#### JOYCE THOMAS M

Form 4

February 03, 2010

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005

**OMB APPROVAL** 

response...

Estimated average burden hours per 0.5

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Stock Units

Restricted Class A

Common Stock

01/31/2010

(Print or Type Responses)

` 71	1 /									
1. Name and Ad JOYCE THO	Symbol	KNIGHT CAPITAL GROUP, INC.				5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)				
	APITAL GROUP, ASHINGTON	(Month/Da					Director 10% Owner Officer (give title Other (specify elow) Chairman & CEO			
JERSEY CIT	(Street)		ndment, Dat h/Day/Year)	Č		Ap _X	Individual or Joir oplicable Line) _ Form filed by On _ Form filed by Mo	e Reporting Per	son	
(City)		Cip) Toble					rson			
	, ,	Table				•	ed, Disposed of,			
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		3. Transactic Code (Instr. 8)	4. Securitie or Disposed (Instr. 3, 4) Amount	d of (D	))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Restricted Stock Units	01/31/2010		A	165,437	A	\$ 15.84	796,500	D		
Restricted Stock Units	01/31/2010		F	39,537	D	\$ 15.84	711,145	D		

F

33,031

15.84

57,884

D

#### Edgar Filing: JOYCE THOMAS M - Form 4

Class A Common Stock	441,759	D	
Class A Common Stock (Previously Reported)	200,000	I	By Joyce Family 2009 GRAT II
Class A Common Stock (Previously Reported)	200,000	I	By Joyce Family 2009 GRAT
Class A Common Stock (Previously Reported)	34,695	I	By Trust for Children

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Tit	le and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration Date		Amou	unt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	/Year)	Unde	rlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e		Secur	rities	(Instr. 5)	Bene
	Derivative				Securities	;		(Instr	. 3 and 4)		Owne
	Security				Acquired						Follo
	·				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									<b>A</b>		
									Amount		
						Date	Expiration	m: d	or		
						Exercisable	Date	Title	Number		
				G 1 T	(4) (5)				of		
				Code V	(A) (D)				Shares		

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Reporting Owners 2

JOYCE THOMAS M KNIGHT CAPITAL GROUP, INC. 545 WASHINGTON BOULEVARD JERSEY CITY, NJ 07310

Chairman & CEO

### **Signatures**

/s/ Thomas M. Joyce 02/03/2010

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Restricted stock units granted pursuant to the Knight Capital Group, Inc. 2006 Equity Incentive Plan. Each restricted stock unit represents a contingent right to receive one share of the Class A Common Stock of the Issuer. The restricted stock units shall vest in three equal installments on January 31, 2011, 2012 and 2013, respectively.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3