NUVEEN FLOATING RATE INCOME FUND Form 3 January 06, 2014 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB Number: INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF Expires:

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Instr. 4)

1. Name and A Person <u>*</u> CITIGR		porting	2. Date of Event Requiring Statement (Month/Day/Year)		3. Issuer Name and Ticker or Trading Symbol NUVEEN FLOATING RATE INCOME FUND [JFR]					
(Last)	(First)	(Middle)	12/30/2013		4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Original Filed(Month/Day/Year)		
399 PARK AVENUE (Street)					(Check all applicable)		6. Individual or Joint/Group Filing(Check Applicable Line)			
NEW YOR	K, NYÂ	10022			Officer (give title below	(specify belo		_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City)	(State)	(Zip)	Та	able I - N	Non-Derivative Securities Beneficially Owned					
1.Title of Secu (Instr. 4)	urity		B	Amount of eneficially ( nstr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Na Owne (Instr	•		
Variable Ra	te Term Pr	eferred Sha	ures 1,	,390		Ι	By S	ubsidiary (1)		
Reminder: Rep owned directly	•		ach class of securit	ies benefici	ally SI	EC 1473 (7-02	)			
	inforr requi	nation cont red to respo	pond to the coll ained in this for ond unless the fo MB control num	m are not orm displa	ays a					
-	Fable II - De	rivative Secu	rities Beneficially	Owned (e.	g., puts, calls,	warrants, opt	ions, c	onvertible securities)		
1. Title of Der	ivative Securi	ity 2. D	ate Exercisable and	d 3. Title a	and Amount of	4.	5.	6. Nature of Indirect		

Securities Underlying

Amount or

Number of

**Derivative Security** 

(Instr. 4)

Expiration Title

**Expiration Date** 

nth/Day/Year)

Exercisable Date

(Mo

Date

Ownership

Derivative

Security:

Direct (D)

or Indirect

Form of

Conversion

or Exercise

Price of Derivative

Security

OMB APPROVAL							
OMB Number:	3235-0104						
Expires:	January 31 2005						
Estimated average							

burden hours per response... 0.5

Beneficial Ownership

(Instr. 5)

Shares

(I) (Instr. 5)

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships							
1 0	Director	10% Owner	Officer	Other				
CITIGROUP INC 399 PARK AVENUE NEW YORK, NY 10022	Â	ÂX	Â	Â				
Signatures								
Citigroup Inc., By: /s/ Ali L. Ka Secretary	01/06/2014							
<u>**</u> Signature of Reportir		Date						

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These shares are beneficially owned by CHARTA, LLC (CHARTA), a partially supported multi-seller asset-backed commercial paper
  (1) conduit sponsored by Citibank, N.A. (Citibank). Citicorp is the sole stockholder of Citibank. Citigroup Inc. is the sole stockholder of Citicorp.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.