BRANTLEY CAPITAL CORP
Form SC 13G
February 03, 2012

**SCHEDULE 13G** 

#### SECURITIES AND EXCHANGE COMMISSION

**WASHINGTON, DC 20549** 

Under the Securities Exchange Act of 1934

(Amendment No. 2)\*

#### **BRANTLEY CAPITAL CORPORATION**

(Name of Issuer)

#### Common Stock,

(Title of Class of Securities)

105494108 (CUSIP Number)

#### **February 2, 2012**

(Date of Event which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

x Rule 13d-1(b)

o Rule 13d-1(c)	Edgar Filing: BRANTLEY CAPITAL CORP - Form SC 13G		
0 Kuit 13u-1(t)			
o Rule 13d-1(d)			
respect to the sub	of this cover page shall be filled out for a reporting person's initial filing on this form with bject class of securities, and for any subsequent amendment containing information which osures provided in a prior cover page.		
The information required on the remainder of this cover page shall not be deemed to be filed for the purpose of Section 18 of the Securities Exchange Act of 1934 (the Act ) or otherwise subject to the liabilities of that section of the Act, but shall be subject to all other provisions of the Act (however, see the Notes).			
CUSIP No. 1054	94108		
1	NAMES OF REPORTING PERSONS		
	I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (entities only)		
2	The Ancora Group, Inc.  CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (See Instructions)		
	(a)	, <del></del>	
	<b>(b)</b>	, ••	
3	SEC USE ONLY		

CITIZENSHIP OR PLACE OF ORGANIZATION

State of Ohio, United States

4

2

_			AL CORP - Form SC 13G
1	NUMBER OF	5	SOLE VOTING POWER
	SHARES		
BE	ENEFICIALLY		-0-
	OWNED BY		
	EACH	6	SHARED VOTING POWER
I	REPORTING		
	PERSON		-0-
	WITH	7	SOLE DISPOSITIVE POWER
			-0-
		8	SHARED DISPOSITIVE POWER
			-0-
9	AGGREGATE	E AMOUNT BENEFICIAL	LLY OWNED BY EACH REPORTING PERSON
	-0-		
10	CHECK BOX	IF THE AGGREGATE A	MOUNT IN ROW (9) EXCLUDES CERTAIN

(See Instructions)

11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9

0.0%

12 TYPE OF REPORTING PERSON (	<b>See Instructions</b> )
-------------------------------	---------------------------

HC

#### CUSIP No. 105494108

1 NAMES OF REPORTING PERSONS

I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (entities only)

Ancora Advisors, LLC

2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (See Instructions)

(a) "

(b) "

- 3 SEC USE ONLY
- 4 CITIZENSHIP OR PLACE OF ORGANIZATION

State of Nevada, United States

NUMBER OF 5 SOLE VOTING POWER

**SHARES** 

BENEFICIALLY 357,773

OWNED BY

6 SHARED VOTING POWER EACH

REPORTING

-0-

**PERSON** 

WITH 7 SOLE DISPOSITIVE POWER

357,773

#### 8 SHARED DISPOSITIVE POWER

-0-

#### 9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

357,773

# 10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES

(See Instructions)

#### 11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9

9.38%

#### 12 TYPE OF REPORTING PERSON (See Instructions)

IΑ

#### CUSIP No. 105494108

#### 1 NAMES OF REPORTING PERSONS

# I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (entities only)

Richard I	Barone
-----------	--------

(a) "

(b) "

#### 3 SEC USE ONLY

#### 4 CITIZENSHIP OR PLACE OF ORGANIZATION

**United States** 

NUMBER OF	5	SOLE VOTING POWER

**SHARES** 

BENEFICIALLY 90,000

**OWNED BY** 

6 SHARED VOTING POWER EACH

REPORTING

PERSON -0-

WITH 7 SOLE DISPOSITIVE POWER

90,000

#### 8 SHARED DISPOSITIVE POWER

-0-

9	AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON
	90,000
10	CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES
	(See Instructions)
11	PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9
	2.36%
12	TYPE OF REPORTING PERSON (See Instructions)
Item 1(a)	IN Name of Issuer:
Brantley Capit	al Corporation, a Maryland corporation (the Issuer )
Item 1(b)	Address of Issuer s Principal Executive Offices:
287 Bowman A	Avenue, 2nd Floor

Item 2(a) Name of Person Filing:

C/O MVC Capital, Inc.

Purchase, NY 10577

This Schedule 13G is being filed by The Ancora Group, Inc. (the Reporting Person ).

Item 2(b)	Address of Principal Business Office or, if None, Residence:		
<u>Name</u>	Business Address		
The Ancora Group, Inc	c. 2000 Auburn Drive, Suite 300		
	Cleveland, OH 44122		
Item 2(c)	Citizenship:		
Each of the Reporting	Persons is a United States company or United States Citizen.		
Mr. Barone is a U.S. citizen and maintains the right to claim dual citizenship with the Republic of Italy.			
Item 2(d)	Title of Class of Securities:		
Common Stock			
Item 2(e)	CUSIP Number:		
105494108			

Item 3.	If this statement is filed pursuant to $\S\S240.13d-1(b)$ or (c), check whether the person filing is a:
(a) o Broker or	dealer registered under Section 15 of the Exchange Act.
(b) o Bank as o	lefined in section 3(a)(6) of the Exchange Act.
(c) o Insurance	company as defined in section 3(a)(19) of the Exchange Act.
(d) o Investmen	nt company registered under section 8 of the Investment Company Act of 1940.
(e) <b>x</b> An invest	ment adviser in accordance with Rule 13d-1(b)(1)(ii)(E).
(f) o An emplo	yee benefit plan or endowment fund in accordance with Rule 13d-1(b)(1)(ii)(F).
(g) o A parent	holding company or control person in accordance with Rule 13d-1(b)(1)(ii)(G).
(h) o A savings	s association as defined in Section 3(b) of the Federal Deposit Insurance Act.
	plan that is excluded from the definition of an investment company under section 3(c)(14) of the mpany Act of 1940.
(j) o Group, in	accordance with Rule 13d-1(b)(1)(ii)(J).

Item 4. Ownership.

Set forth below Mr. Barone and the other entities named herein, in the aggregate, is the number of Shares which may

be deemed to be beneficially owned as of February 2, 2012, and the percentage of the Shares outstanding represented by such ownership (based on 3,810,535 shares outstanding as of September 30, 2004):
Name:
No. of Shares
Percent of Class
Richard A. Barone(1)
90,000
2.36 %
Ancora Advisors(2)
357,773
9.38 %
Ancora Owners/Employees(3)
33,000
<u>0.87 %</u>
Total
480,773
12.61 %

(1) These Shares are owned Mr. Barone that he directly controls.

Item 5. Ownership of Five Percent or Less of a Class.	
All entities named herein (Ancora Group) each disclaim membership in a Group as such term is defined in Section 13(d)(3) of the Securities Exchange Act of 1934 and the Rules and Regulations promulgated thereunder.	
Ancora Advisors LLC is registered as an investment advisor with the SEC under the Investment Advisors Act.  Ancora Advisors LLC has the power to dispose of the shares owned by the investment clients for which it acts as advisor, including Merlin Partners, for which it is also the General Partner.	
This statement is filed on behalf of all persons and entities (the Reporting Persons), herein referred to as Ancora of Ancora Group. Participants in the Ancora Group include The Ancora Group, Inc, an entity incorporated under the laws of the state of Ohio, Ancora Capital, Inc. an entity incorporated under the laws of the state of Ohio; Ancora Advisors LLC, a Nevada limited liability company; Merlin Partners, an investment limited partnership registered in Delaware; various owners and employees of the aforementioned entities. The Ancora Group, Inc is located at One Chagrin Highlands, 2000 Auburn Drive, Suite 300, Cleveland, Ohio 44122.	
(3) These shares are owned by the owners and employees of Ancora excluding Mr. Barone.	
(2) These Shares are owned by investment clients of Ancora Advisors. Ancora Advisors does not own these share directly but, by virtue of Ancora Advisors Investment Management Agreement with the investment clients of Ancora Advisors, each may be deemed to beneficially own 330,065 Shares by reason of their power to dispose of such Share Ancora Advisors disclaims beneficial ownership of such Shares.	ra
(2) These Shares are owned by investment clients of Ancora Advisors. Ancora Advisors does not own these shares	es

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following o. N/A

#### Item 6. Ownership of More than Five Percent on Behalf of Another Person.

See Item 4 as noted above.	

Item 7.	Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on by the Parent Holding Company or Control Person.
Ancora Adv	isors
IA	

Item 8. Identification and Classification of Members of the Group.

N/A

Item 9. Notice of Dissolution of Group.

N/A

#### Item 10. Certification.

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

#### **SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I hereby certify that the information set forth in this statement is true, complete and correct.

February 2, 2012

/s/ Richard A. Barone Richard A. Barone Chairman The Ancora Group, Inc.

This is the annual filing being made pursuant to Rule 13d-1(b) filed not later than February 14, 2012 as specified in Rule 13d-1(b)(2).

#### **AGREEMENT**

In accordance with Rule 13d-1(k)(1) under the Securities Exchange Act of 1934, as amended, the undersigned hereby agree that this Statement on Schedule 13G relating to the Common Stock of Brantley Capital Corporation is being filed with the Securities and Exchange Commission on behalf of each of them.

February 2, 2012

/s/ Richard A. Barone Richard A. Barone Chairman The Ancora Group, Inc.

/s/ Richard A. Barone Richard A. Barone Chairman Ancora Advisors, LLC

/s/ Richard A. Barone Richard A. Barone