

WHITE MILES D

Form 4

March 20, 2019

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
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2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**WHITE MILES D**

(Last) (First) (Middle)

100 ABBOTT PARK ROAD

(Street)

ABBOTT PARK, IL 60064-6400

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

ABBOTT LABORATORIES [ABT]

3. Date of Earliest Transaction  
(Month/Day/Year)

03/18/2019

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)

Chairman and CEO

6. Individual or Joint/Group Filing(Check  
Applicable Line)

☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Common shares without par value	03/18/2019		M		295,000	A	\$ 26.1879	3,469,165	D
Common shares without par value	03/18/2019		M		294,700	A	\$ 22.3919	3,763,865	D
Common shares without par value	03/18/2019		S		3,471	D	\$ 78.185	3,760,394	D

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Common shares without par value	03/18/2019	S	12,383	D	\$ 78.15	3,748,011	D
Common shares without par value	03/18/2019	S	100	D	\$ 79.21	3,747,911	D
Common shares without par value	03/18/2019	S	1,683	D	\$ 78.96	3,746,228	D
Common shares without par value	03/18/2019	S	250	D	\$ 79.53	3,745,978	D
Common shares without par value	03/18/2019	S	300	D	\$ 79.195	3,745,678	D
Common shares without par value	03/18/2019	S	1,130	D	\$ 79.69	3,744,548	D
Common shares without par value	03/18/2019	S	100	D	\$ 79.925	3,744,448	D
Common shares without par value	03/18/2019	S	400	D	\$ 78.071	3,744,048	D
Common shares without par value	03/18/2019	S	723	D	\$ 79.12	3,743,325	D
Common shares without par value	03/18/2019	S	5,442	D	\$ 79.01	3,737,883	D
Common shares without par value	03/18/2019	S	4,600	D	\$ 79.05	3,733,283	D
	03/18/2019	S	7,800	D	\$ 78.71	3,725,483	D

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Common  
shares  
without  
par value

Common  
shares  
without  
par value

Common  
shares  
without  
par value

Common  
shares  
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Common  
shares  
without  
par value

Common  
shares  
without  
par value

03/18/2019

S

3,680

D

\$ 79.51

3,721,803

D

03/18/2019

S

1,725

D

\$ 78.13

3,720,078

D

03/18/2019

S

10,908

D

\$ 78.055

3,709,170

D

03/18/2019

S

100

D

\$ 78.6

3,709,070

D

03/18/2019

S

123

D

\$ 78.405

3,708,947

D

03/18/2019

S

300

D

\$ 78.97

3,708,647

D

03/18/2019

S

1,960

D

\$ 78.64

3,706,687

D

03/18/2019

S

812

D

\$ 79.11

3,705,875

D

03/18/2019

S

2,649

D

\$ 78.895

3,703,226

D

03/18/2019

S

7,092

D

\$ 78.9

3,696,134

D

03/18/2019

S

3,008

D

\$ 79.2

3,693,126

D

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Common  
shares  
without  
par value

Common  
shares  
without  
par value

Common  
shares  
without  
par value

Common  
shares  
without  
par value

Common  
shares  
without  
par value

03/18/2019

S

100

D

\$  
78.7775

3,693,026

D

03/18/2019

S

100

D

\$ 79.72

3,692,926

D

03/18/2019

S

100

D

\$  
78.8675

3,692,826

D

03/18/2019

S

4,700

D

\$ 78.19

3,688,126

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount of Number of Shares
Option (right to buy) <sup>(1)</sup>	\$ 26.1879	03/18/2019		M		295,000		02/19/2013	02/18/2020	Common shares	295,000
Option (right to buy) <sup>(1)</sup>	\$ 22.3919	03/18/2019		M		294,700		02/18/2014	02/17/2021	Common shares	294,700

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
WHITE MILES D 100 ABBOTT PARK ROAD ABBOTT PARK, IL 60064-6400	X		Chairman and CEO	

## Signatures

Jessica H. Paik, by power of attorney for Miles D.  
White

03/20/2019

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Employee stock option granted pursuant to the Abbott Laboratories 2009 Incentive Stock Program, in a transaction exempt from Section 16 under Rule 16b-3.

### Remarks:

These transactions were made pursuant to a previously adopted plan complying with Rule 10b5-1(c). Form 1 of 7.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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