

MACROGENICS INC  
Form 3  
October 09, 2013

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|   |         |                                      |  |  |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person *         |         | 2. Date of Event Requiring Statement | 3. Issuer Name <b>and</b> Ticker or Trading Symbol                     |  |
| Â Risser Eric Blasius                             |         | (Month/Day/Year)                     | MACROGENICS INC [MGNX]   |  |
| (Last)  | (First) | (Middle)                             | 4. Relationship of Reporting Person(s) to Issuer                       | 5. If Amendment, Date Original Filed(Month/Day/Year) |
|   |         | 10/09/2013                           |  |  |
| C/O MACROGENICS, INC.,Â 9640 MEDICAL CENTER DRIVE |         |                                      | (Check all applicable)   |  |
| (Street)  |         |                                      | <input type="checkbox"/> Director                                      | <input type="checkbox"/> 10% Owner                   |
|   |         |                                      | <input checked="" type="checkbox"/> Officer                            | <input type="checkbox"/> Other                       |
|   |         |                                      | (give title below) (specify below)                                     |  |
|   |         |                                      | V P, Business Development  |  |
| ROCKVILLE,Â MDÂ 20850                             |         |                                      | 6. Individual or Joint/Group Filing(Check Applicable Line)             |  |
| (City)  | (State) | (Zip)                                | <input checked="" type="checkbox"/> Form filed by One Reporting Person |  |
|   |         |                                      | <input type="checkbox"/> Form filed by More than One Reporting Person  |  |

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security<br>(Instr. 4) | 2. Amount of Securities Beneficially Owned<br>(Instr. 4) | 3. Ownership Form:<br>Direct (D)<br>or Indirect (I)<br>(Instr. 5) | 4. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock                       | 13,316 <sup>(1)</sup>                                    | D   | Â  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security<br>(Instr. 4) | 2. Date Exercisable and Expiration Date<br>(Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security<br>(Instr. 4) | 4. Conversion or Exercise Price of Derivative | 5. Ownership Form of Derivative Security: | 6. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|---|---|--|---|---|--|
|---|---|--|---|---|--|

## Edgar Filing: MACROGENICS INC - Form 3

|                             | Date Exercisable          | Expiration Date | Title        | Amount or Number of Shares | Security | Direct (D) or Indirect (I) (Instr. 5) |   |
|-----------------------------|---------------------------|-----------------|--------------|----------------------------|----------|---------------------------------------|---|
| Stock Option (right to buy) | 09/24/2009 <sup>(2)</sup> | 03/23/2019      | Common Stock | 60,836 <sup>(1)</sup>      | \$ 0.94  | D                                     | Â |
| Stock Option (right to buy) | 09/24/2009 <sup>(2)</sup> | 03/23/2019      | Common Stock | 13,942 <sup>(1)</sup>      | \$ 0.94  | D                                     | Â |
| Stock Option (right to buy) | 09/24/2009 <sup>(2)</sup> | 03/23/2019      | Common Stock | 15,719 <sup>(1)</sup>      | \$ 0.94  | D                                     | Â |
| Stock Option (right to buy) | 07/10/2010 <sup>(2)</sup> | 01/09/2020      | Common Stock | 1,831 <sup>(1)</sup>       | \$ 0.94  | D                                     | Â |
| Stock Option (right to buy) | 07/10/2010 <sup>(2)</sup> | 01/09/2020      | Common Stock | 832 <sup>(1)</sup>         | \$ 0.94  | D                                     | Â |
| Stock Option (right to buy) | 07/09/2011 <sup>(2)</sup> | 01/08/2021      | Common Stock | 3,496 <sup>(1)</sup>       | \$ 0.94  | D                                     | Â |
| Stock Option (right to buy) | 07/09/2011 <sup>(2)</sup> | 01/08/2021      | Common Stock | 4,494 <sup>(1)</sup>       | \$ 0.94  | D                                     | Â |
| Stock Option (right to buy) | 09/14/2012 <sup>(2)</sup> | 03/13/2022      | Common Stock | 10,653 <sup>(1)</sup>      | \$ 0.94  | D                                     | Â |
| Stock Option (right to buy) | 07/06/2013 <sup>(2)</sup> | 01/05/2023      | Common Stock | 26,633 <sup>(1)</sup>      | \$ 1.5   | D                                     | Â |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |                             |       |
|--|---------------|-----------|-----------------------------|-------|
|  | Director      | 10% Owner | Officer                     | Other |
| Risser Eric Blasius<br>C/O MACROGENICS, INC.<br>9640 MEDICAL CENTER DRIVE<br>ROCKVILLE, MD 20850 | Â             | Â         | Â V P, Business Development | Â     |

## Signatures

/s/ Lynn Cilinski,  
attorney-in-fact

10/09/2013

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects a 1-for-18.7739 reverse split of our common stock effected on September 26, 2013.
- (2) 12.5% of the shares underlying the option are exercisable beginning on the six month anniversary of the vesting start date, and an additional 6.25% of the shares underlying the option become exercisable on the first day of each three month anniversary thereafter.

Â

**Remarks:**

Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.