

JAKKS PACIFIC INC  
Form 4/A  
July 15, 2013

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
SOON SHIONG PATRICK

2. Issuer Name and Ticker or Trading Symbol  
JAKKS PACIFIC INC [JAKK]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
10182 CULVER BOULEVARD  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
10/01/2012

\_\_\_\_ Director  10% Owner  
\_\_\_\_ Officer (give title below)  Other (specify below)

CULVER CITY, CA 90232  
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)  
04/09/2013

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
			Code	V	Amount	(A) or (D)	Price			
Common Stock	10/01/2012		P		135,600	A	\$ 13.76 (1)	1,002,372	I	Through California Capital (2)
Common Stock	10/02/2012		P		65,000	A	\$ 13.76 (1)	1,067,372	I	Through California Capital (2)
Common Stock	10/03/2012		P		100,000	A	\$ 13.71 (1)	1,167,372	I	Through California Capital (2)
Common Stock	10/04/2012		P		100,000	A	\$ 13.87 (1)	1,267,372	I	Through California Capital (2)

Edgar Filing: JAKKS PACIFIC INC - Form 4/A

Common Stock	10/05/2012	P	103,640	A	\$ 13.98 (1)	1,371,012	I	Through California Capital (2)
Common Stock	10/08/2012	P	88,208	A	\$ 13.82 (1)	1,459,220	I	Through California Capital (2)
Common Stock	10/09/2012	P	200,000	A	\$ 13.87 (1)	1,659,220	I	Through California Capital (2)
Common Stock	10/10/2012	P	84,300	A	\$ 13.68 (1)	1,743,520	I	Through California Capital (2)
Common Stock	10/11/2012	P	149,262	A	\$ 13.98 (1)	1,892,782	I	Through California Capital (2)
Common Stock	10/12/2012	P	83,000	A	\$ 13.98 (1)	1,975,782	I	Through California Capital (2)
Common Stock	10/15/2012	P	24,218	A	\$ 13.73 (1)	2,000,000	I	Through California Capital (2)
Common Stock	12/10/2012	P	10,300	A	\$ 12.57 (1)	2,010,300	I	Through California Capital (2)
Common Stock	02/05/2013	P	3,400	A	\$ 12.95 (1)	2,013,700	I	Through California Capital (2)
Common Stock	02/06/2013	P	2,664	A	\$ 12.95 (1)	2,016,364	I	Through California Capital (2)
Common Stock	02/22/2013	P	34,400	A	\$ 12.12 (1)	2,050,764	I	Through California Capital (2)
Common Stock	02/25/2013	P	50,000	A	\$ 12.02 (1)	2,100,764	I	Through California Capital (2)
Common Stock	02/26/2013	P	31,000	A	\$ 12.08 (1)	2,131,764	I	Through California Capital (2)
Common Stock	02/27/2013	P	30,000	A	\$ 12.13 (1)	2,161,764	I	Through California Capital (2)
Common Stock	02/28/2013	P	30,000	A	\$ 12.15	2,191,764	I	Through California

Edgar Filing: JAKKS PACIFIC INC - Form 4/A

					(1)			Capital (2)
Common Stock	04/05/2013	P	127,070	A	\$ 10.3 (1)	2,318,834	D	
Common Stock	04/08/2013	P	35,363	A	\$ 10.4 (1)	2,354,197	D	
Common Stock	04/09/2013	P	77,189	A	\$ 10.52 (1)	2,431,386	D	
Common Stock	04/09/2013	P	660,000	A	\$ 10.43	3,091,386	I	Through California Capital (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. D S (I)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Warrant	\$ 16.2823					09/12/2012	09/12/2017	Common Stock	1,500,000

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SOON SHIONG PATRICK 10182 CULVER BOULEVARD CULVER CITY, CA 90232		X		
			X	

California Capital Z, LLC  
10182 CULVER BOULEVARD  
CULVER CITY, CA 90232

## Signatures

/s/ Patrick Soon-Shiong

07/15/2013

\*\*Signature of Reporting Person

Date

/s/ Charles Kenworthy, Manager of California Capital Z,  
LLC

07/15/2013

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).  

These shares of common stock were purchased on the open market. The price reported in Column 4 is a weighted average purchase price. Dr. Patrick Soon-Shiong and California Capital Z, LLC ("California Capital") undertakes to provide to JAKKS Pacific, Inc. (the "Company"), any securityholder of the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the price at which these shares were purchased.
- (1) Dr. Patrick Soon-Shiong and California Capital Z, LLC ("California Capital") undertakes to provide to JAKKS Pacific, Inc. (the "Company"), any securityholder of the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the price at which these shares were purchased.
- (2) Dr. Soon-Shiong is the sole member of California Capital.
- (3) California Capital is the sole member of NantWorks LLC.

### Remarks:

This Form 4/A supersedes in its entirety the Form 4 filed by Dr. Soon-Shiong and California Capital on April 9, 2013. For the

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.