

GEORGE WILLIAM III  
Form 4  
May 01, 2018

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**GEORGE WILLIAM III**

2. Issuer Name and Ticker or Trading Symbol  
**COMFORT SYSTEMS USA INC [FIX]**

5. Relationship of Reporting Person(s) to Issuer  
  
(Check all applicable)  
  
 Director  10% Owner  
 Officer (give title below)  Other (specify below)  
**Chief Financial Officer**

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
**04/30/2018**

**C/O COMFORT SYSTEMS USA, INC., 675 BERING DRIVE, SUITE 400**

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**HOUSTON, TX 77057**

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	04/30/2018		M		6,000	A	\$ 12.46
Common Stock	04/30/2018		S		6,000	D	\$ 42.43 (1)
Common Stock	05/01/2018		M		2,000	A	\$ 12.46
Common Stock	05/01/2018		M		10,151	A	\$ 13.87

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Common Stock	05/01/2018	M	11,500	A	\$ 11.21	67,326	D
Common Stock	05/01/2018	S	23,651	D	\$ 41.85 <u>(1)</u>	43,675	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
Option to Buy	\$ 12.46	04/30/2018		M	6,000	04/01/2013 <sup>(2)</sup> 03/25/2020	Common Stock	6,000
Option to Buy	\$ 12.46	05/01/2018		M	2,000	04/01/2013 <sup>(2)</sup> 03/25/2020	Common Stock	2,000
Option to Buy	\$ 13.87	05/01/2018		M	10,151	04/01/2014 <sup>(3)</sup> 03/24/2021	Common Stock	10,151
Option to Buy	\$ 11.21	05/01/2018		M	11,500	04/01/2015 <sup>(4)</sup> 03/26/2022	Common Stock	11,500

## Reporting Owners

**Reporting Owner Name / Address**

**Relationships**

Director    10% Owner    Officer    Other

GEORGE WILLIAM III  
C/O COMFORT SYSTEMS USA, INC.  
675 BERING DRIVE, SUITE 400  
HOUSTON, TX 77057

Chief Financial Officer

## Signatures

/s/ Trent T. McKenna, by power of  
attorney

05/01/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the average price of shares sold; full information regarding the number of shares sold and specific prices will be made available upon request to the Company's Office of the General Counsel.
  - (2) Stock options were granted on 3/25/2010, vested equally over a three-year period, and were fully vested on 4/1/2013.
  - (3) Stock options were granted on 3/24/2011, vested equally over a three-year period, and were fully vested on 4/1/2014.
  - (4) Stock options were granted on 3/26/2012, vested equally over a three-year period, and were fully vested on 4/1/2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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