Edgar Filing: ETHAN ALLEN INTERIORS INC - Form 5

ETHAN ALLEN INTERIORS INC Form 5 August 11, 2011 FORM 5 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Check this box if no longer subject

to Section 16.

5 obligations

may continue.

Reported

Form 4 or Form

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL **OWNERSHIP OF SECURITIES**

Washington, D.C. 20549

See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions

1. Name and Address of Reporting Person <u>*</u> STOUT CRAIG			2. Issuer Name and Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer			
			ETHAN ALLEN INTERIORS INC [ETH]	(Check all applicable)			
(Last) ETHAN AI	(First) LEN DRIVE	(Middle)	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 06/30/2011	Director 10% Owner XOfficer (give title Other (specify below) below) below) VP, Prd. Dev. Case Goods & Uph			
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Reporting			

Filed(Month/Day/Year)

DANBURY, CTÂ 06811

X Form Filed by One Reporting Person Form Filed by More than One Reporting Person

(check applicable line)

OMB APPROVAL

3235-0362

January 31,

2005

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(City)	(State) (Zip) Table	e I - Non-Deri	vative Sec	curitie	s Acqui	ired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi Acquirec Disposec (Instr. 3, Amount	d (A) o d of (D))	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	Â	Â	Â	Â	Â	Â	798.7899	Ι	Held in 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information SEC 2270 contained in this form are not required to respond unless (9-02)the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	rlying	8. Price of Derivative Security (Instr. 5)	9. of D Se B O E I S Fi (I
					(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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Reporting Owners

Reporting Owner Name / Address	Relationships						
		10% Owner	Officer	Other			
STOUT CRAIG ETHAN ALLEN DRIVE DANBURY, CT 06811	Â	Â	VP, Prd. Dev. Case Goods & Uph	Â			
Signatures							
Craig Stout 08/	11/2011						
<u>**</u> Signature of	Date						

<u>**</u>Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.