

REID CLIFFORD A
Form 4
September 22, 2011

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
REID CLIFFORD A

2. Issuer Name **and** Ticker or Trading
Symbol
COMPLETE GENOMICS INC
[GNOM]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

2071 STIERLIN COURT

(Street)

MOUNTAIN VIEW, CA 94043

(City) (State) (Zip)

3. Date of Earliest Transaction
(Month/Day/Year)
09/20/2011

4. If Amendment, Date Original
Filed(Month/Day/Year)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below)

Chief Executive Officer

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, \$0.001 par value	09/20/2011		D	4,500	D \$ 7.301 (1)	314,700	I By Living Trust (2)
Common Stock, \$0.001 par value	09/20/2011		M	8,000	A \$ 1.5	8,000	D
Common Stock, \$0.001 par value	09/20/2011		S	8,000	D \$ 7.2959 (3)	0	D

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Common Stock, \$0.001 par value	09/21/2011	D	4,500	D	\$ 6.8014 (4)	310,200	I	By Living Trust (2)
Common Stock, \$0.001 par value	09/21/2011	M	8,000	D	\$ 1.5	8,000	D	
Common Stock, \$0.001 par value	09/21/2011	S	8,000	D	\$ 6.8051 (5)	0	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Option (right to buy)	\$ 1.5	09/20/2011		M	8,000	09/12/2009 12/27/2019	Common Stock	58,666
Stock Option (right to buy)	\$ 1.5	09/21/2011		M	8,000	09/12/2009 12/27/2019	Common Stock	50,666

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Reporting Owners

REID CLIFFORD A
2071 STIERLIN COURT
MOUNTAIN VIEW, CA 94043

X

Chief Executive Officer

Signatures

/s/ Maureen Monahan, Attorney in Fact for Clifford A.
Reid

09/22/2011

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The shares sold were pursuant to a Rule 10b5-1 plan dated June 16, 2011. The transaction was executed in multiple trades in prices ranging from \$6.90 to \$7.42 inclusive. The price reported in Column 4 above reflects the weighted average sales price. The Reporting Person hereby undertakes to provide upon request to the SEC staff, the Issuer, or a stockholder of the Issuer, information regarding the number of shares and prices at which the transaction was effected.

(2) These shares are held by the Clifford A. Reid Living Trust, Dated September 3, 1997, Clifford A. Reid, Trustee.

(3) The shares sold were pursuant to a Rule 10b5-1 plan dated June 16, 2011. The transaction was executed in multiple trades in prices ranging from \$6.80 to \$7.44 inclusive. The price reported in Column 4 above reflects the weighted average sales price. The Reporting Person hereby undertakes to provide upon request to the SEC staff, the Issuer, or a stockholder of the Issuer, information regarding the number of shares and prices at which the transaction was effected.

(4) The shares sold were pursuant to a Rule 10b5-1 plan dated June 16, 2011. The transaction was executed in multiple trades in prices ranging from \$6.655 to \$7.06 inclusive. The price reported in Column 4 above reflects the weighted average sales price. The Reporting Person hereby undertakes to provide upon request to the SEC staff, the Issuer, or a stockholder of the Issuer, information regarding the number of shares and prices at which the transaction was effected.

(5) The shares sold were pursuant to a Rule 10b5-1 plan dated June 16, 2011. The transaction was executed in multiple trades in prices ranging from \$6.67 to \$7.10 inclusive. The price reported in Column 4 above reflects the weighted average sales price. The Reporting Person hereby undertakes to provide upon request to the SEC staff, the Issuer, or a stockholder of the Issuer, information regarding the number of shares and prices at which the transaction was effected.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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