s/ Bruce P. Johnson, Attorney-in-fact for Praful<br>Shah | 11/18/2014 |
| **Signature of Reporting Person                           | Date       |

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each share of Class A Common Stock was issued upon conversion of one share of Class B Common Stock.
- (2) Includes shares acquired under the Issuer's Employee Share Purchase Plan of 3,000 shares on May 12, 2014 and 797 shares on November 10, 2014.
- (3) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on September 5, 2014.
- (4) Reflects weighted average sale price. Actual sale prices ranged from \$11.78 to \$12.08 on November 17, 2014. Filer undertakes to provide upon request by the Commission staff, the Issuer, or a security holder of the Issuer, full information regarding the number of shares purchased or sold at each separate price.
- (5) Reflects weighted average sale price. Actual sale prices ranged from \$11.50 to \$11.71 on November 18, 2014. Filer undertakes to provide upon request by the Commission staff, the Issuer, or a security holder of the Issuer, full information regarding the number of shares purchased or sold at each separate price.
- (6) Shares held in a trust for the benefit of the Reporting Person's children. The Reporting Person and his spouse are co-trustees of this trust.
- (7) Each share of Class B Common Stock is convertible at any time at the option of the holder into one share of Class A Common Stock and has no expiration date. In addition, each share of Class B Common Stock held by a shareholder will convert automatically into one share of Class A Common Stock upon (i) any transfer of such share (subject to certain exceptions), or (ii) the death of a natural person holding such share.
- (8) In addition, each share of Class B Common Stock outstanding will convert automatically into one share of Class A Common Stock upon (i) the date specified by the holders of at least 67% of the outstanding shares of Class B Common Stock, (ii) the date on which the number of outstanding shares of Class B Common Stock represents less than 10% of the aggregate combined number of outstanding shares of Class A Common Stock and Class B Common Stock or (iii) the seven-year anniversary of the closing date of the Issuer's initial public offering (subject to certain exceptions).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.