

JOINT Corp
Form 5
February 03, 2016

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Form 3 Holdings Reported Form 4 Transactions Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *
Gerretzen Fred

(Last) (First) (Middle)

16767 N. PERIMETER DR., STE. 240

(Street)

2. Issuer Name and Ticker or Trading Symbol
JOINT Corp [JYNT]

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)
11/20/2015

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

 Director 10% Owner
 Officer (give title below) Other (specify below)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

SCOTTSDALE, AZ 85260

Form Filed by One Reporting Person
 Form Filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				Amount	(A) or (D)	Price			
Common Stock	Â	Â	Â	Â	Â	Â	369,480 ⁽¹⁾	I	By the Joint Franchise Co., LLC
Common Stock	05/20/2015	Â	S4	3,000	D	\$ 9.63 ⁽²⁾	321,720 ⁽³⁾ ⁽⁴⁾	D	Â
Common Stock	05/26/2015	Â	S4	3,000	D	\$ 9.8	318,720	D	Â

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Common Stock	06/01/2015	Â	S4	3,000	D	\$ 9.5 (5)	315,720	D	Â
Common Stock	06/03/2015	Â	S4	620	D	\$ 9.75	315,100	D	Â
Common Stock	06/25/2015	Â	S4	1,000	D	\$ 11.24	314,100	D	Â
Common Stock	06/26/2015	Â	S4	9,000	D	\$ 11.25	305,100	D	Â
Common Stock	07/09/2015	Â	S4	2,207	D	\$ 9.77	302,893	D	Â
Common Stock	07/10/2015	Â	S4	3,400	D	\$ 10.13	299,493	D	Â
Common Stock	07/13/2015	Â	S4	2,080	D	\$ 9.79	297,413	D	Â
Common Stock	07/14/2015	Â	S4	1,040	D	\$ 9.83	296,373	D	Â
Common Stock	07/15/2015	Â	S4	3,280	D	\$ 9.94	293,093	D	Â
Common Stock	07/16/2015	Â	S4	291	D	\$ 9.87	292,802	D	Â
Common Stock	07/17/2015	Â	S4	6,562	D	\$ 9.79	286,240	D	Â
Common Stock	08/12/2015	Â	S4	2,000	D	\$ 8.96	284,240	D	Â
Common Stock	08/13/2015	Â	S4	2,000	D	\$ 8.75	282,240	D	Â
Common Stock	08/14/2015	Â	S4	200	D	\$ 8.74	282,240	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 2270
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. of D Se B O E Is Fi (I
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4, and 5)

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
(A)	(D)			

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Gerretzen Fred 16767 N. PERIMETER DR., STE. 240 SCOTTSDALE, AZ 85260	Â	Â X	Â	Â

Signatures

/s/ Fred Gerretzen 02/03/2016

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Since the date of the reporting person's last ownership report, 57,720 were transferred from The Joint Franchise Co., LLC to the reporting person to be owned by him directly.
This transaction was executed in multiple trades at prices ranging from \$9.60 to \$9.75. The price reported above reflects the weighted average purchase price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (2) Includes 57,720 shares transferred from The Joint Franchise Co., LLC to the reporting person (as described in Note 1 above).
- (3) Since the date of the reporting person's last ownership report, he transferred 534,000 shares to his ex-wife pursuant to a domestic relations order.
This transaction was executed in multiple trades at prices ranging from \$9.50 to \$9.55. The price reported above reflects the weighted average purchase price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (4) Since the date of the reporting person's last ownership report, he transferred 534,000 shares to his ex-wife pursuant to a domestic relations order.
This transaction was executed in multiple trades at prices ranging from \$9.50 to \$9.55. The price reported above reflects the weighted average purchase price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (5) Since the date of the reporting person's last ownership report, he transferred 534,000 shares to his ex-wife pursuant to a domestic relations order.
This transaction was executed in multiple trades at prices ranging from \$9.50 to \$9.55. The price reported above reflects the weighted average purchase price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

Remarks:

The date inserted in Item 3 preceding Table I is the date that the reporting person ceased to be

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.