### Edgar Filing: FIRST CITIZENS BANCSHARES INC /DE/ - Form 4

#### FIRST CITIZENS BANCSHARES INC /DE/

Form 4 March 09, 2009

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB APPROVAL OMB** 

Check this box if no longer

Washington, D.C. 20549

3235-0287 Number: January 31,

subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

2005 Estimated average burden hours per

0.5

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Expires:

response...

See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

AMES CAF	FIRST	CITIZEN E/ [FCNC		SHARES	Issuer (Check all applicable)				
(Last) 2220 WHIT		f Earliest Tr Day/Year) 2009	ransaction		_X_ Director 10% Owner Officer (give title below) Other (specify below)				
RALEIGH,		4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Code (Instr. 8)	4. Securi onAcquired Disposed (Instr. 3,	(A) or 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock						25,129	D		
Class A Common Stock						122,800 (1)	I	Held by family trusts (2)	
Class B Common Stock						726	D		
Class B Common						62,437 (1)	I	Held by family	

### Edgar Filing: FIRST CITIZENS BANCSHARES INC /DE/ - Form 4

Stock								trusts (2)
Class B Common Stock						217	I	By trust for Sheppard K. Ames IV
Class B Common Stock						106	I	By Trust for Carolyn R. Ames
Class A Common Stock	01/07/2009	G	173	A	\$0	284	I	By Trust for Carolyn R. Ames
Class A Common Stock	01/07/2009	G	173	A	\$0	173	I	By Trust for Seppard K Ames IV

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 3	ction (8) 1 (8) (10) (10) (10) (10) (10) (10) (10) (10	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Securi (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)
				Code	V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1 8	Director	10% Owner	Officer	Other			
AMES CARMEN HOLDING 2220 WHITE OAK ROAD RALEIGH, NC 27608	X						

Reporting Owners 2

### Edgar Filing: FIRST CITIZENS BANCSHARES INC /DE/ - Form 4

### **Signatures**

Carmen Holding Ames, By: William R. Lathan, Jr., Attorney-in-Fact

03/09/2009

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Excludes additional shares of Issuer's stock held by another trust of which the reporting person is sole beneficiary with no investment or voting control.
- (2) The reporting person is the sole beneficiary of the trust, but she has limited investment control, subject to discretion of the trustees, and she disclaims beneficial ownership of the shares held by the trust except to the extent of her pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3