

ABIOMED INC  
Form 4  
November 08, 2013

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Greenfield Andrew J

(Last) (First) (Middle)

C/O ABIOMED, INC., 22 CHERRY HILL DRIVE

(Street)

DANVERS, MA 01923

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
ABIOMED INC [ABMD]

3. Date of Earliest Transaction (Month/Day/Year)  
11/06/2013

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
\_X\_ Officer (give title below) \_\_\_ Other (specify below)

VP Healthcare Solutions

6. Individual or Joint/Group Filing (Check Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(D)	Price
Common Stock, \$.01 par	11/06/2013		M		5,835	A	\$ 14.98 62,860
Common Stock, \$.01 par	11/06/2013		M		20,000	A	\$ 9.36 82,860
Common Stock, \$.01 par	11/06/2013		S <sup>(5)</sup>		25,835	D	\$ 25.0002 57,025

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy) <sup>(1)</sup>	\$ 14.98	11/06/2013		P	5,835	01/04/2006 <sup>(3)</sup>	01/04/2015	Common Stock	5,835
Stock Option (right to buy) <sup>(2)</sup>	\$ 9.36	11/06/2013		P	20,000	06/08/2006 <sup>(3)</sup>	06/08/2015	Common Stock	20,000
Stock Option (right to buy) <sup>(2)</sup>	\$ 8.77					11/16/2006 <sup>(3)</sup>	11/16/2015	Common Stock	0
Stock Option (right to buy) <sup>(2)</sup>	\$ 13.57					06/01/2007 <sup>(3)</sup>	06/01/2016	Common Stock	0
Stock Option (right to buy) <sup>(2)</sup>	\$ 11.27					05/30/2008 <sup>(3)</sup>	05/30/2017	Common Stock	0
Stock Option (right to buy) <sup>(2)</sup>	\$ 13.8					05/23/2009 <sup>(3)</sup>	05/23/2018	Common Stock	0
Stock Option (right to buy) <sup>(4)</sup>	\$ 5.86					05/28/2010 <sup>(3)</sup>	05/28/2019	Common Stock	0

Stock Option (right to buy) <sup>(4)</sup>	\$ 5.86	03/31/2010 <sup>(3)</sup>	05/28/2019	Common Stock	0
Stock Option (right to buy) <sup>(4)</sup>	\$ 10.03	06/03/2011 <sup>(3)</sup>	06/03/2020	Common Stock	0
Stock Option (right to buy) <sup>(4)</sup>	\$ 22.44	05/22/2013 <sup>(3)</sup>	05/22/2022	Common Stock	0
Stock Option (right to buy) <sup>(4)</sup>	\$ 14.39	02/19/2014 <sup>(3)</sup>	02/19/2023	Common Stock	0
Stock Option (Right to Buy) <sup>(4)</sup>	\$ 23.15	05/14/2014 <sup>(3)</sup>	05/14/2024	Common Stock	0

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Greenfield Andrew J C/O ABIOMED, INC. 22 CHERRY HILL DRIVE DANVERS, MA 01923			VP Healthcare Solutions	

## Signatures

/s/ Stephen C. McEvoy (by power of attorney) 11/08/2013

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant to reporting person of option to buy shares of Common Stock under ABIOMED, Inc. 1998 Equity Incentive Plan.
- (2) Grant to reporting person of option to buy shares of Common Stock under ABIOMED, Inc. 2000 Stock Incentive Plan.
- (3) These options became exercisable in annual 25% increments commencing on the date shown in Table II, Column 6.
- (4) Grant to reporting person of option to buy shares of Common Stock under ABIOMED, Inc. 2008 Stock Incentive Plan.
- (5) Sale of common stock pursuant to reporting owner's 10b5-1 plan.
- (6) This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$25.0000 and \$25.0050. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the

## Edgar Filing: ABIOMED INC - Form 4

Commission staff, the Issuer, or a security holder of the issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.