

GRAHAM ANITA Z

Form 3

October 09, 2012

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â GRAHAM ANITA Z

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

09/28/2012

3. Issuer Name and Ticker or Trading Symbol

ADT Corp [ADT]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ X Officer ☐ Other

(give title below) (specify below)

Chief HR and Admin Officer

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ X Form filed by One Reporting Person☐ Form filed by More than One Reporting Person

C/O THE ADT CORPORATION,Â 1501 YAMATO ROAD

(Street)

BOCA RATON,Â FLÂ 33431

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Common Stock

18,494 ⁽¹⁾

D

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and Expiration Date (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy) <u>(1)</u>	Â <u>(2)</u>	05/03/2021	Common Stock	20,035	\$ 31.1796	D	Â
Stock Option (Right to Buy) <u>(1)</u>	Â <u>(3)</u>	10/11/2021	Common Stock	19,359	\$ 28.387	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
GRAHAM ANITA Z C/O THE ADT CORPORATION 1501 YAMATO ROAD BOCA RATON, FL 33431	Â	Â	Â Chief HR and Admin Officer	Â

Signatures

/s/ Yu-Cheng Sun as
Attorney-in-Fact

10/09/2012

 Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Includes remaining unvested or undelivered portions of equity awards granted by Tyco International Ltd. that have been converted into equity awards of The ADT Corporation in connection with the spin-off of The ADT Corporation from Tyco International Ltd.
- (2) An option which vests in equal increments on May 4, 2012, 2013, 2014 and 2015.
- (3) An option which vests in equal increments on October 12, 2012, 2013, 2014 and 2015.

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Remarks:

ExhibitÂ List:Â ExhibitÂ 24Â -Â PowerÂ ofÂ Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.