

EQUUS II INC
Form 4
July 05, 2005

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
STORMS JOHN W

(Last) (First) (Middle)

1202 A DAIRY ASHFORD

(Street)

HOUSTON, TX 77077

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
EQUUS II INC [EQS]

3. Date of Earliest Transaction
(Month/Day/Year)
06/30/2005

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	06/30/2005		M		2,200	A	\$ 7.43
Common Stock	06/30/2005		M		2,200	A	\$ 7.72
Common Stock	06/30/2005		M		2,200	A	\$ 7.8
Common Stock	06/30/2005		S		2,200	D	\$ 8.38
Common Stock	06/30/2005		S		2,200	D	\$ 8.38
	06/30/2005		S		2,200	D	
							11,437
							13,637
							15,837
							13,637
							11,437
							9,237

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Common Stock \$ 8.38

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Incentive Stock Options (right to buy)	\$ 7.43	06/30/2005		M	2,200	11/12/2003	05/12/2013	Common Stock	2,200
Incentive Stock Options (right to buy)	\$ 7.72	06/30/2005		M	2,200	11/07/2004	05/12/2014	Common Stock	2,200
Incentive Stock Options (right to buy)	\$ 7.8	06/30/2005		M	2,200	11/07/2002	05/07/2012	Common Stock	2,200
Incentive Stock Options (right to buy)	\$ 14.15	06/30/2005		J ⁽¹⁾	1,100	11/07/1999	05/07/2009	Common Stock	1,100
Incentive Stock Options (right to	\$ 21.82	06/30/2005		J ⁽¹⁾	5,500	05/05/1998	11/04/2007	Common Stock	5,500

buy)

Incentive
StockOptions \$ 24.94 06/30/2005
(right to
buy)J⁽¹⁾

2,200

11/14/1998

05/14/2008

Common
StockCommon
Stock

2,2

Incentive
StockOptions \$ 8.45 06/30/2005
(right to
buy)J⁽¹⁾

2,200

11/04/2001

05/04/2010

Common Stock

2,2

Incentive
StockOptions \$ 9.03 06/30/2005
(right to
buy)J⁽¹⁾

2,200

11/10/2000

05/10/2010

Common Stock

2,2

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
STORMS JOHN W 1202 A DAIRY ASHFORD HOUSTON, TX 77077	X			

Signatures

Phil Walters 07/05/2005

**Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Cancel stock options.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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